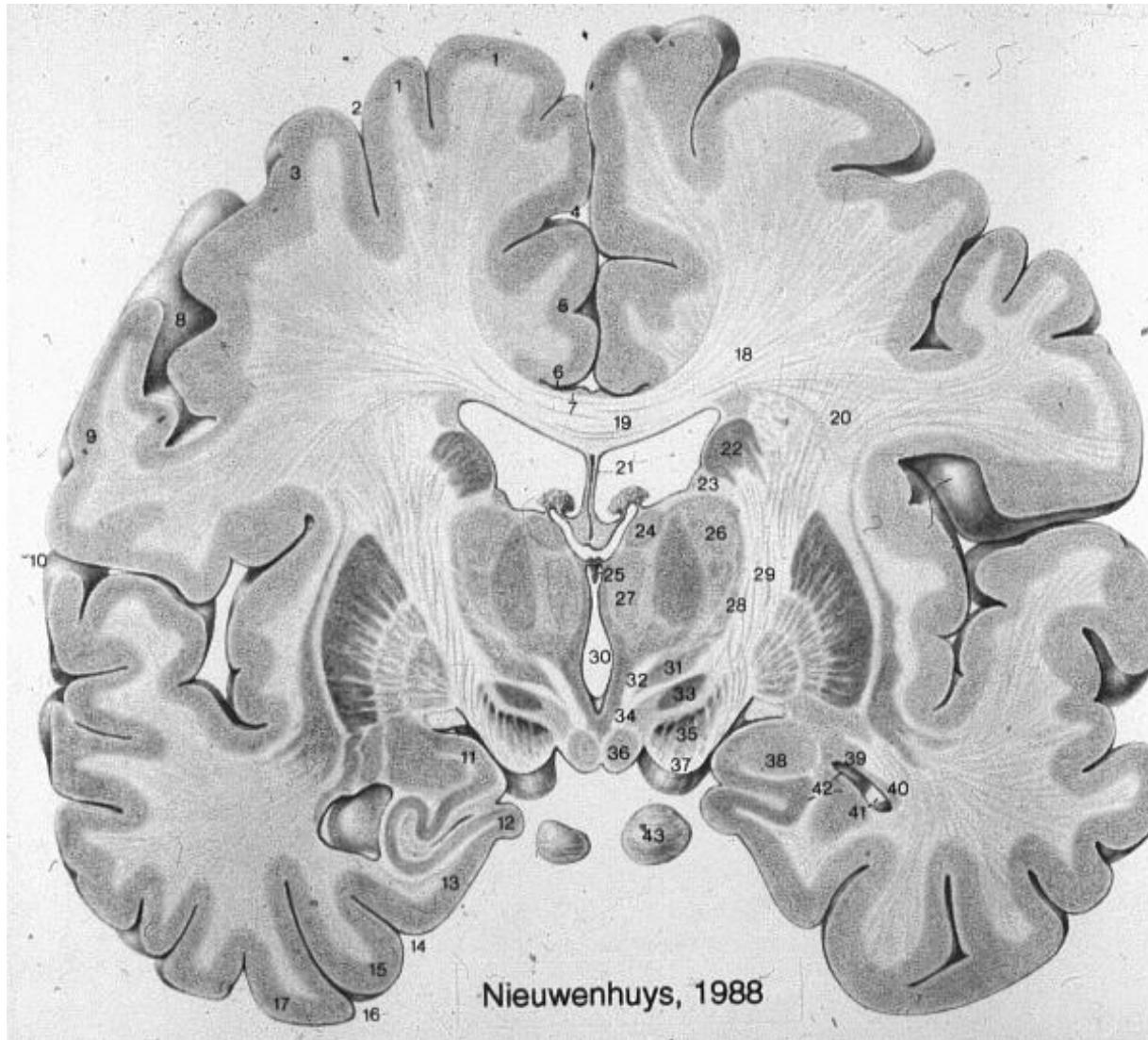


Second Beijing Forum
on Parkinson's disease and Movement Disorders
September 14-17, 2012

Introduction to
Basal Ganglia
Anatomy, Physiology, Physiopathology

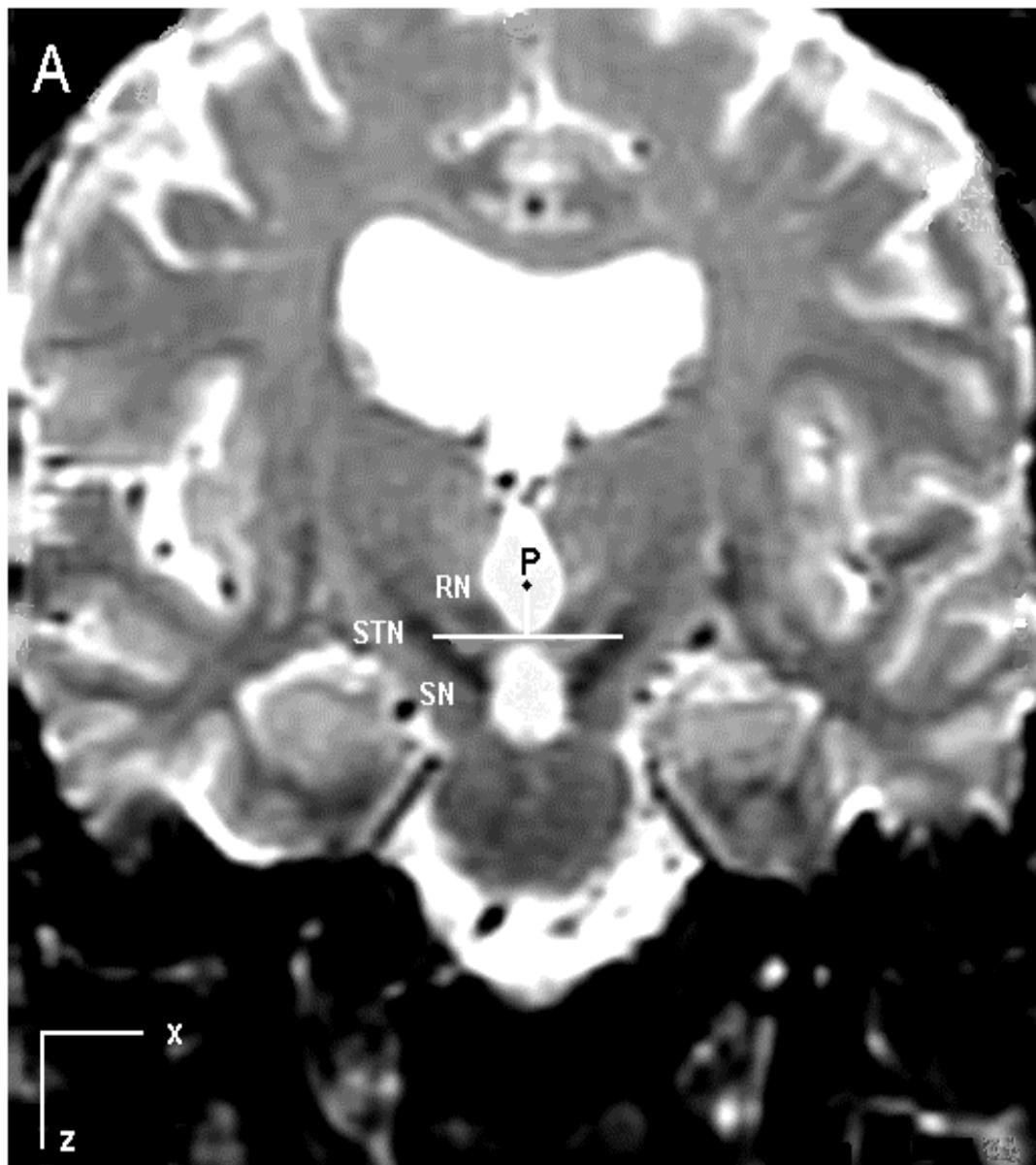
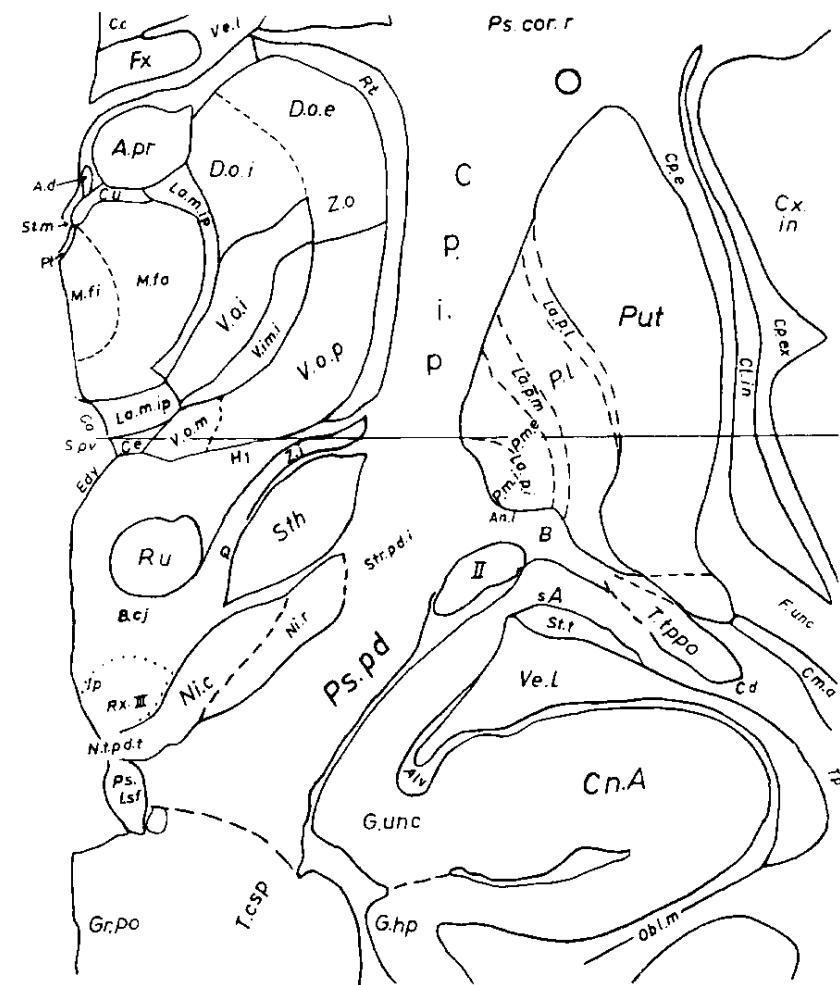
Bernard Pidoux, MD, PhD

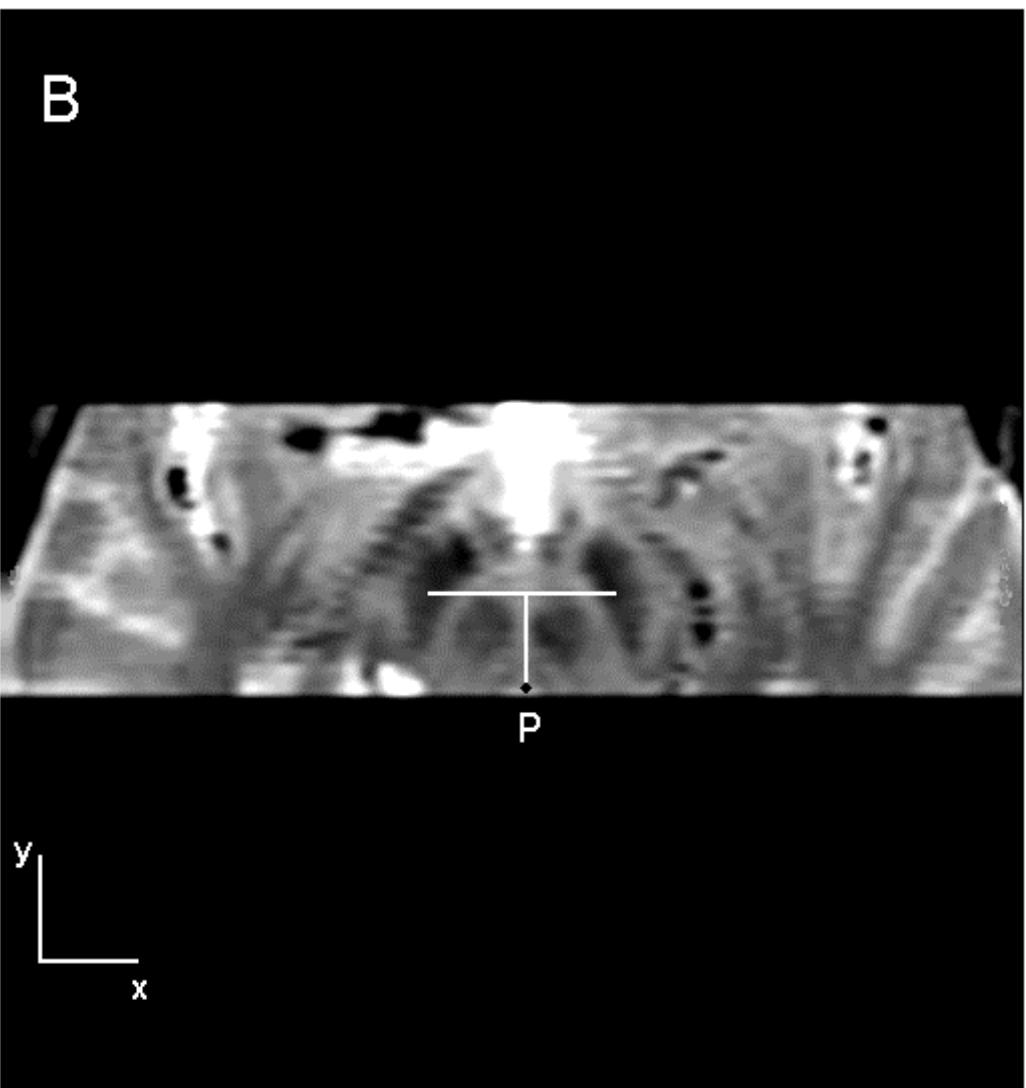
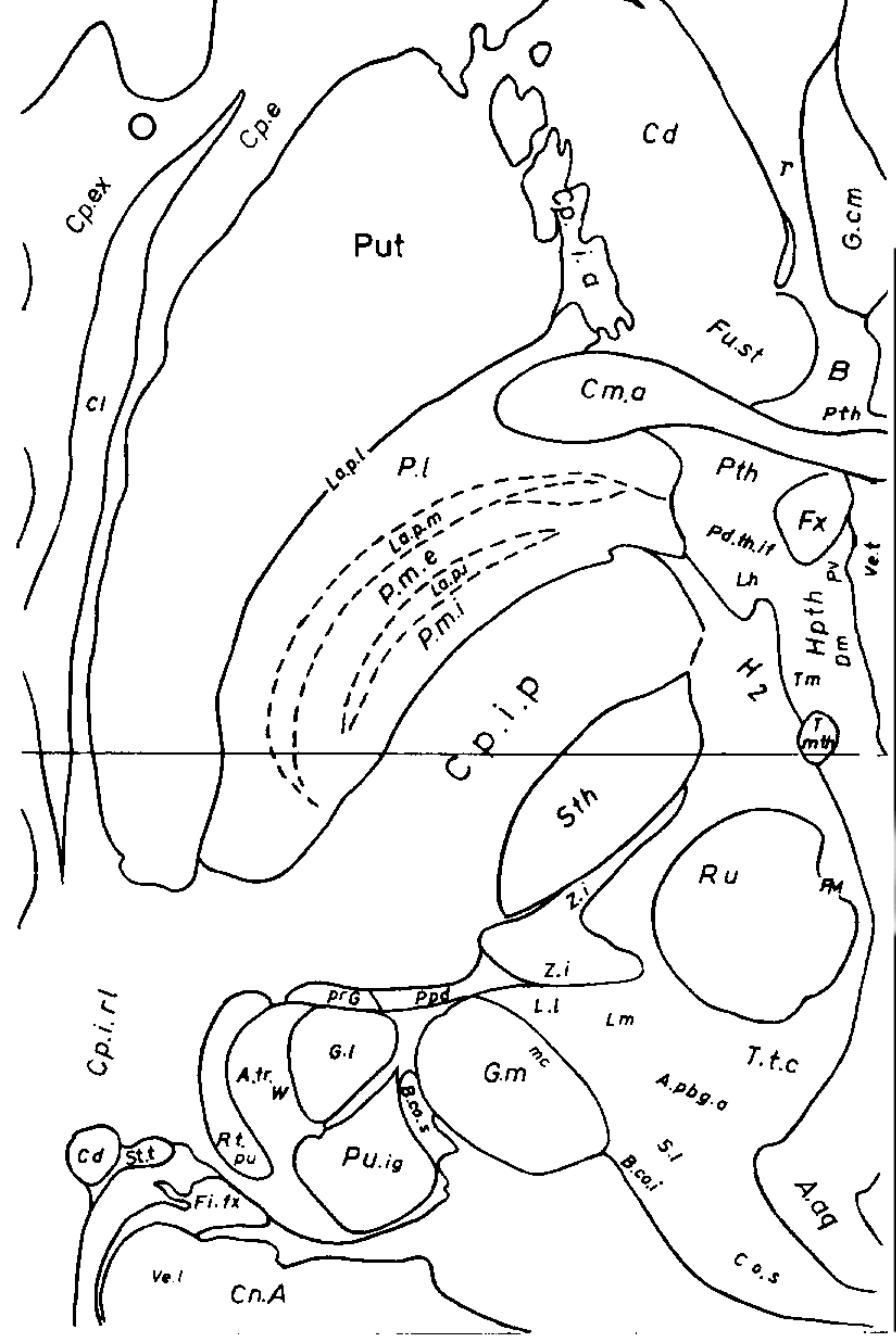
Fédération de Neurophysiologie Clinique, La Pitié-Salpêtrière
Laboratoire de Physiologie, Faculté de Médecine Pierre et Marie Curie
Sorbonne Université,
Paris, France

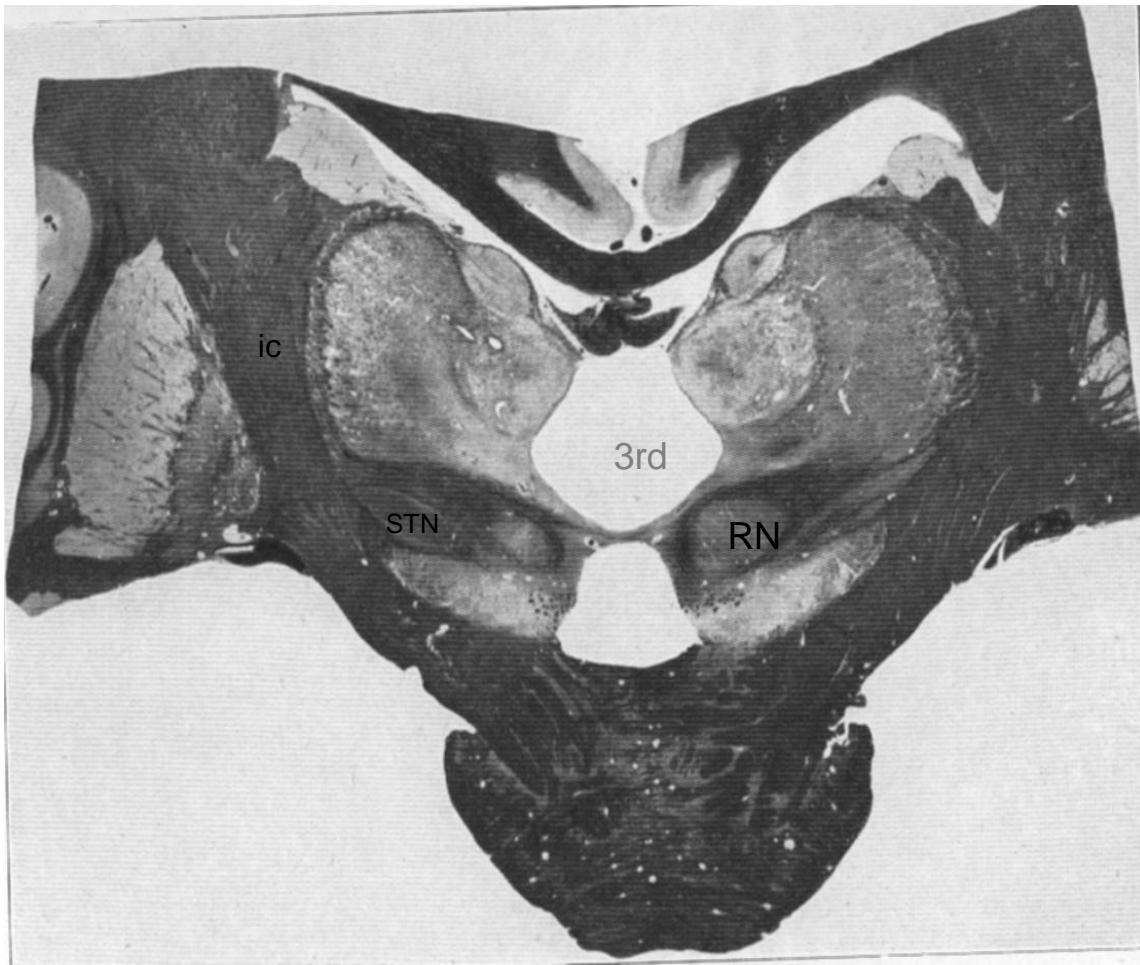


Nieuwenhuys, 1988

Swfp30







*Fig 71.- Coupe frontale passant par le tiers antérieur du noyau rouge
(Foix et Nicolesco, Masson 1925)*

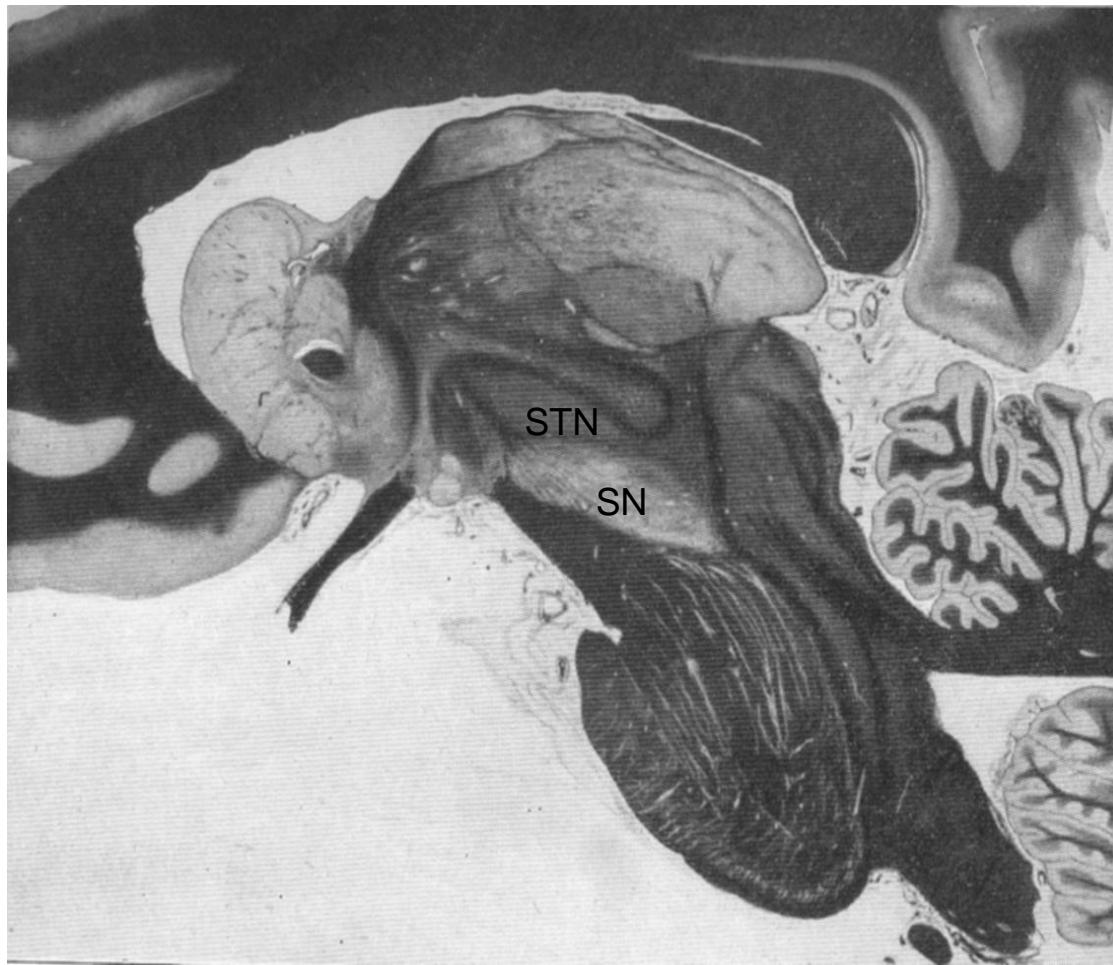
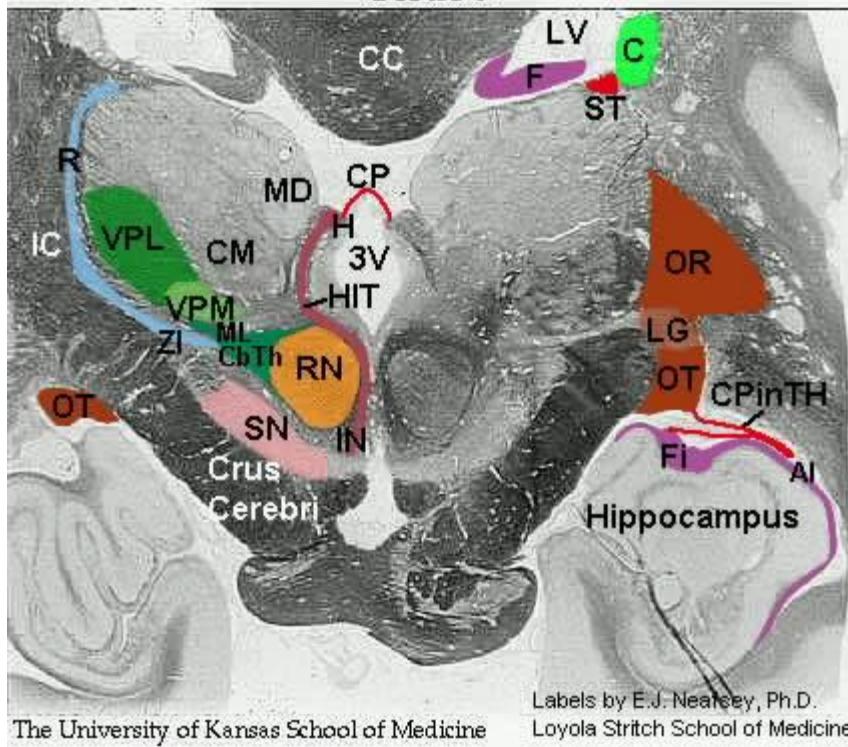
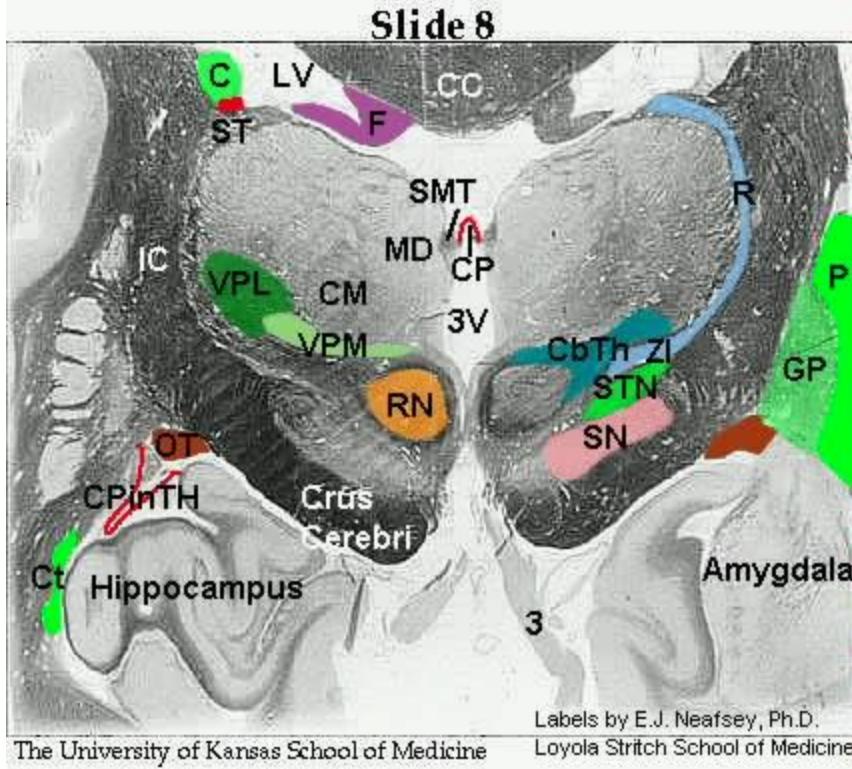


Fig 135.- Coupe Sagittale région sous optique, colliculus du noyau caudé; locus niger, centre médian de Luys (Foix et Nicolesco, Masson 1925).

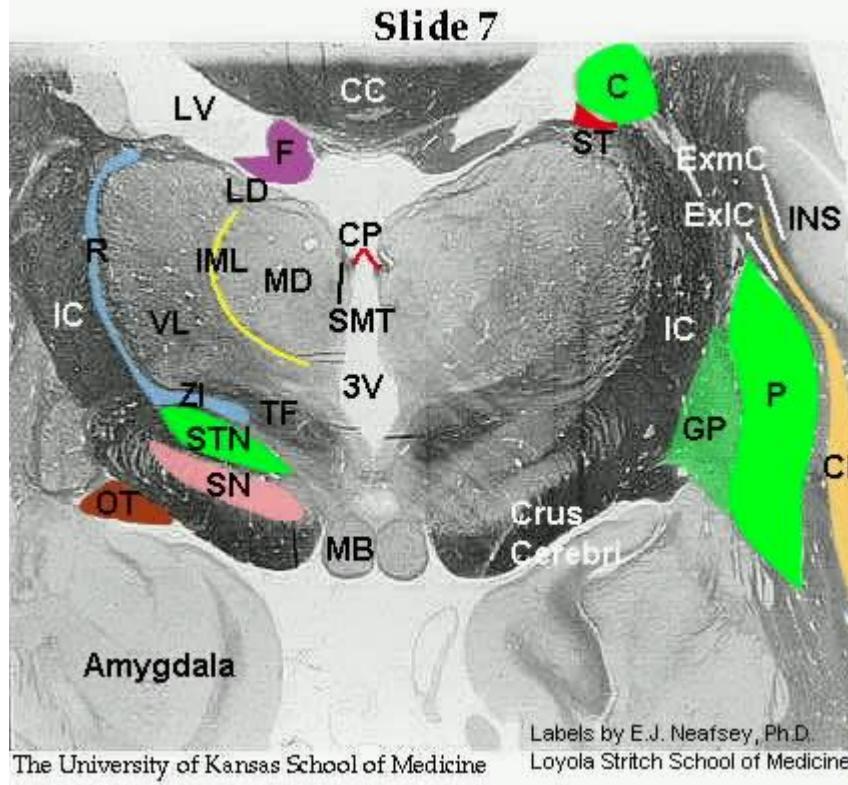
Slide 9



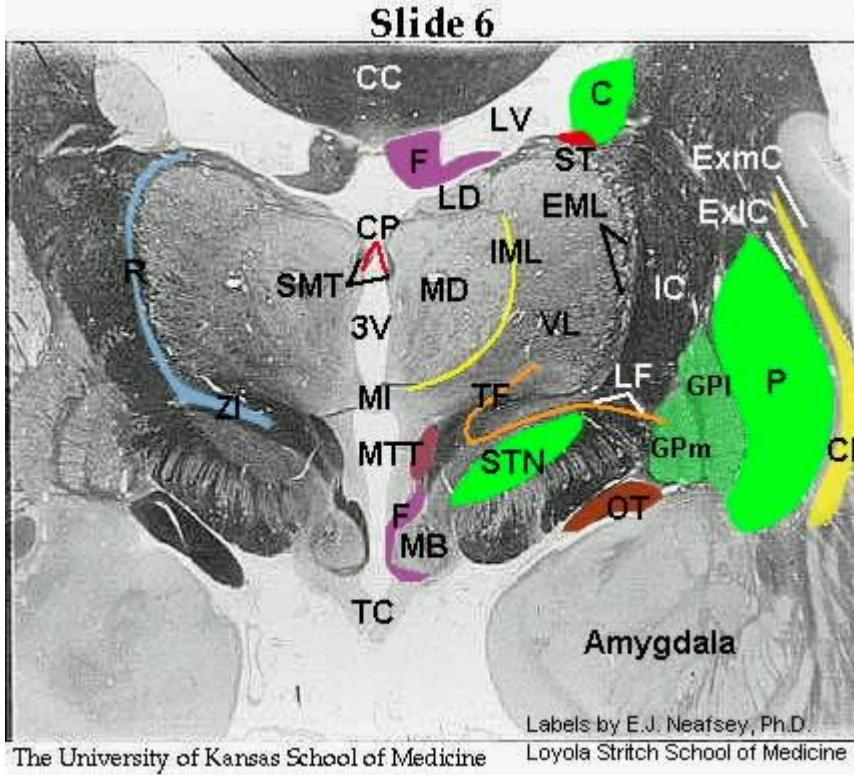
Slide 8



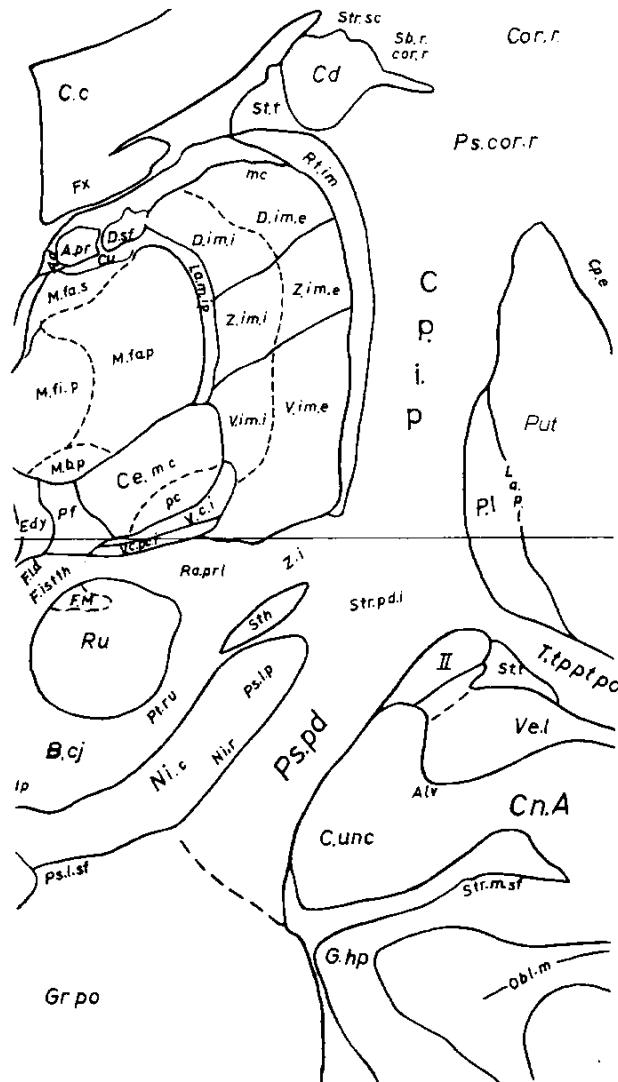
Slide 7



Slide 6



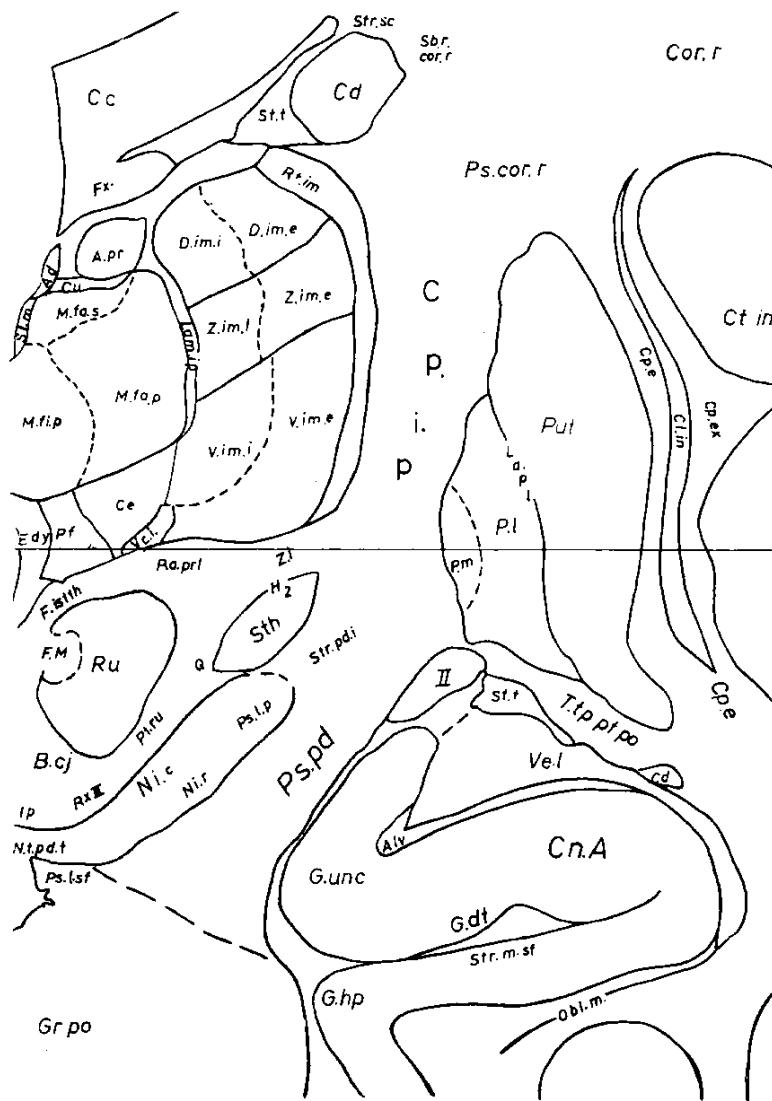
Schaltenbrand & Wahren atlas



Frontal view
AC-PC plane

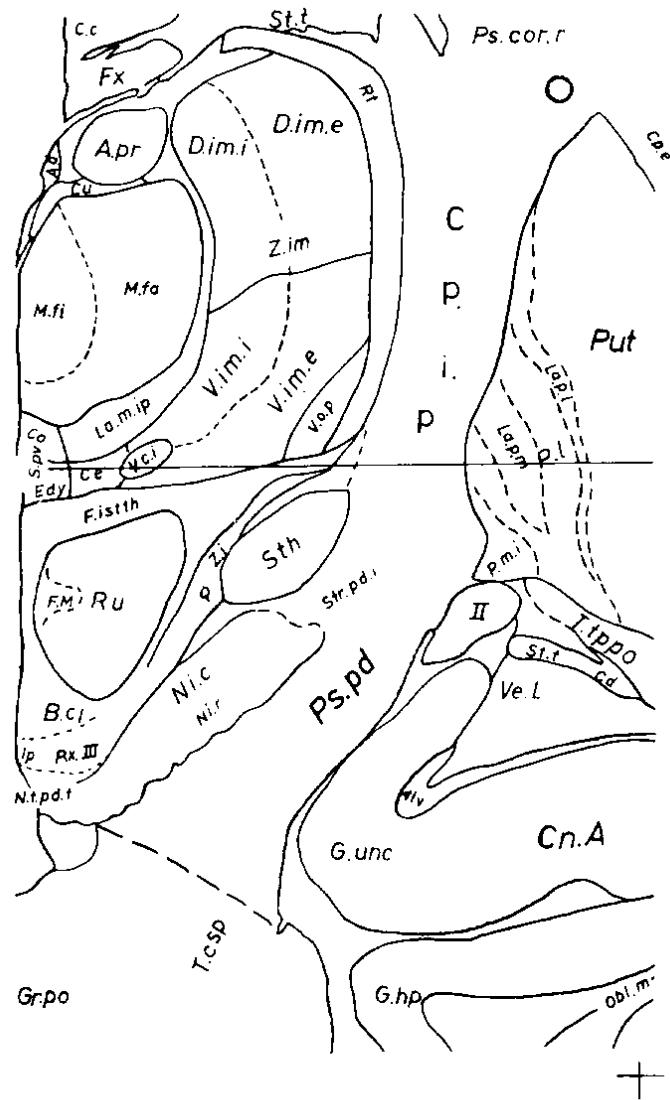
Swfp70

Schaltenbrand & Wahren atlas



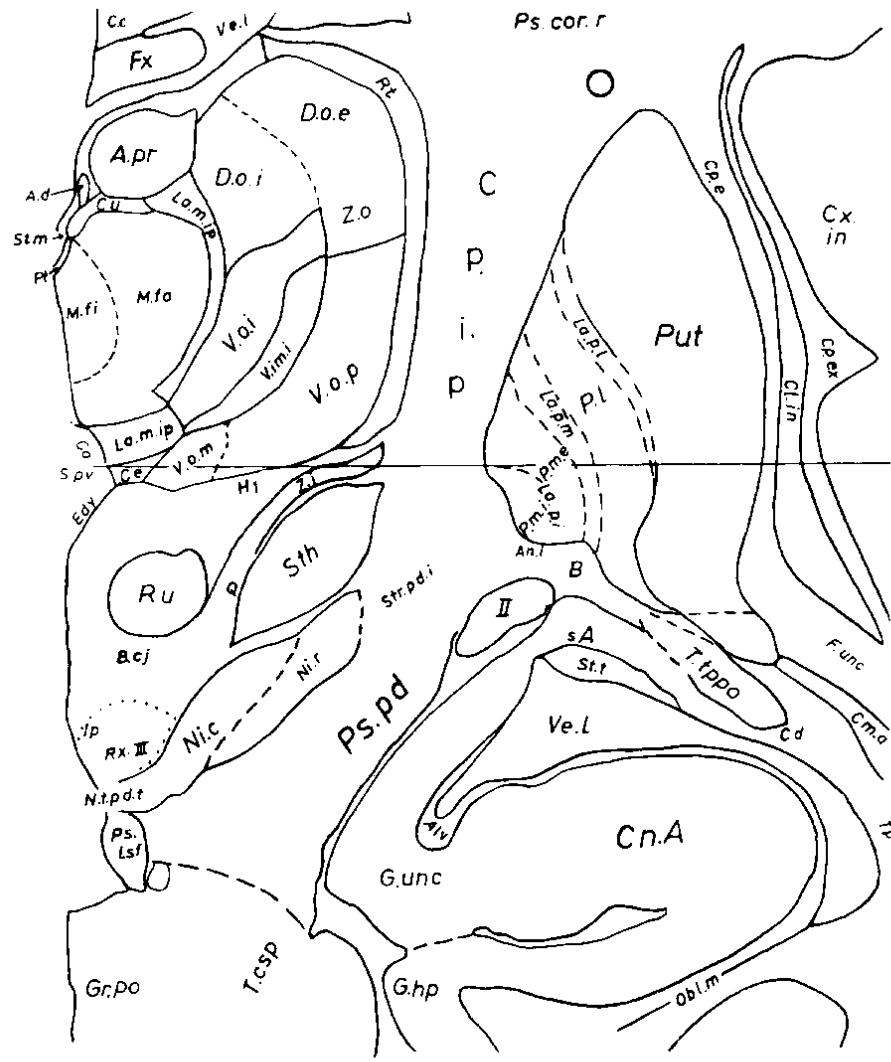
Frontal view
AC-PC plane

Swfp50



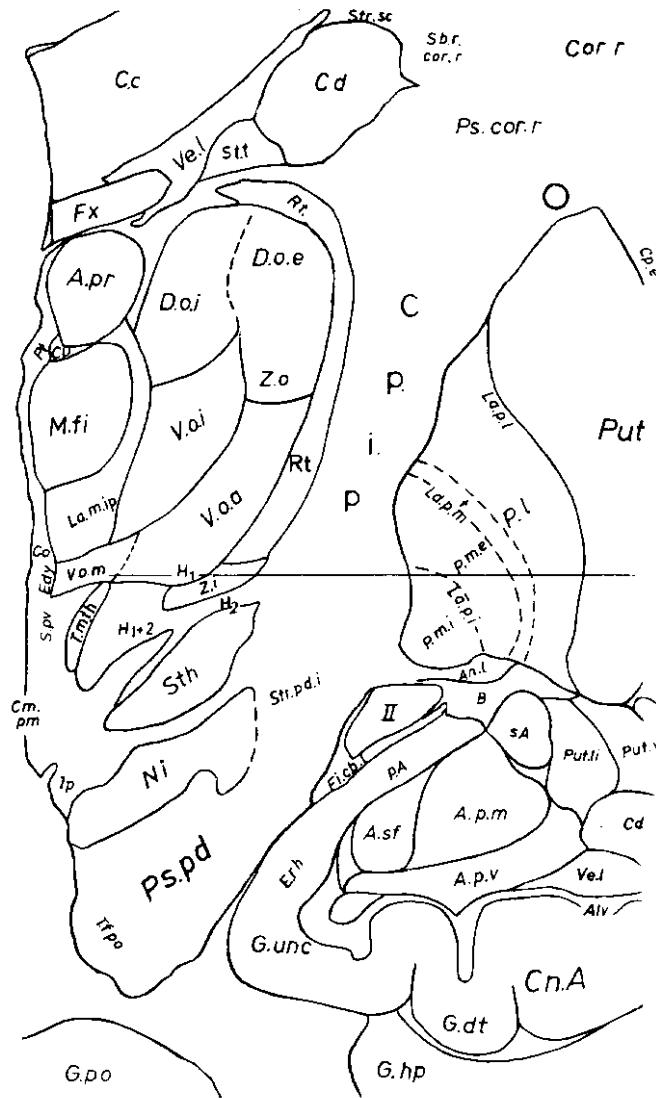
Frontal view
AC-PC plane

Swfp40



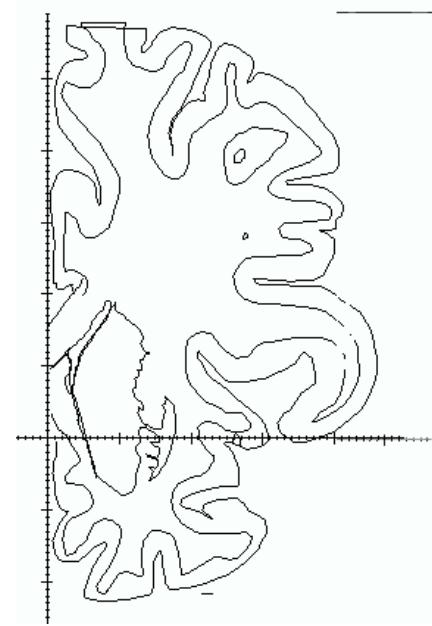
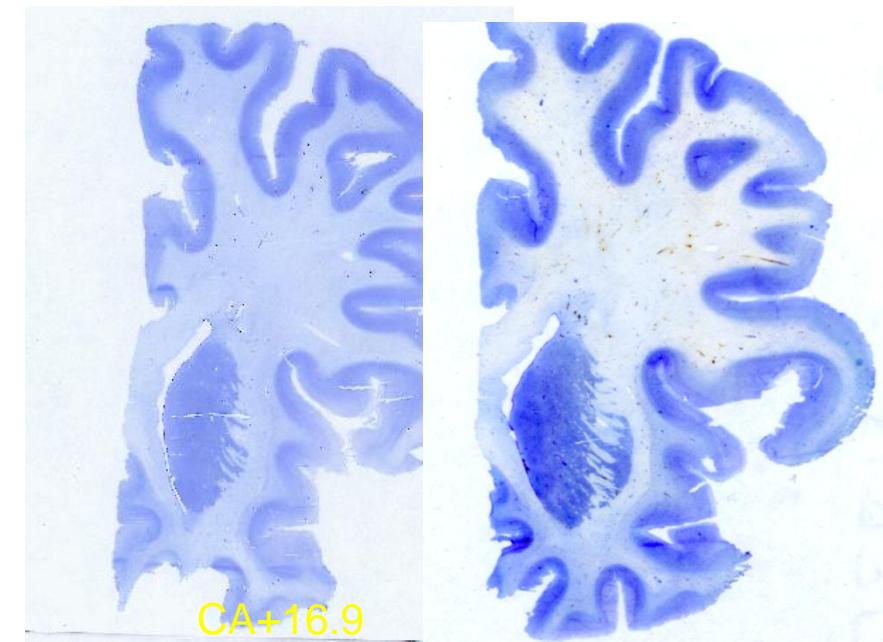
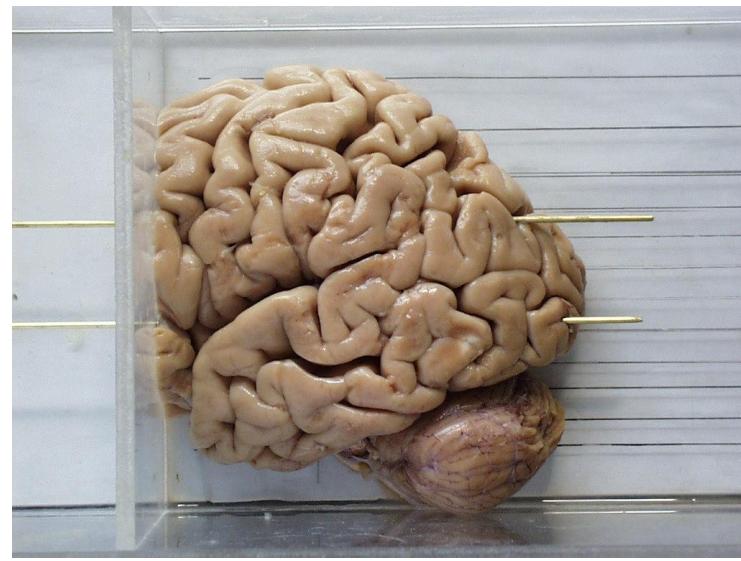
Frontal view
AC-PC plane

Swfp30

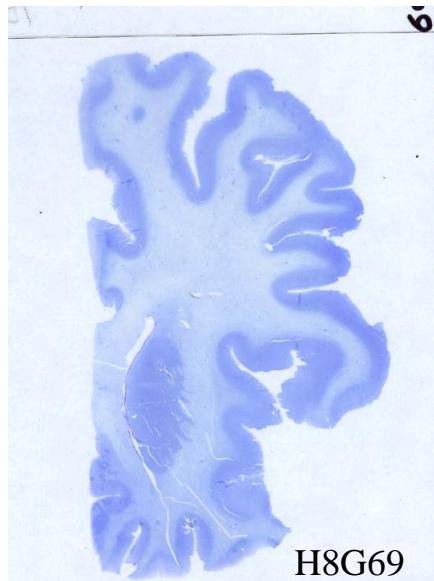


Frontal view
AC-PC plane

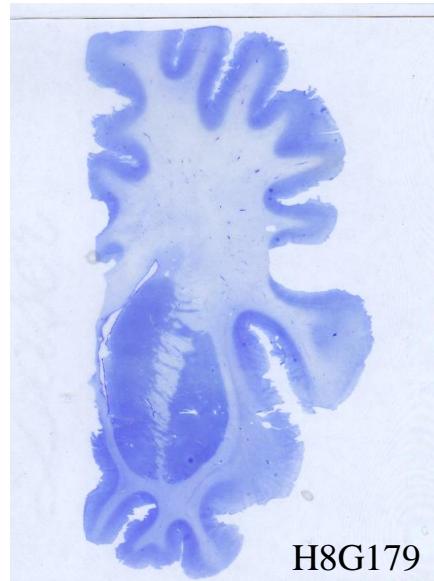
Swfp15



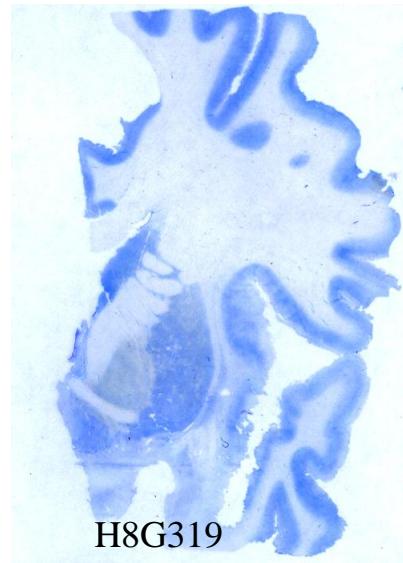
Functional



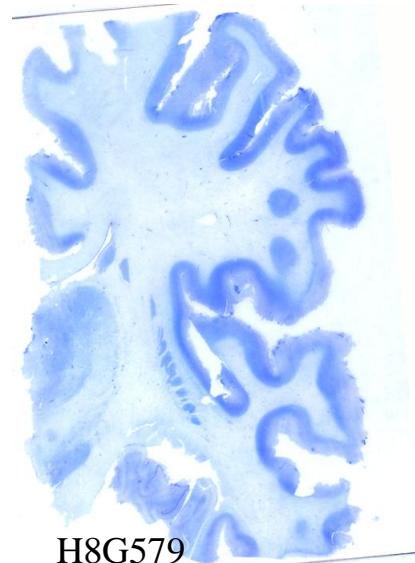
H8G69



H8G179



H8G319



H8G579



H8G54



H8G164



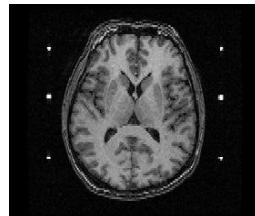
H8G345



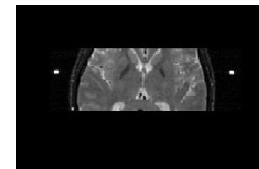
H8G555

ATLAS/MRI Registration

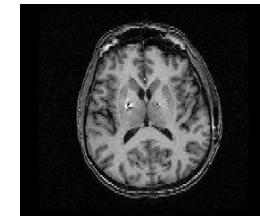
T1



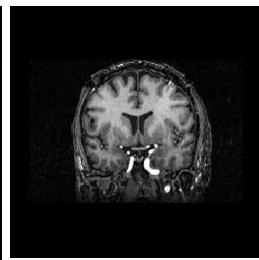
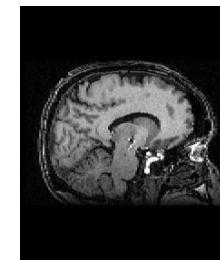
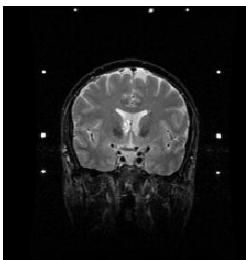
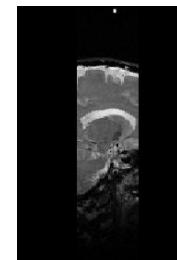
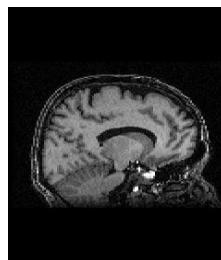
T2



T1
post-op.

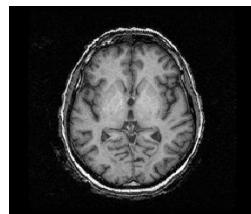


Patient

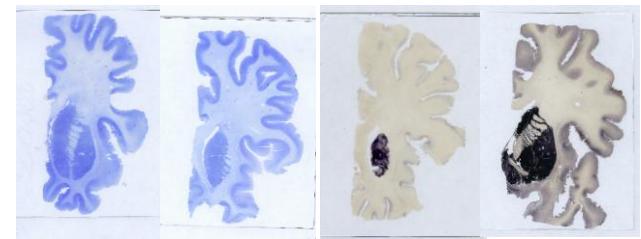
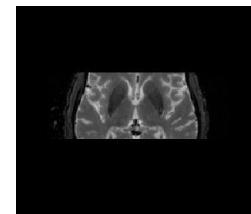


Histology

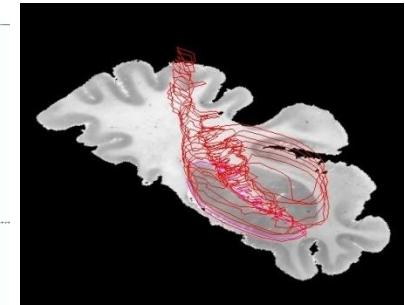
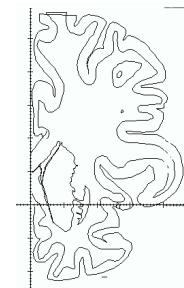
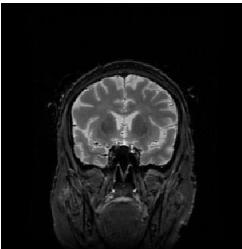
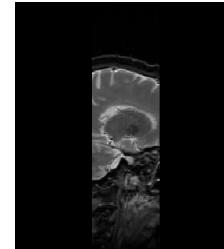
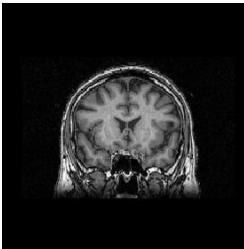
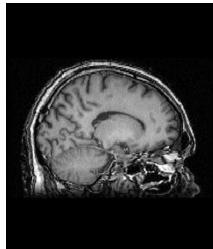
T1



T2

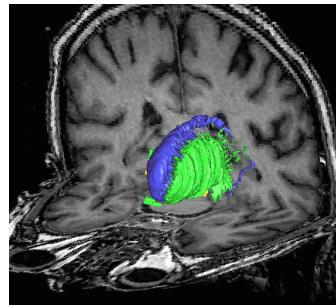


Atlas



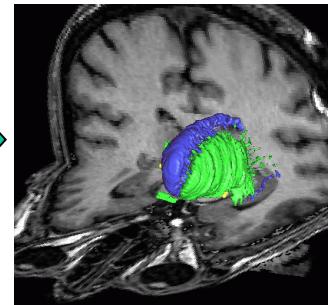
Atlas : deformation

Atlas

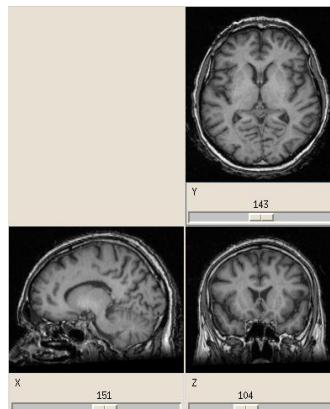


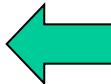
T^1 

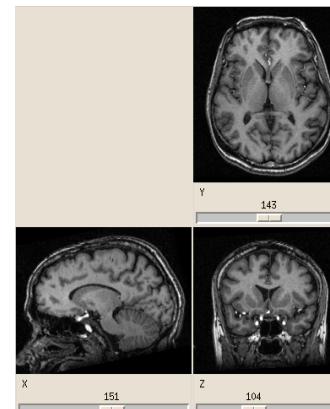
Patient



Report atlas structures
on the patient

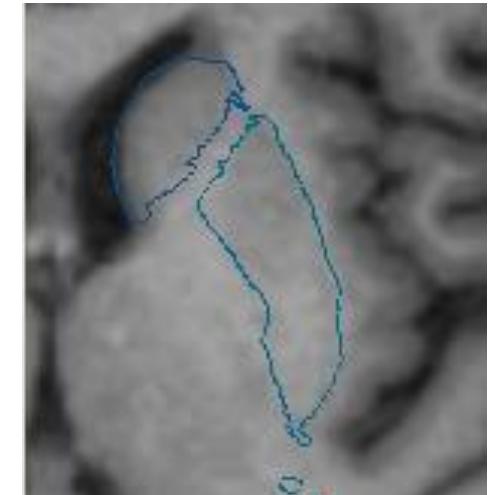
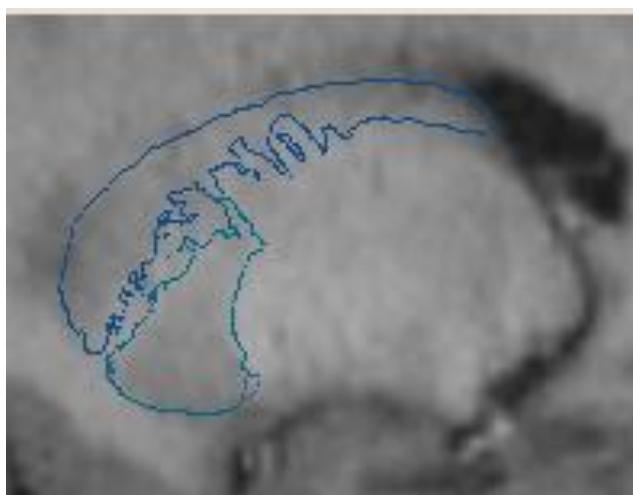
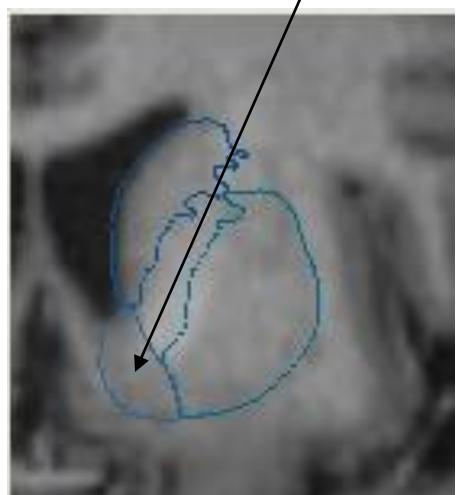
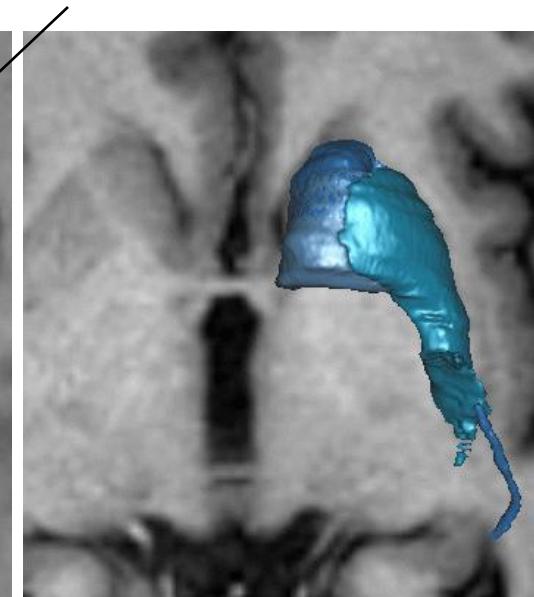
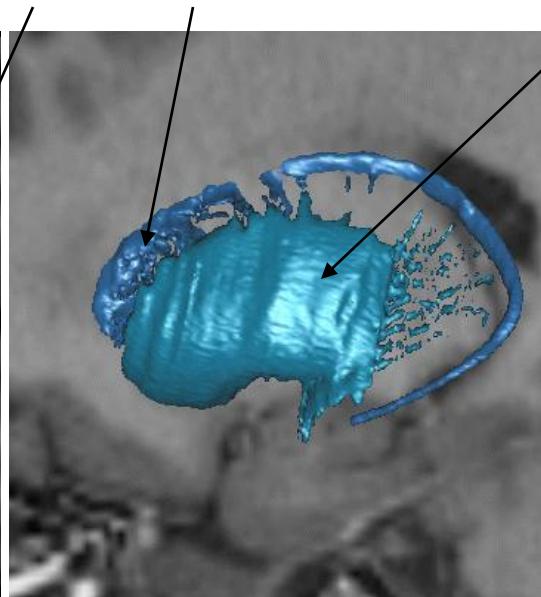
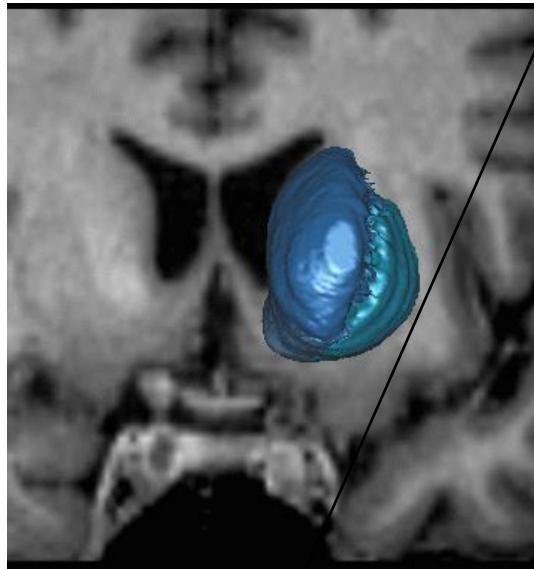


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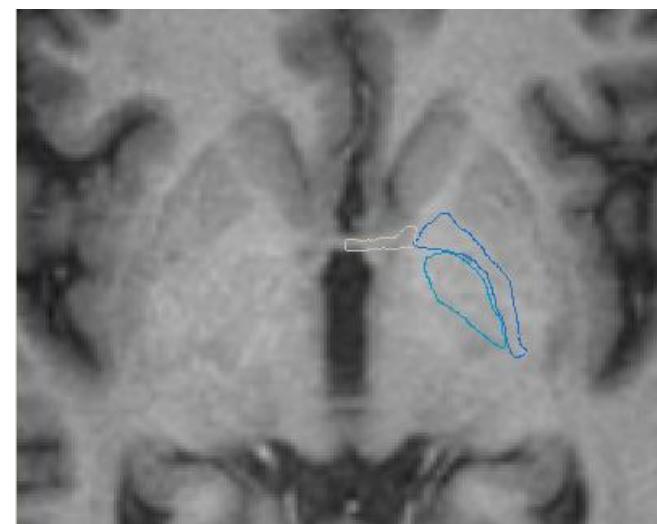
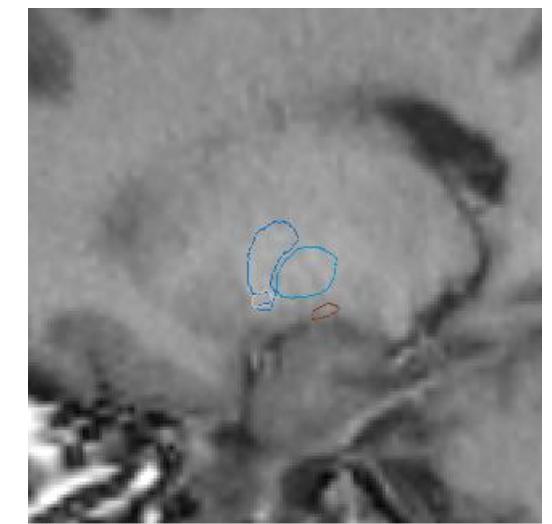
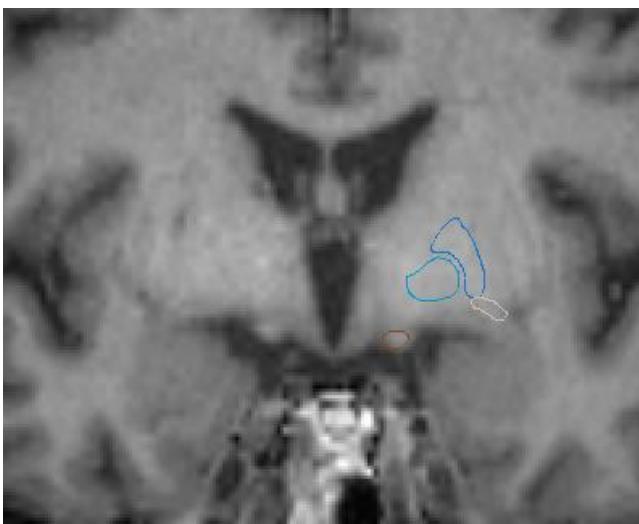
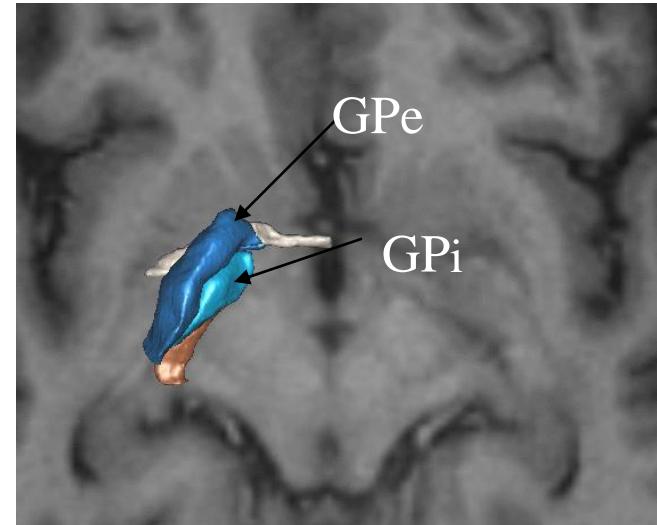
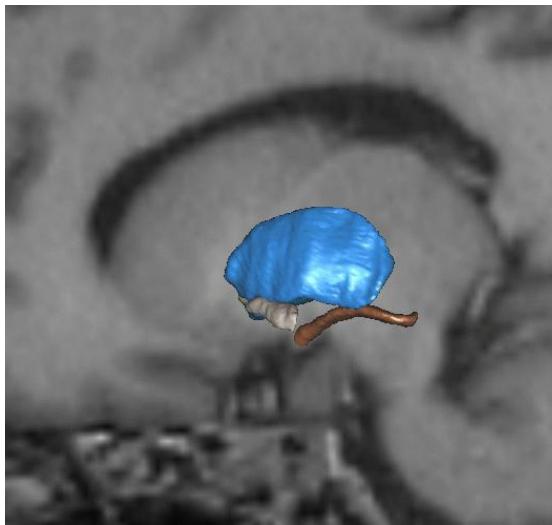
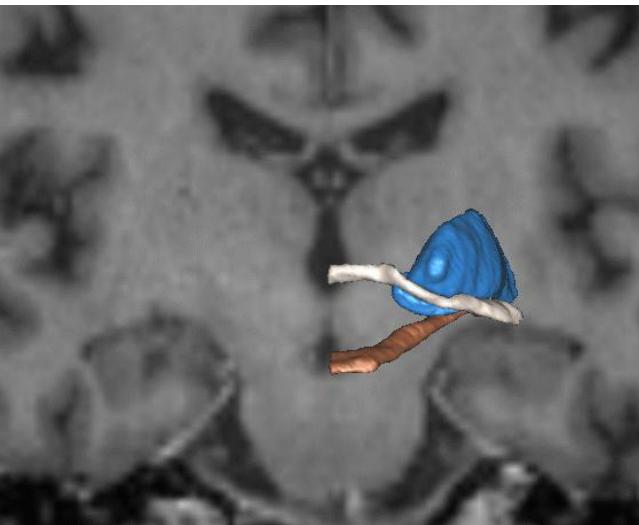


Patient MRIs deformed in atlas space
(spatial normalisation)

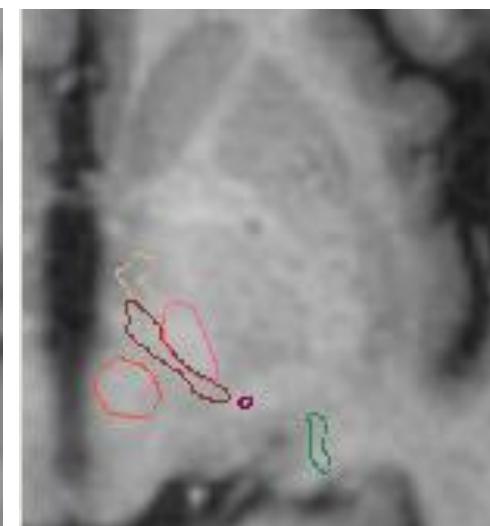
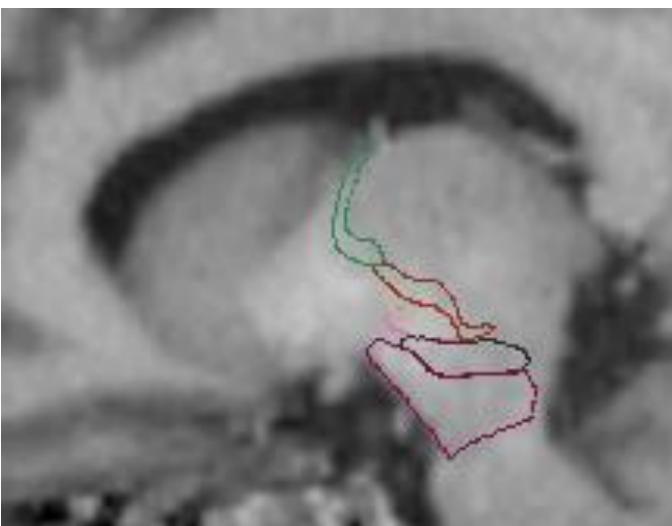
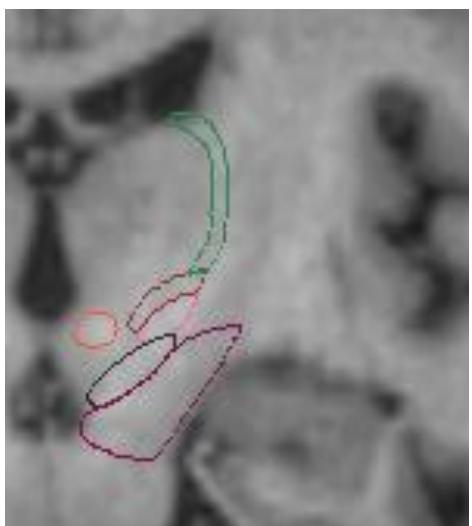
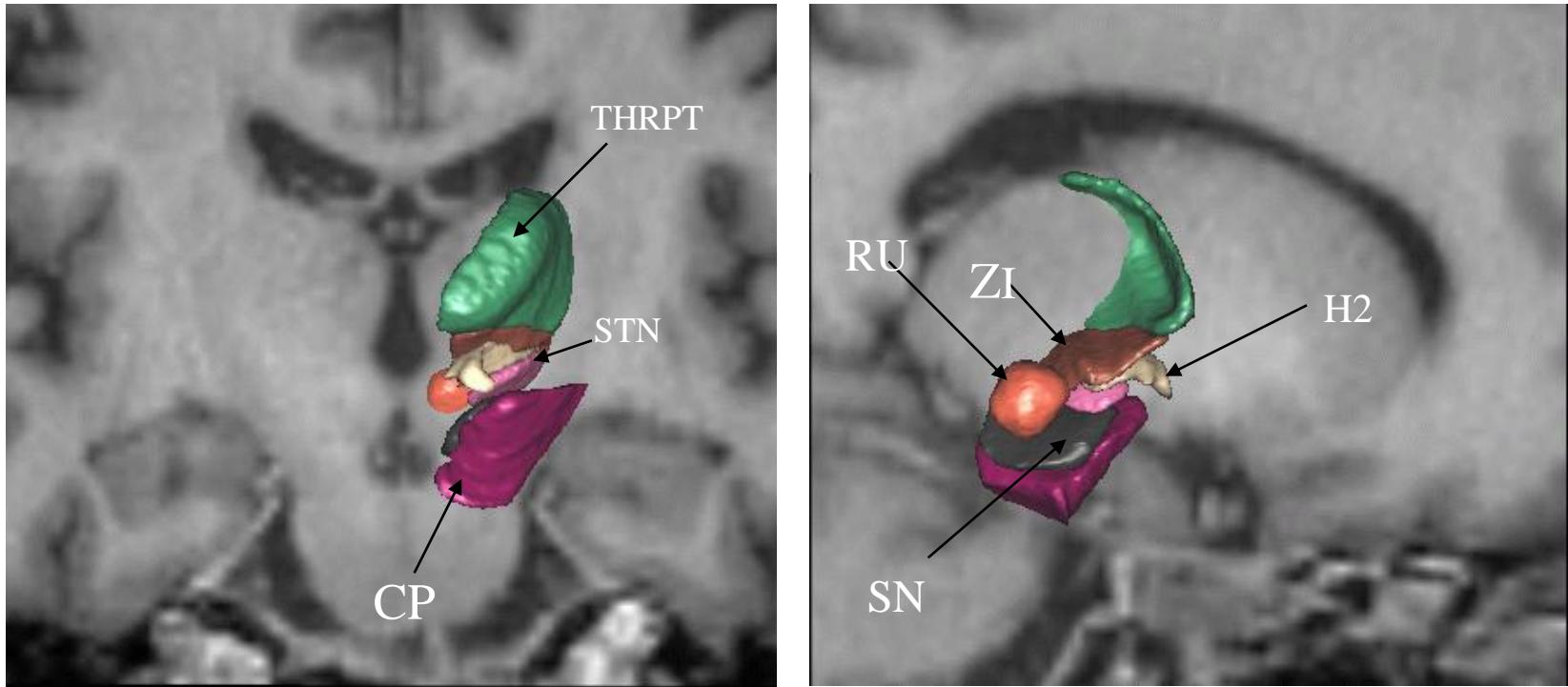
Accumbens, caudate nucleus, putamen



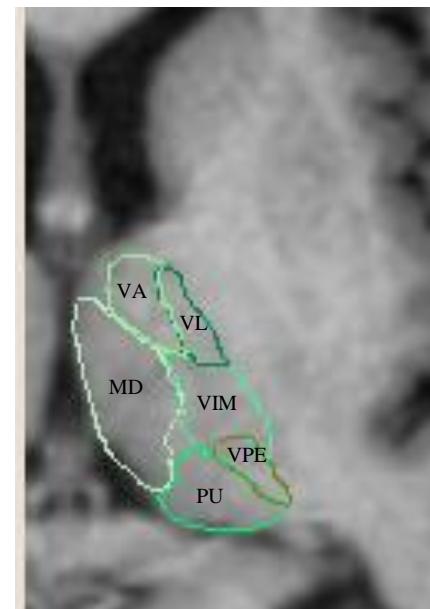
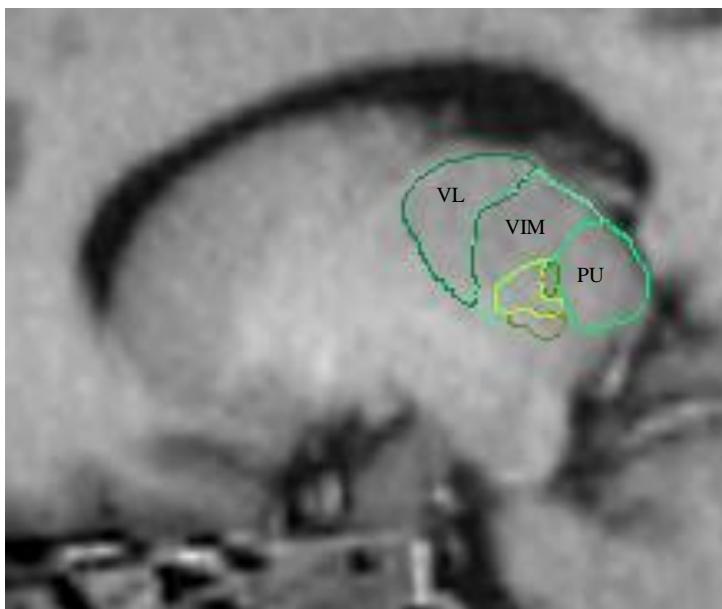
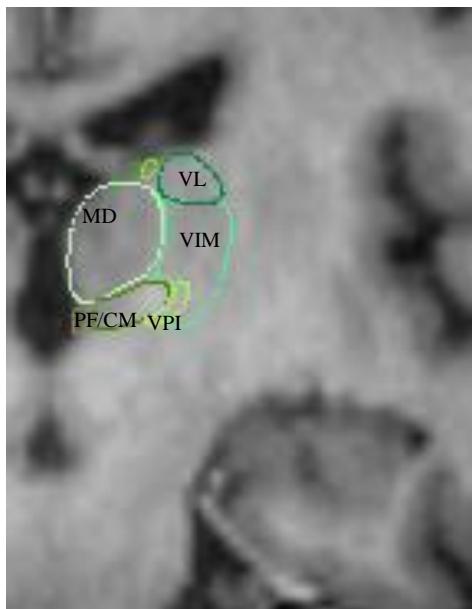
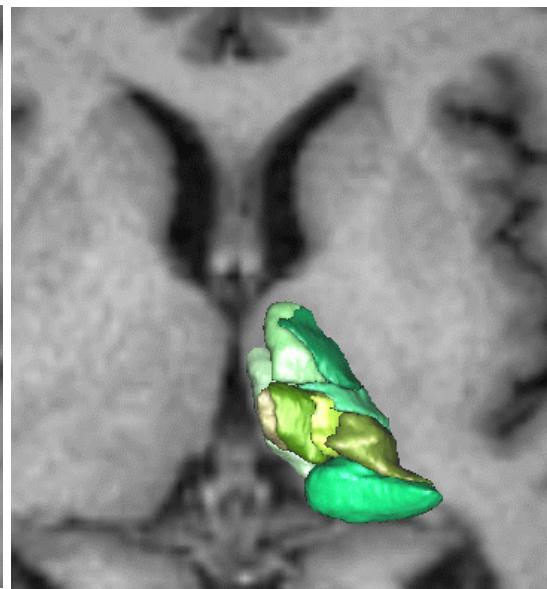
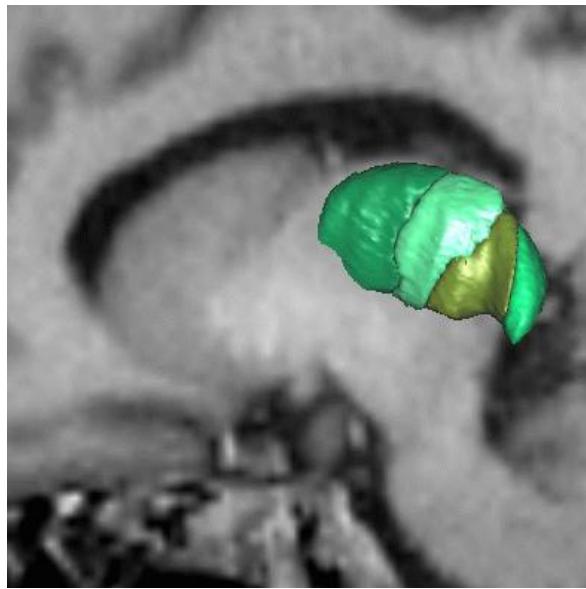
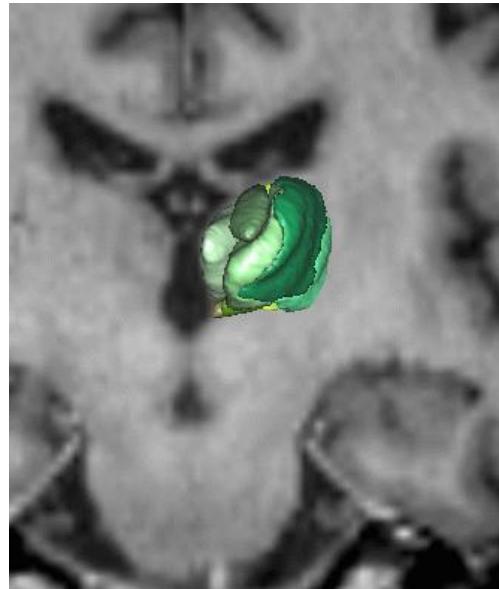
Globus pallidus



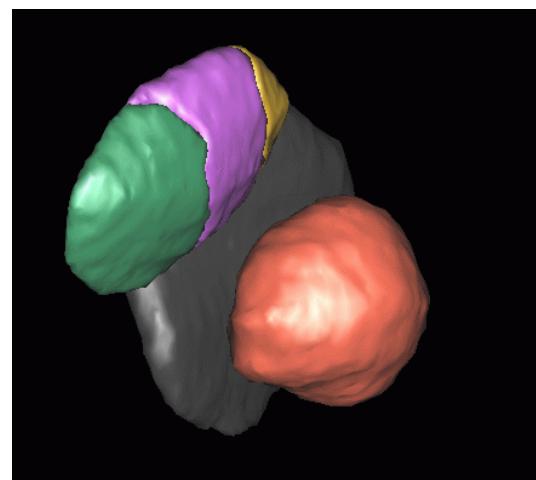
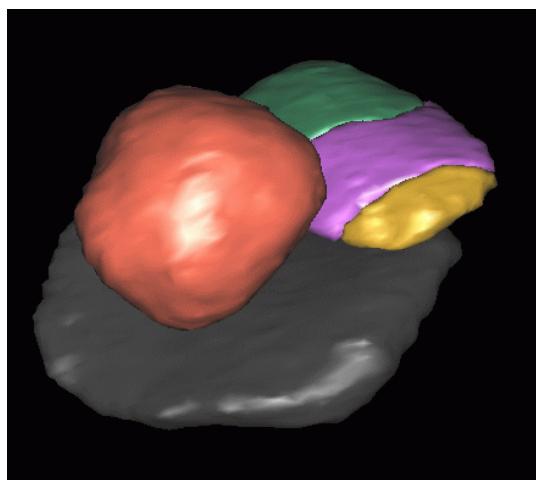
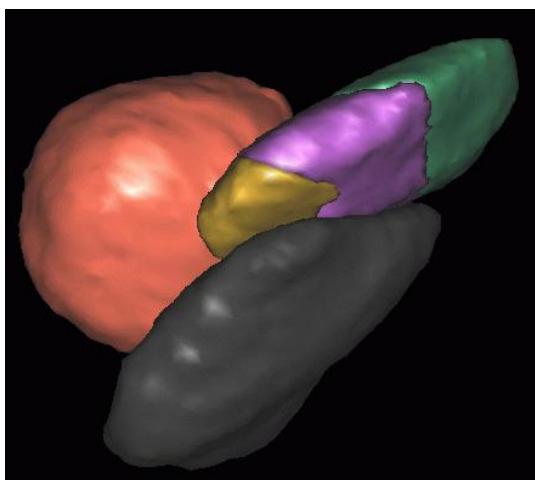
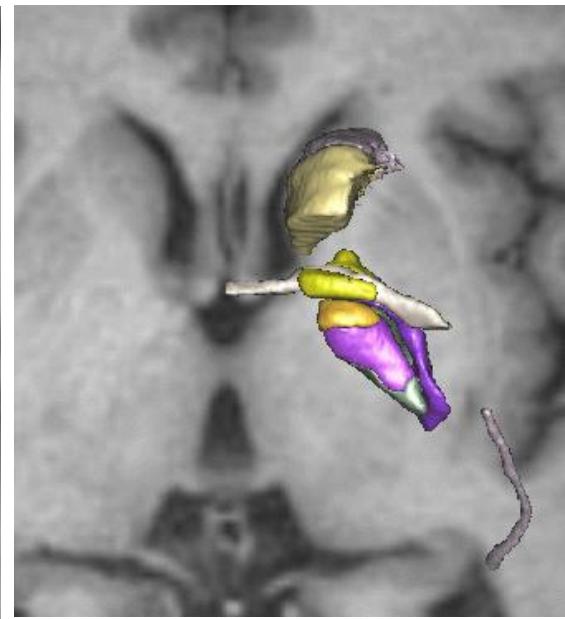
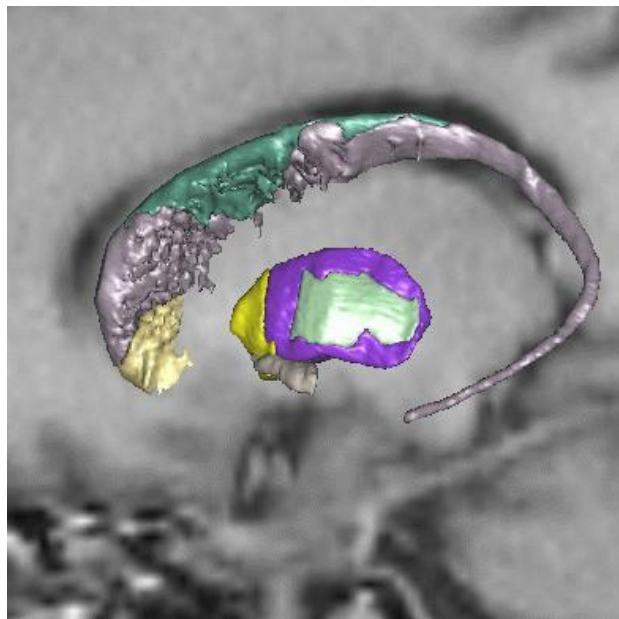
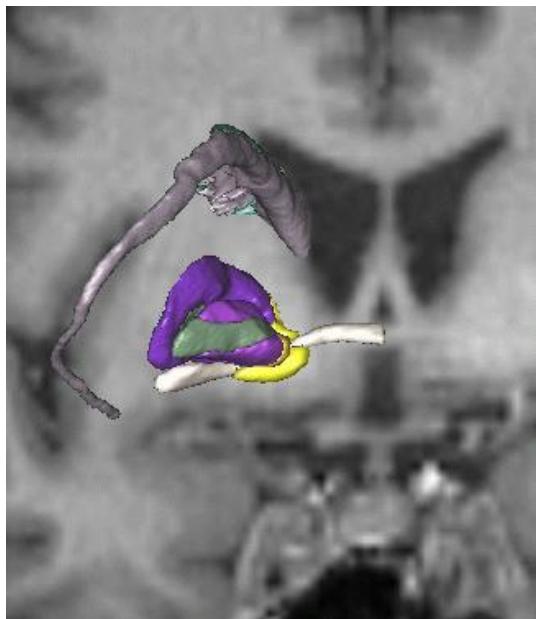
Sub Thalamic Nucleus, Substantia Nigra, red nucleus RU



Thalamus

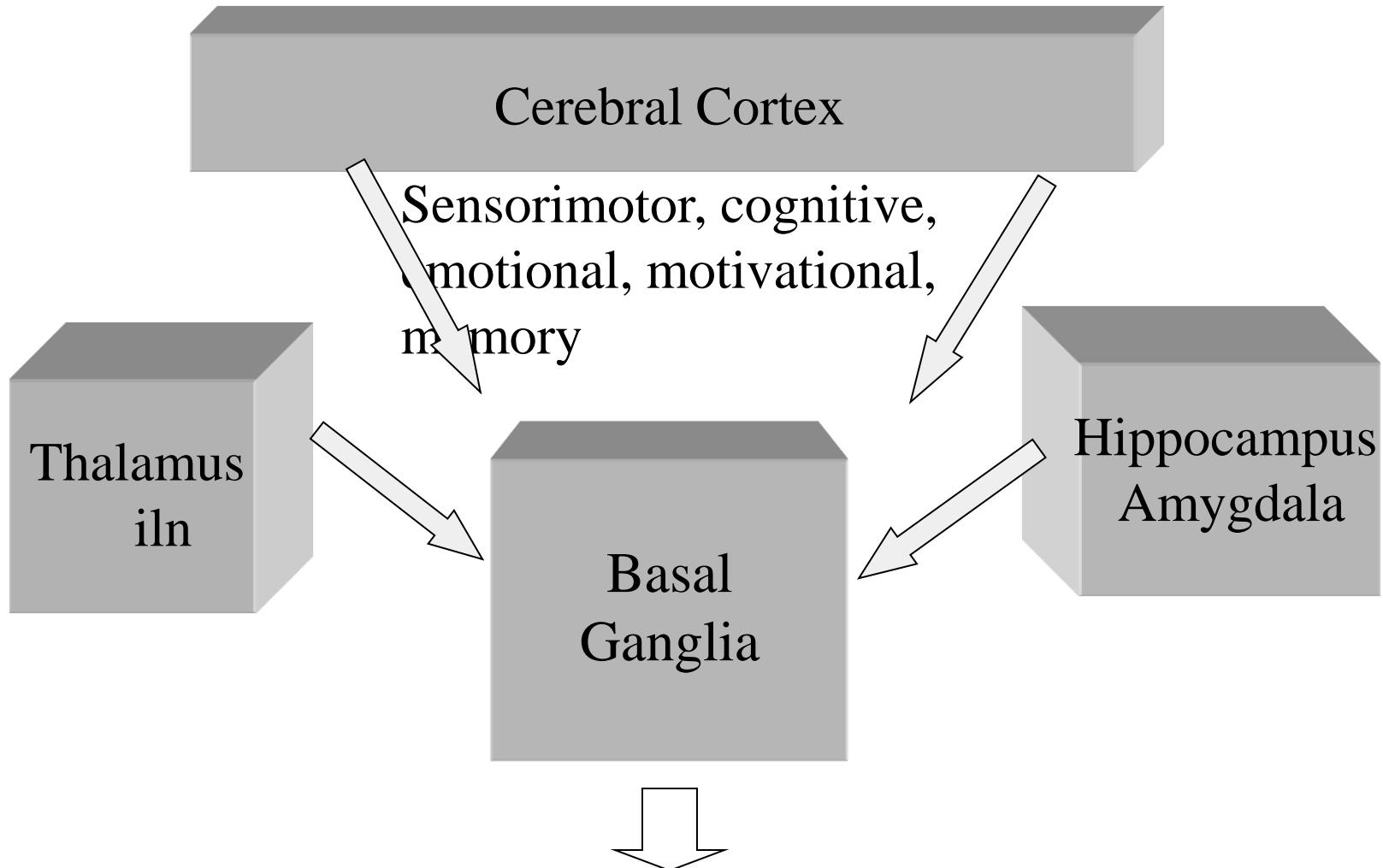


Functional territories

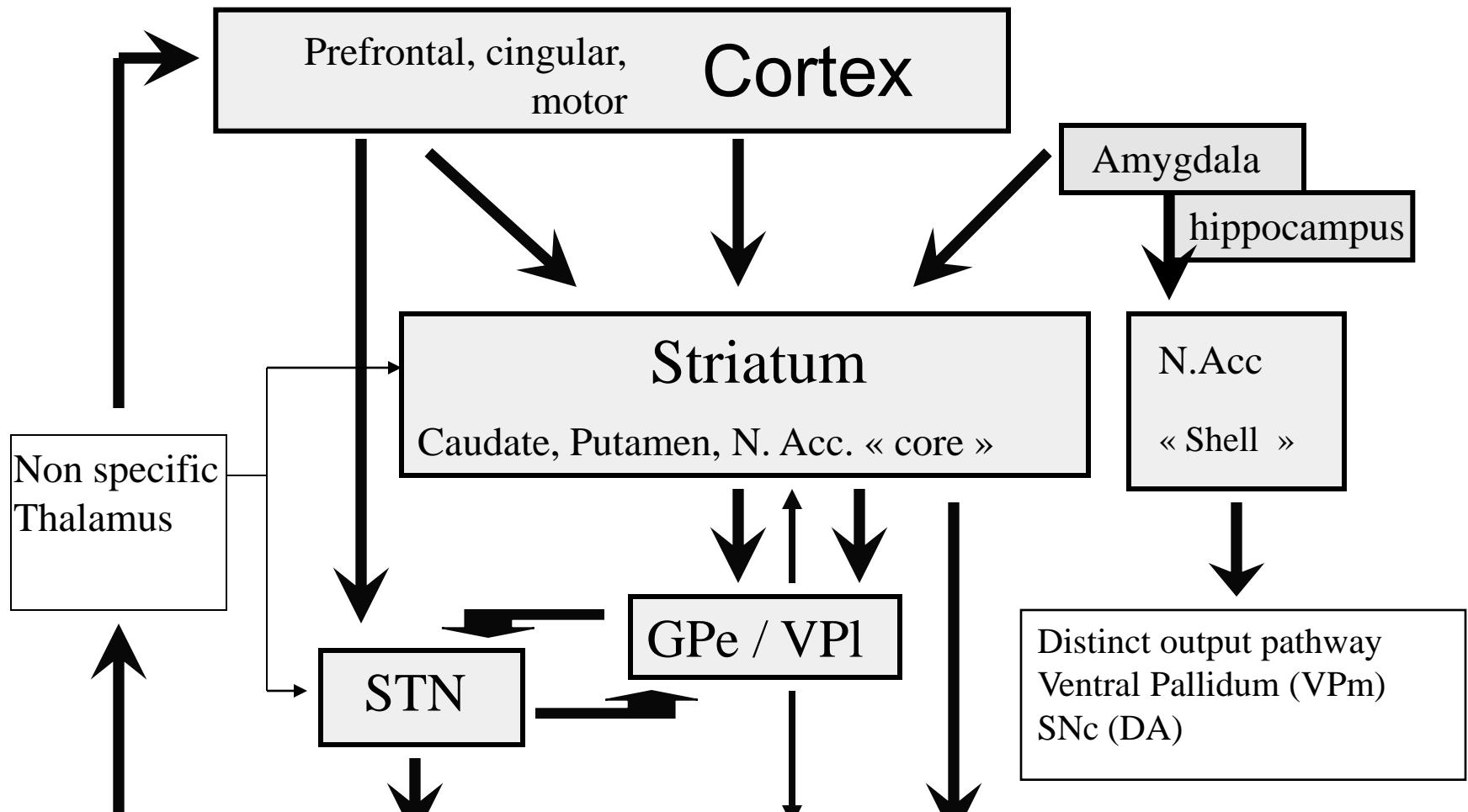


Functions of basal ganglia

from J.M. DENIAU



Environmental contextual analysis and organisation of a contextually adaptated behavior



Reticular pathways brainstem,
medulla

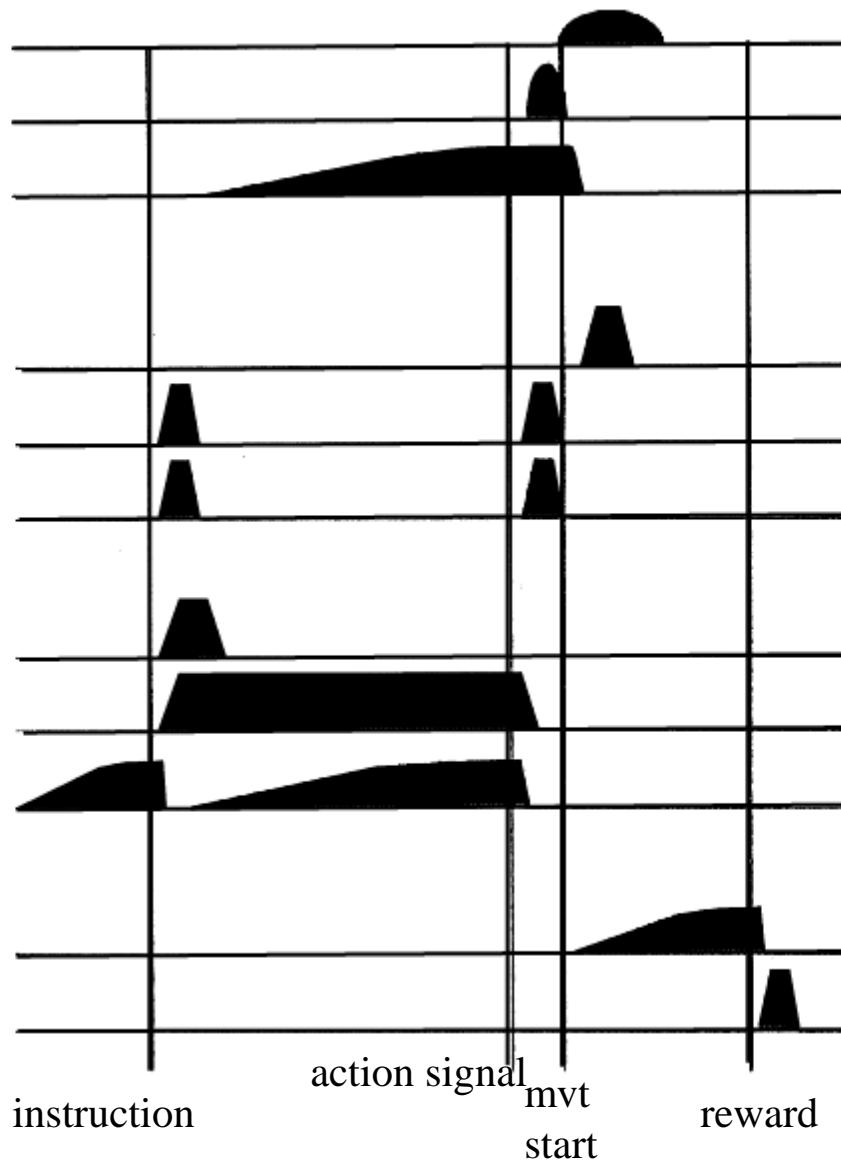
Striatum is active along all key phases of behavior organisation

- Movement execution
- Movement initiation
- Movement preparation

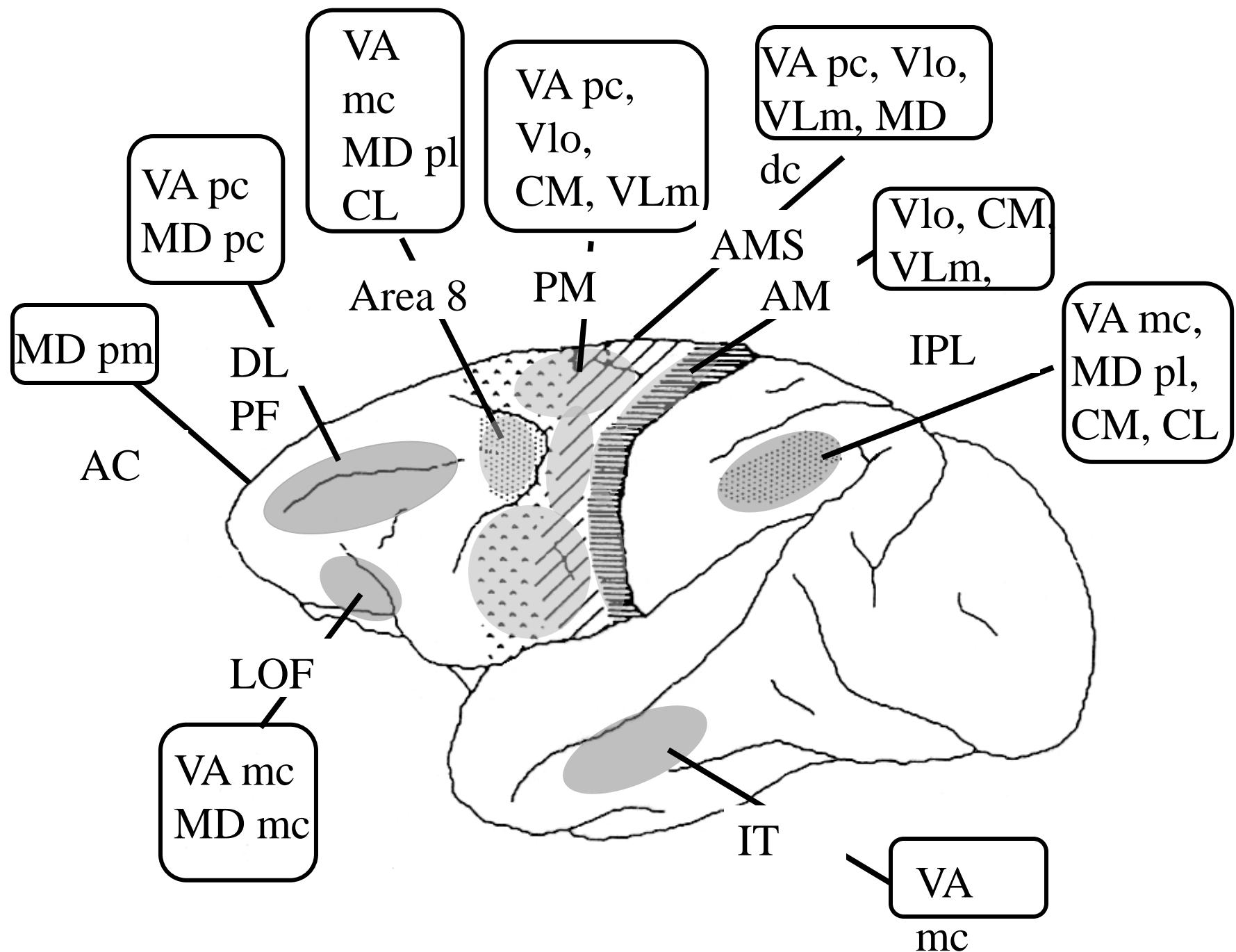
- Somatosensory response
- Visual response
- Auditory response

- Short term memory
- Working memory
- Prediction, waiting

- Waiting for a reward
- Reward

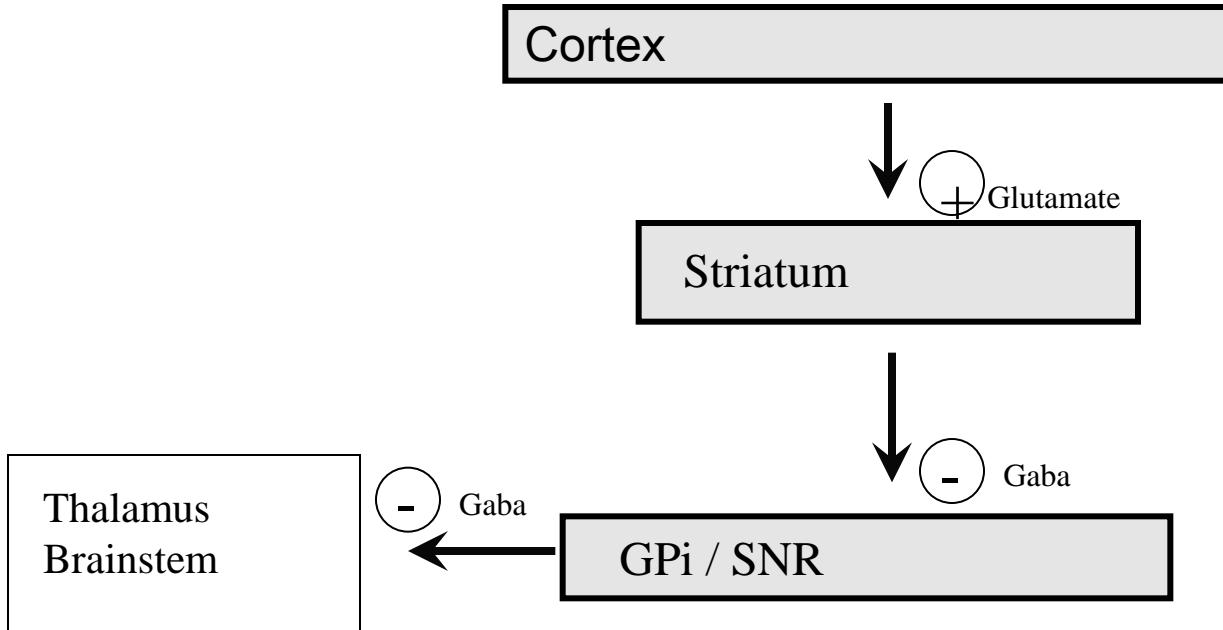


**Basal ganglia
modulate
prefrontal cortical areas, premotor, motor
temporal and parietal cortex
via thalamic projections of
Substantia Nigra and Globus Pallidus**

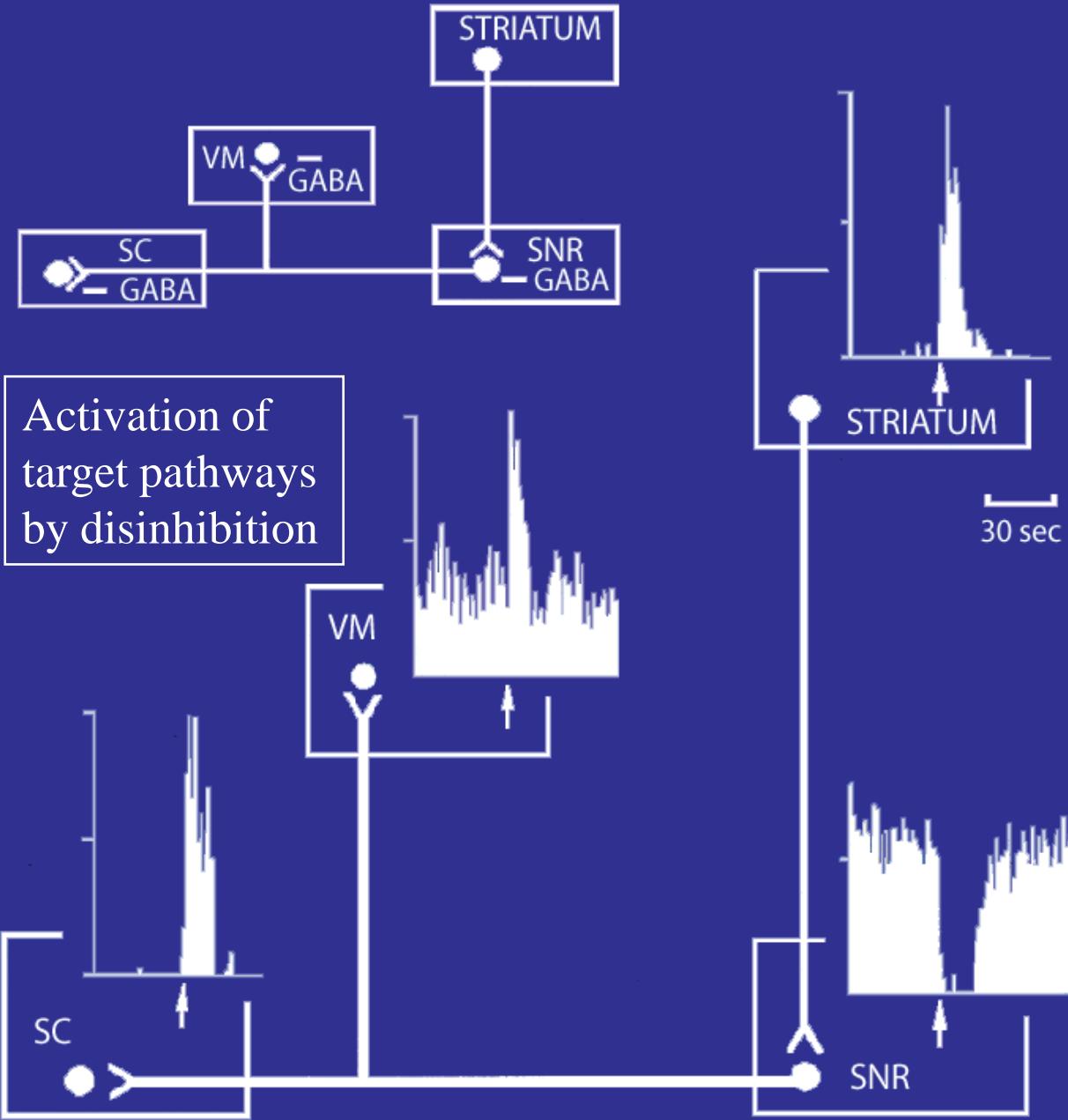


Informations originating from cortical areas are transmitted to output structures of basal ganglia through three main pathways:

- **A direct trans-striatal circuit,**
- **An indirect trans-striatal circuit,**
- **A direct trans-subthalamic circuit**



Direct trans-striatal circuit activates target pathways of basal ganglia via a disinhibition mechanism

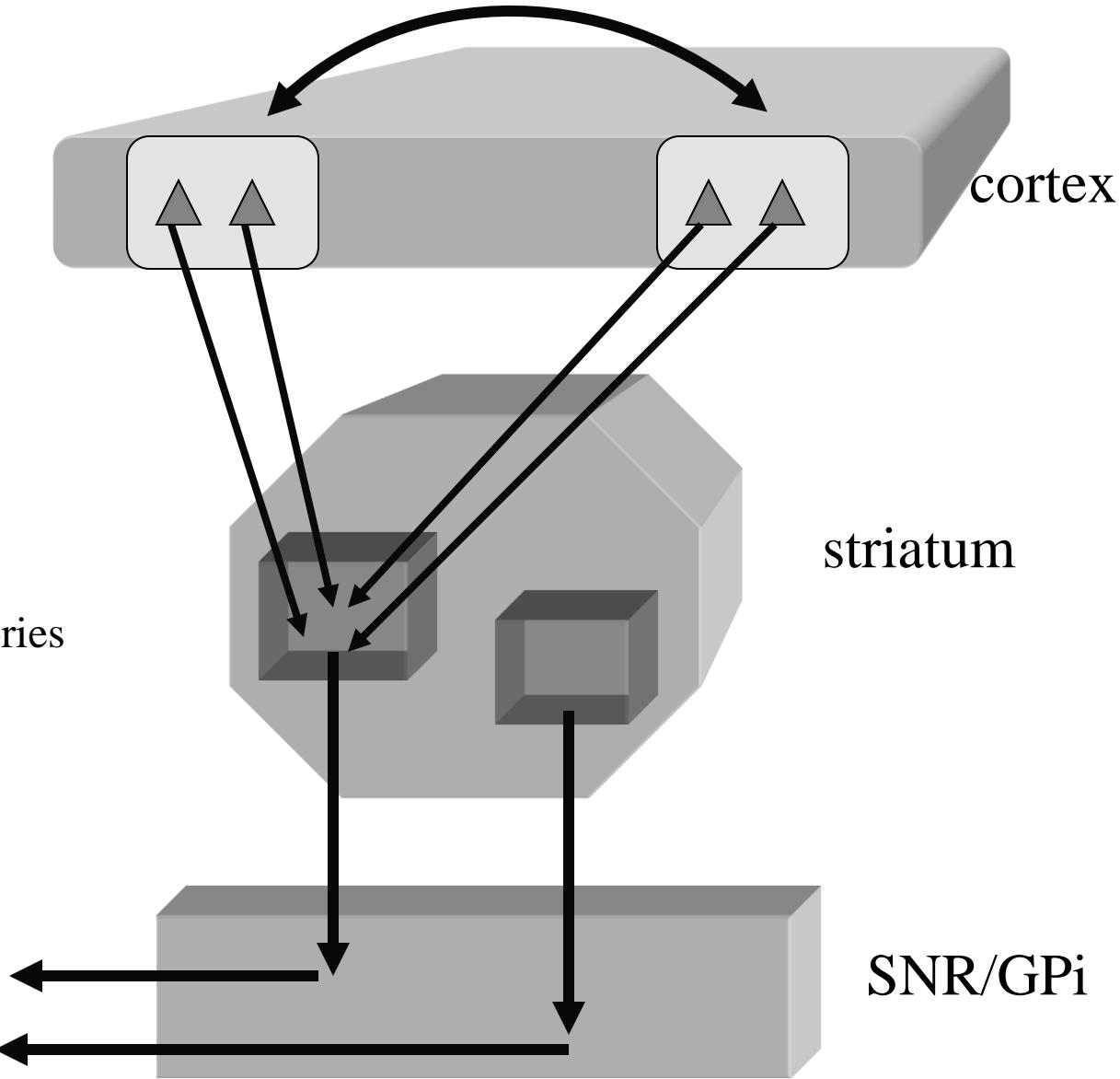


Striatal activation

Output neurons inhibition

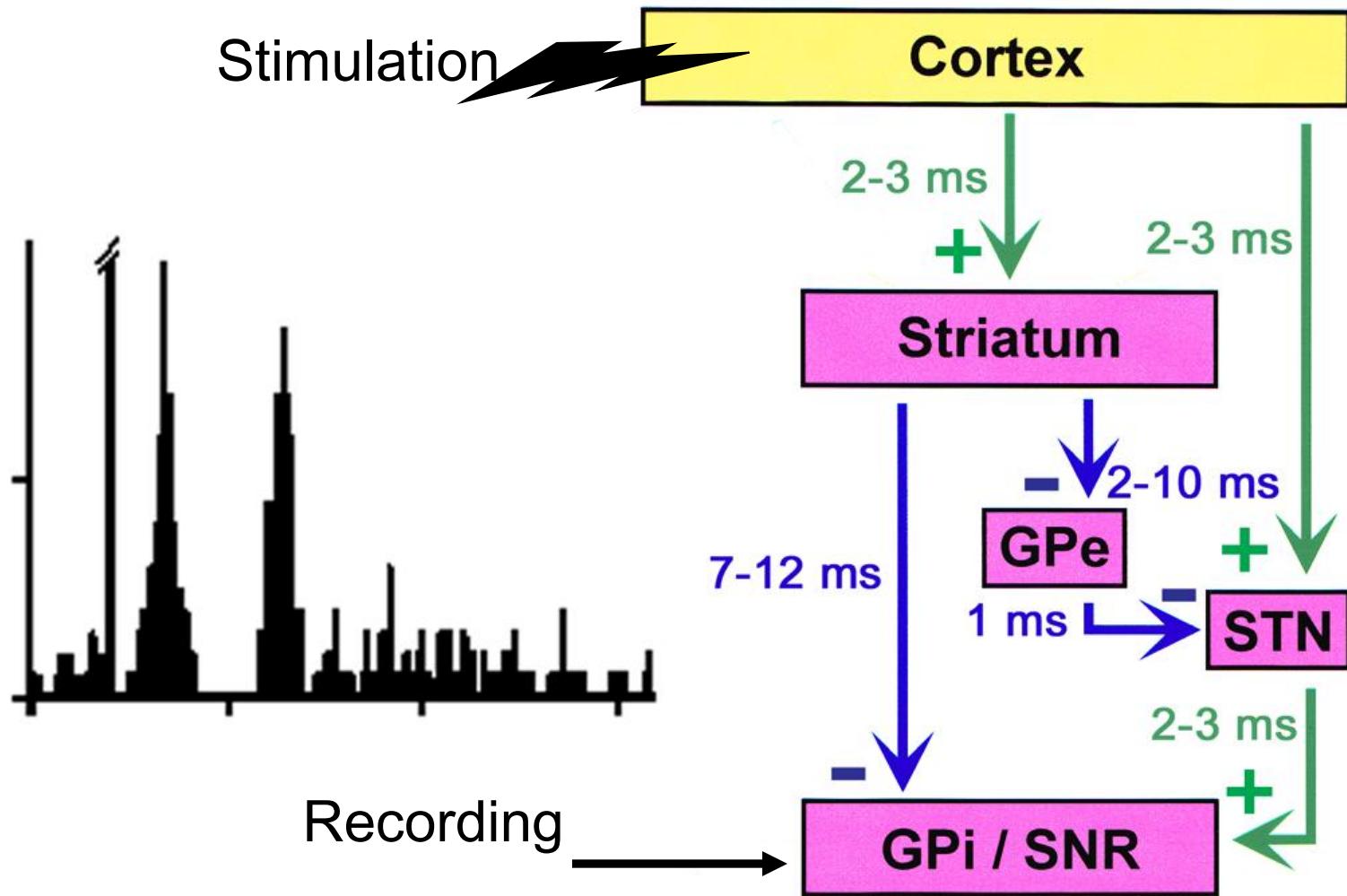
Modular parallel architecture and convergence of direct trans-striatal pathway

Cortical areas
fonctionnally
associated



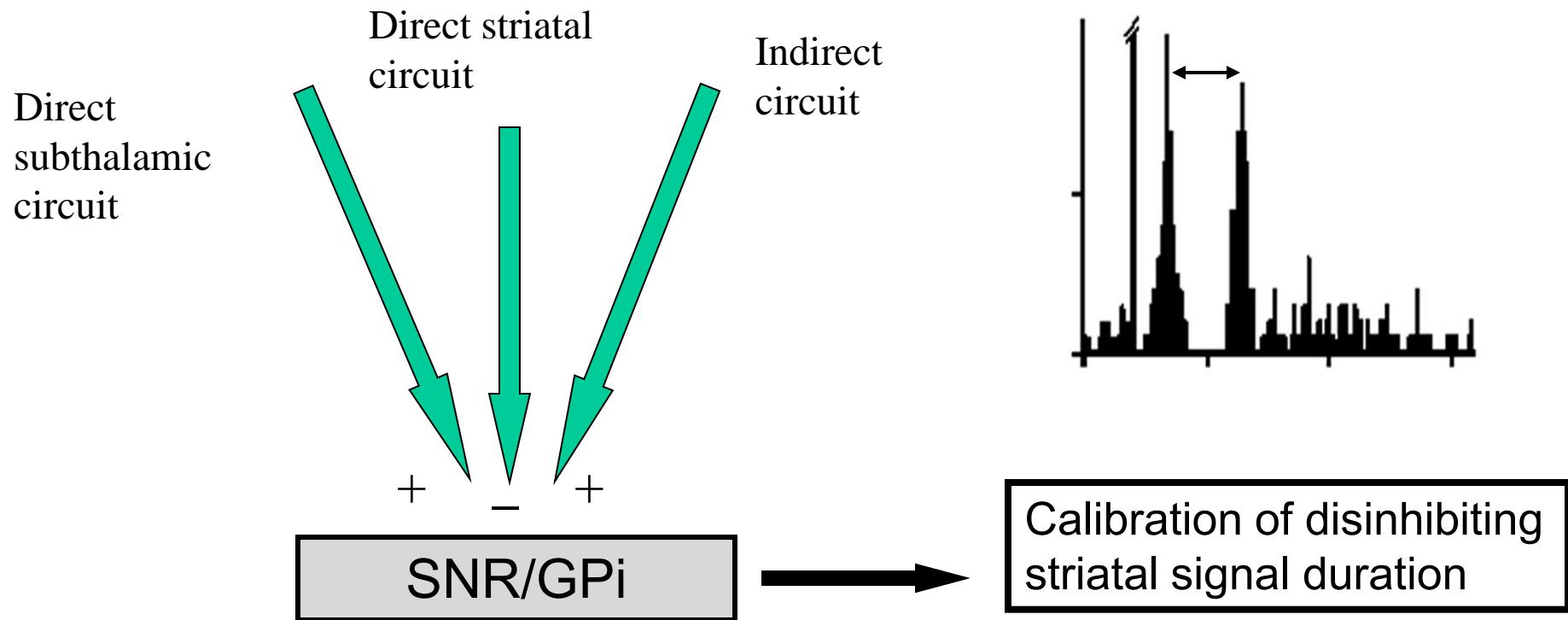
Trans-subthalamic pathways perform a temporal and spatial configuration of the striatal disinhibiting signal

Cortical stimulation evoques a triphasic response (excitation-inhibition-excitation) in SNR neurones. Early excitation results from the activation of direct trans-subthalamic pathway, inhibition results from the activation of direct trans-striatal circuit and late activation from indirect striato-pallido-subthalamo-nigral pathway.



Temporal calibration within a channel

Functionnally associated
cortical areas



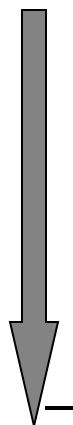
Spatial selection : interaction between channels

Channel 1

Associated cortical areas

direct striatal
pathway

trans subthalamic
pathway



+

SNR/GPi



Calibrated disinhibition of channel 1
target structures

Channel 2

Associated cortical areas

direct striatal
pathway



+

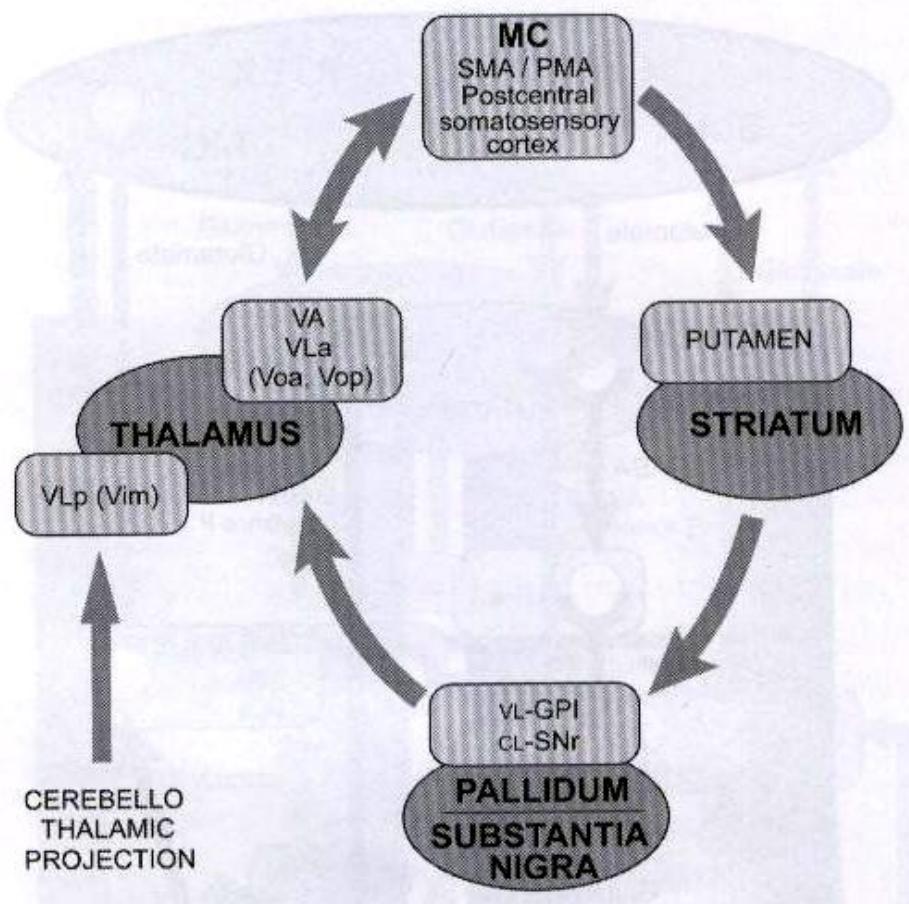
SNR/GPi

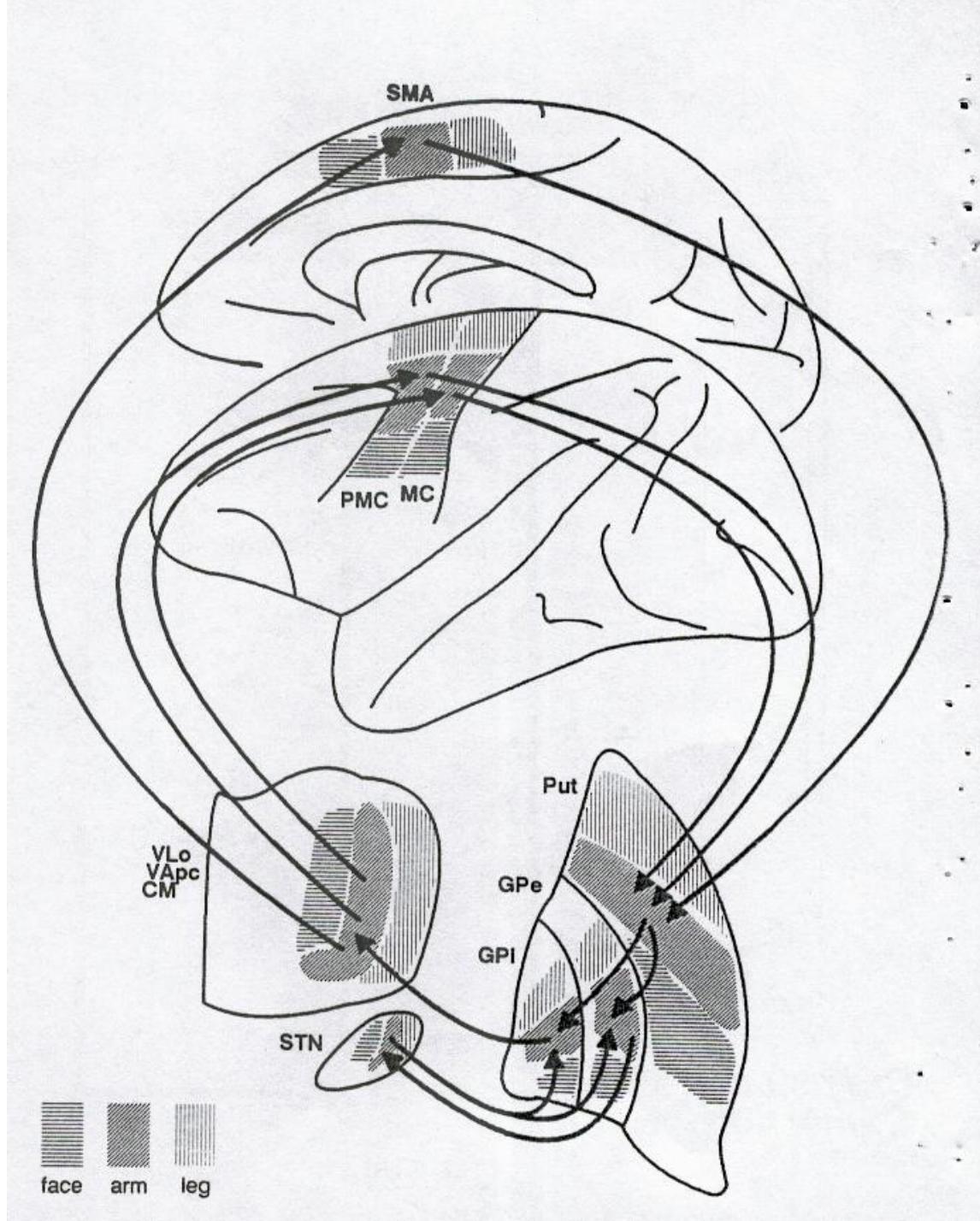


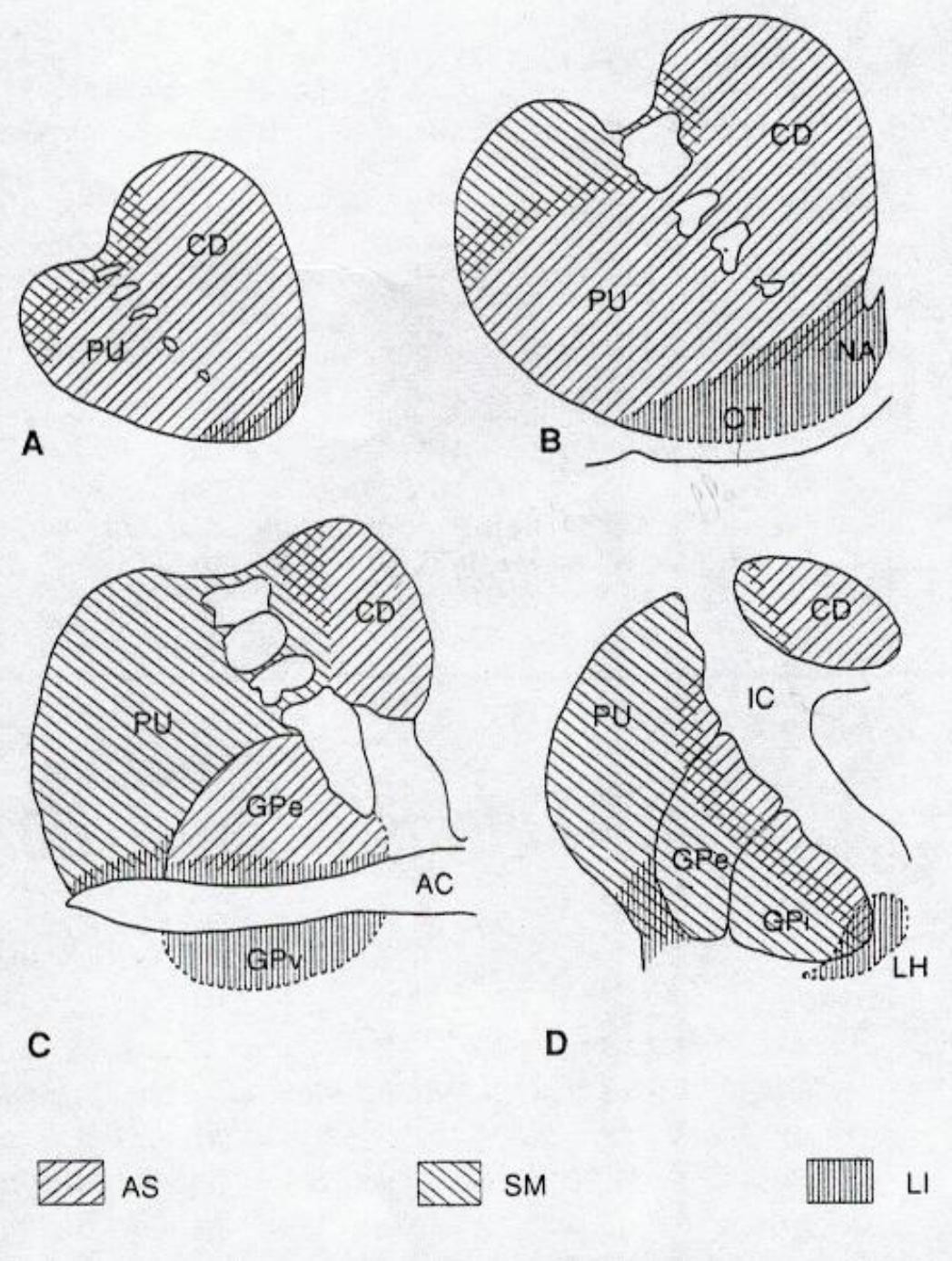
inhibition of channel 2
target structures

cortico-striato-pallido-thalamo-cortical loop circuits

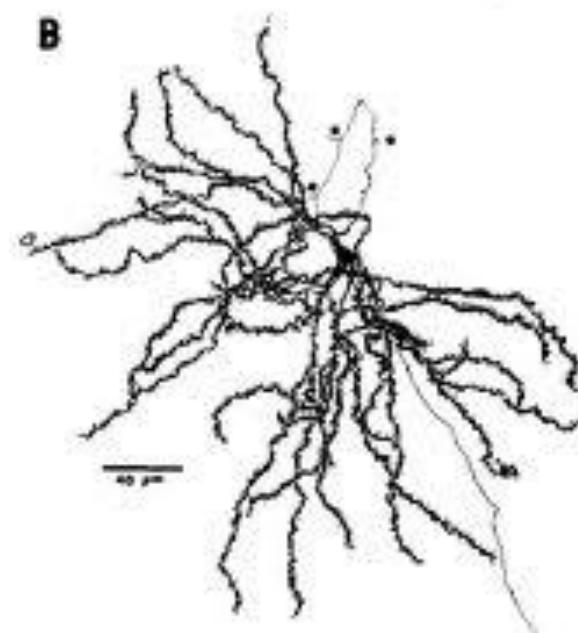
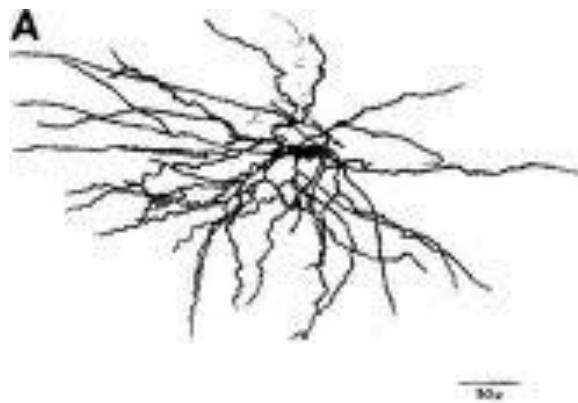
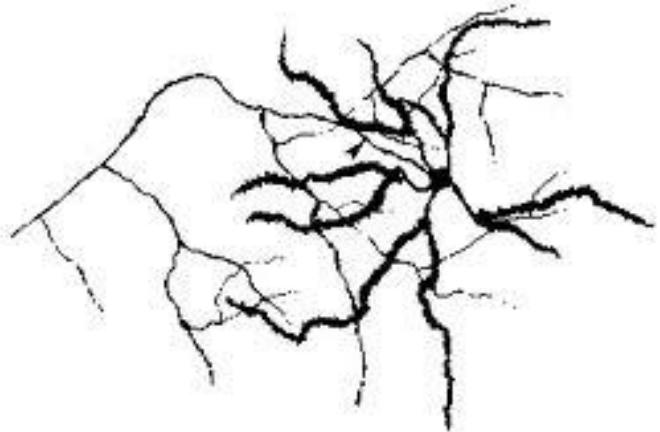
Alexander & Delong





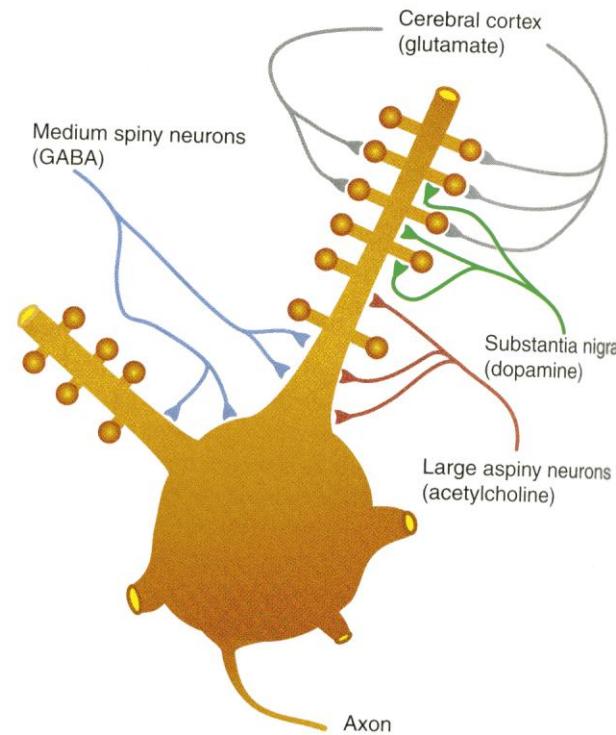


Striatal medium spiny neuron



Striatal output neurones afferents

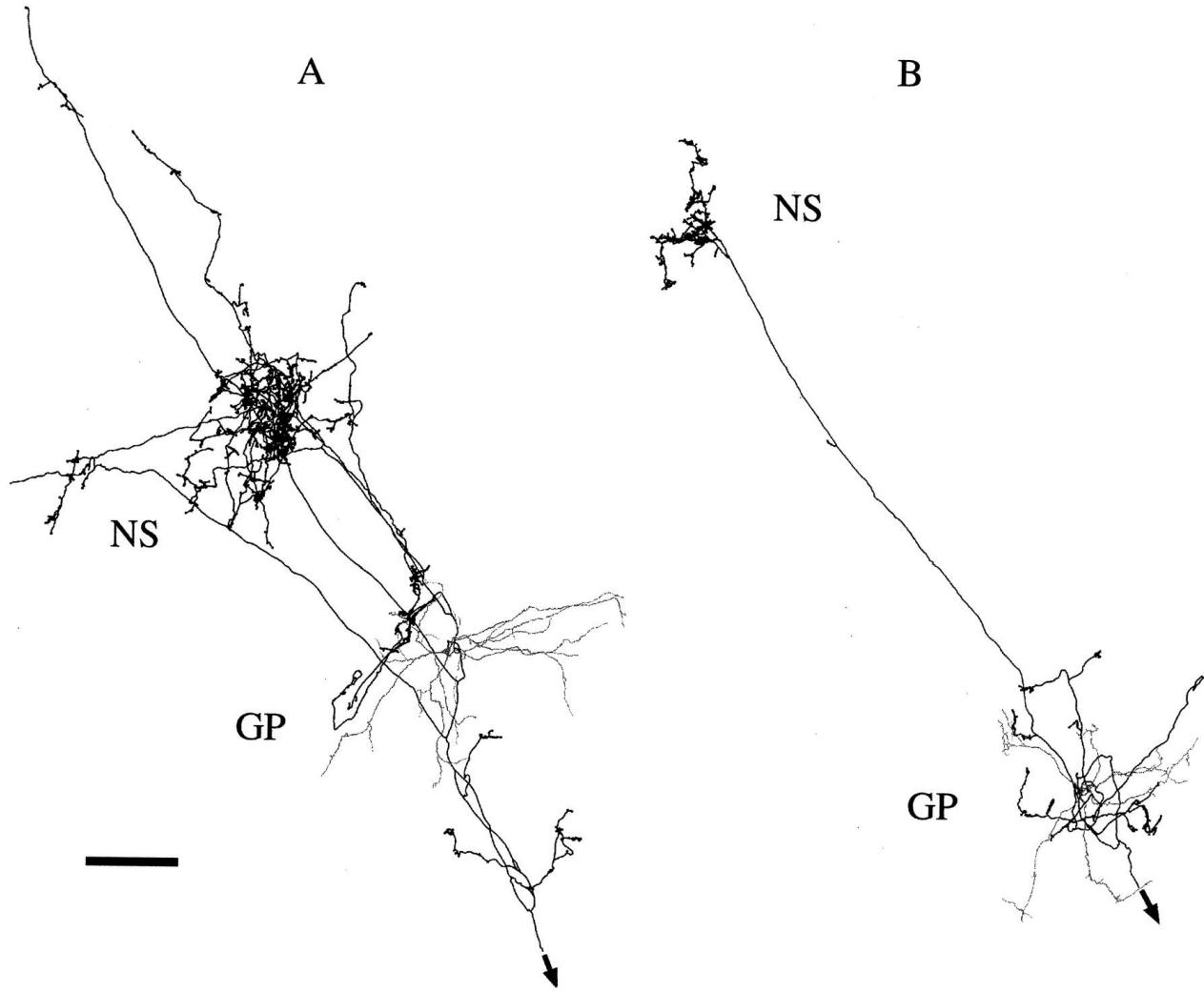
*dopamine
modulates
messages from
cerebral cortex*



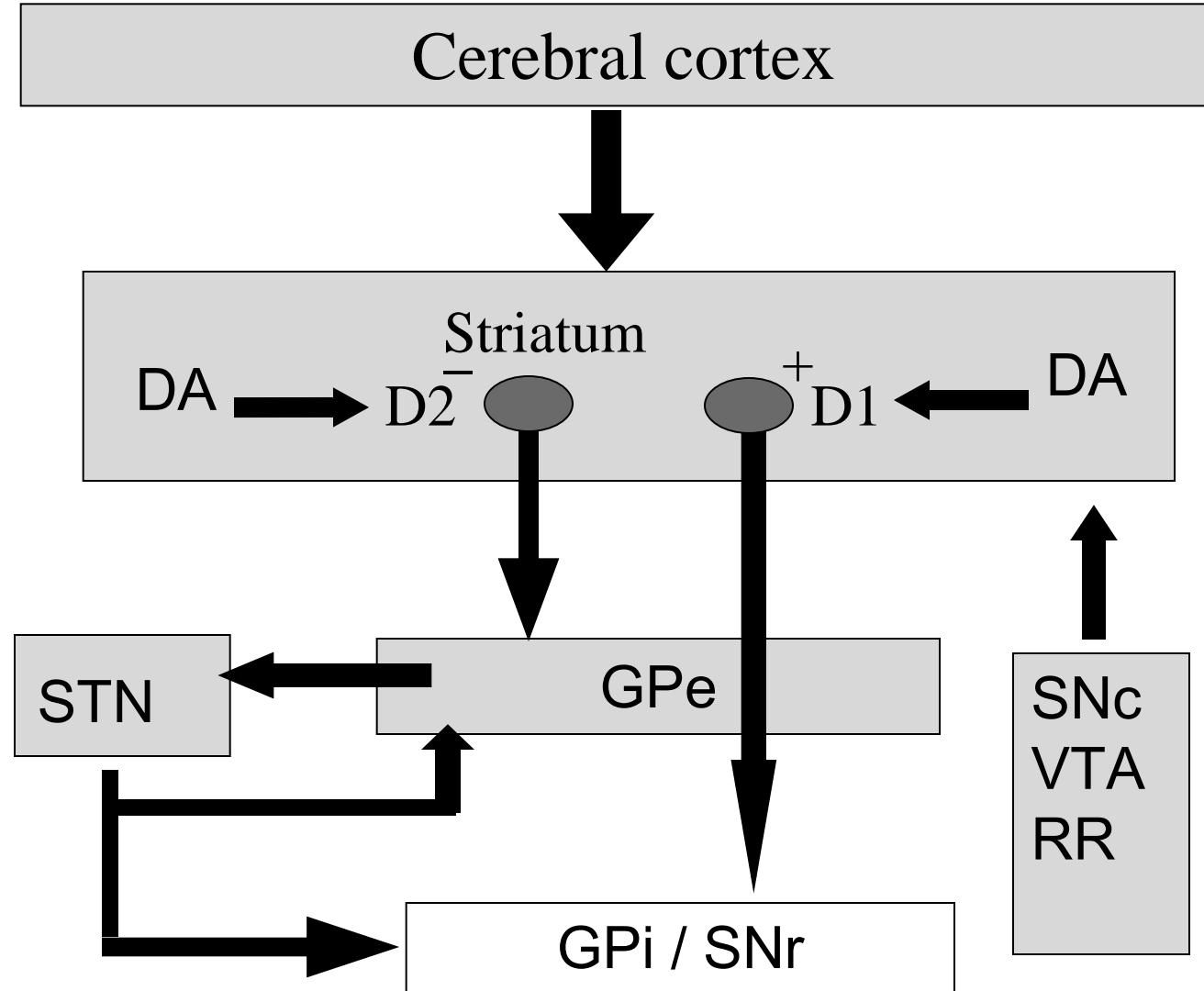
Medium spiny neurons

Adapted from Squire, 2003

Globus Pallidus neurons



Physiopathological models are based on the hypothesis of a distinct neuronal origine of direct and indirect trans-striatal pathways and on a differential control by dopamine on these two sub populations of striatal neurones.



Hypokinetic disorders

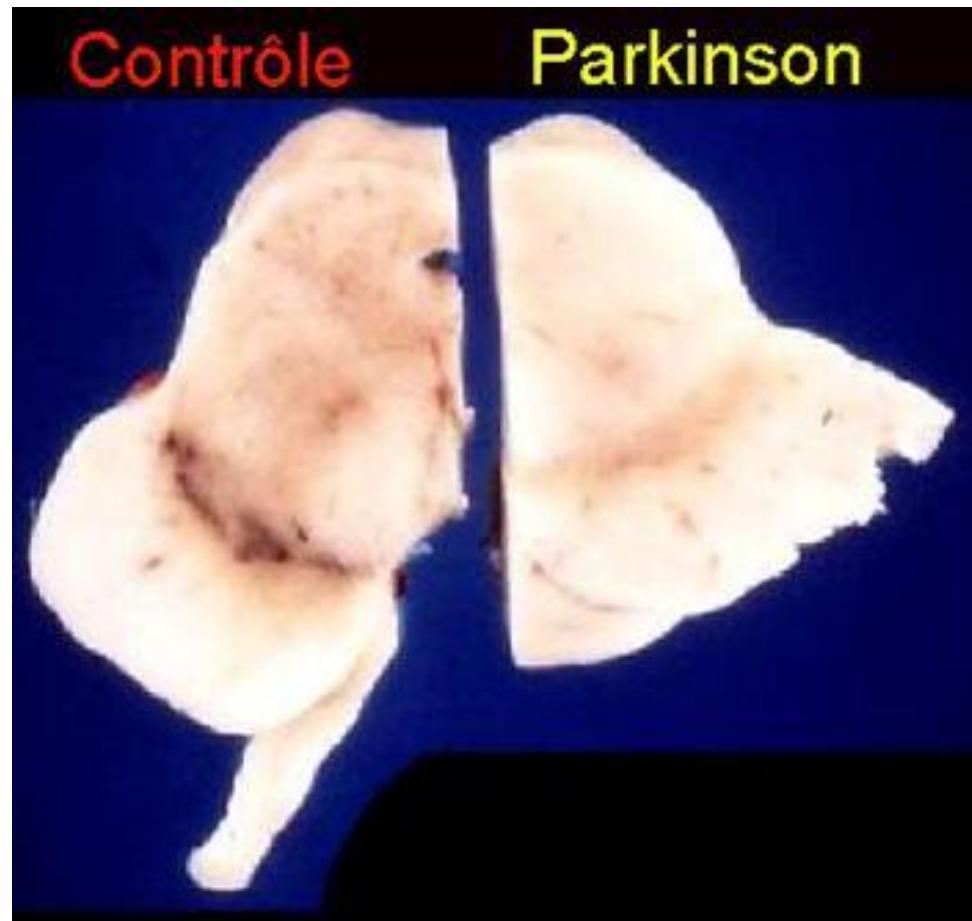
- Parkinson's disease
- neuroleptics parkinsonian syndrom
- MPTP monkey parkinsonism model

Parkinson's disease

PHYSIOPATHOLOGY

- Death of Substantia Nigra dopaminergic neurons.
- Less dopamine in target structure : Striatum (caudate nucleus and pallidum);
- When 50-60 % DA neurons have died, PD clinical signs begin.

Loss of dopaminergic neurons, DA



- Human mesencephalon
- Parkinson's disease : DA neurons contain neuromelanine (black pigment)

Dopaminergic loss location

Possible role of calbindin afferents

Calbindin : calcium binding protein
neuroprotective effect by inhibiting free radical formation ?

- *Ventral DA* neurons have less calbindin => less protected
=> more neuronal loss.
- *Dorsal DA* neurons have numerous calbindin afferents.

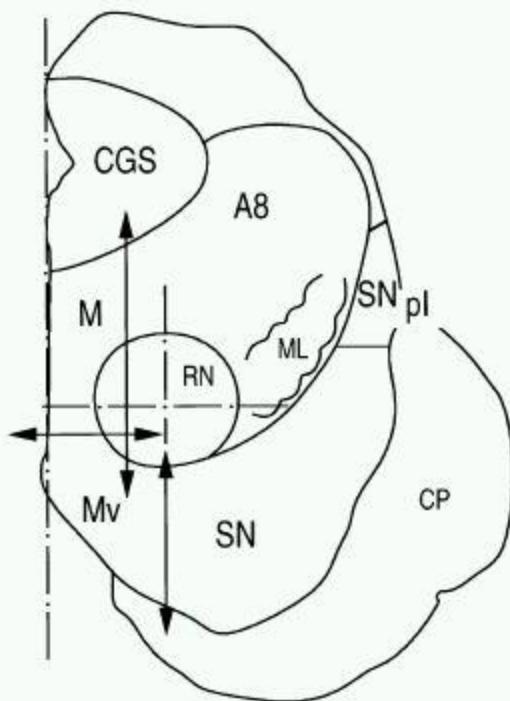
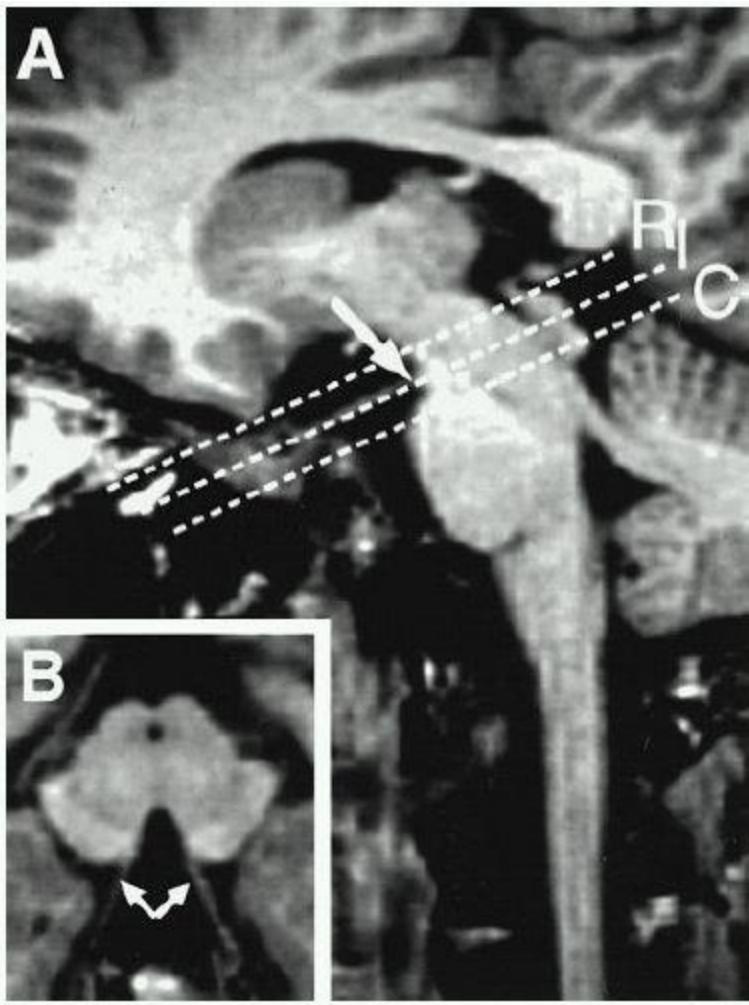


Fig. 2 Subdivision of dopamine-containing neurons of the midbrain into six dopaminergic groups. CGS = central grey substance; CP = cerebral peduncle; M = medial group; Mv = medioventral group; A8 = dopaminergic group A8; SN = substantia nigra; SNpl = substantia nigra pars lateralis; RN = red nucleus; ML = medial lemniscus. Based on work by Hirsch and colleagues (Hirsch *et al.*, 1988).

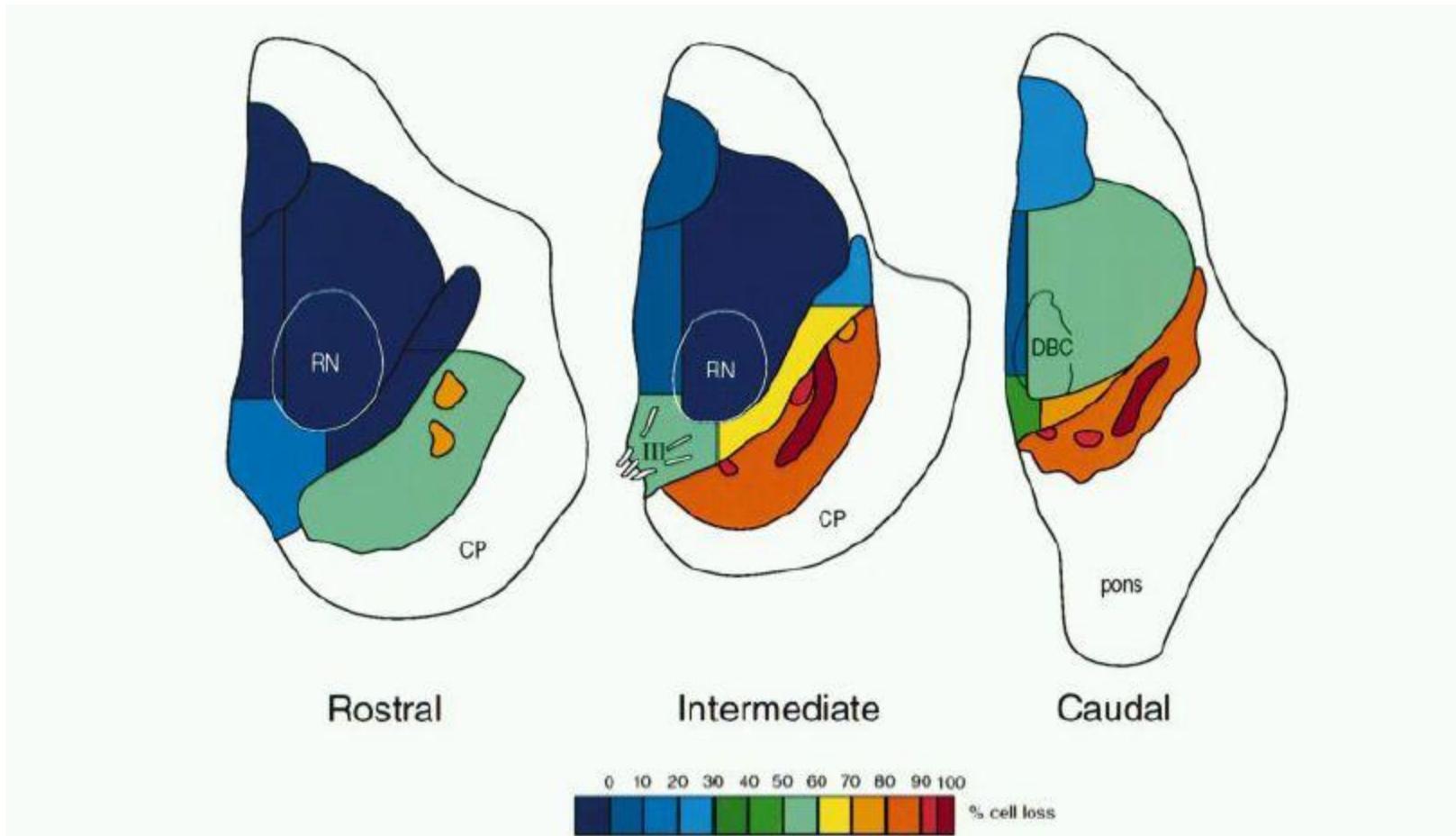
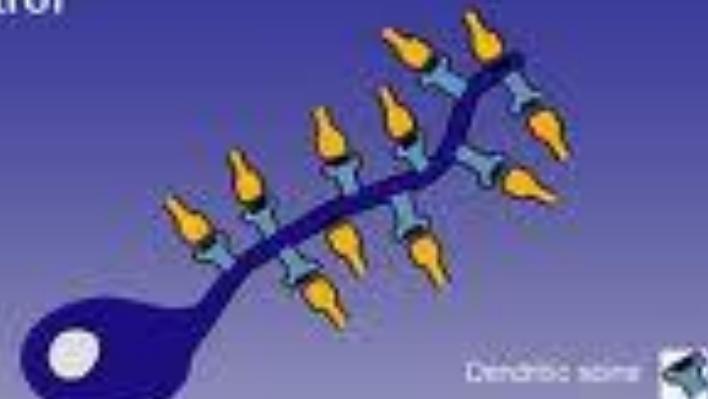
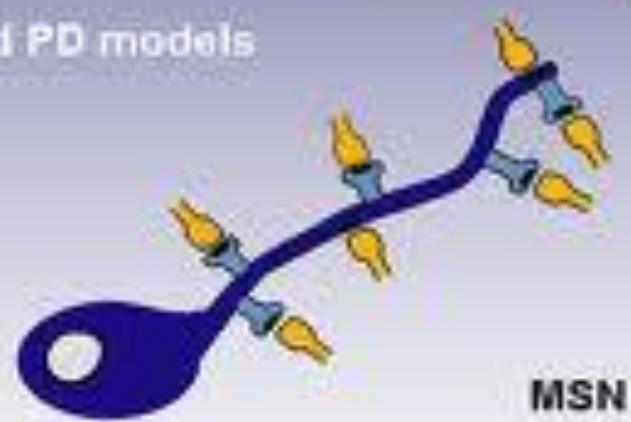


Fig. 2 Regional and intranigral loss of dopamine-containing neurons in Parkinson's disease. The colorimetric scale indicates the estimated amount of cell loss (least = blue; most = red). Cell losses in the different groups of the midbrain were calculated by comparing mean numbers of TH-positive neurons in each group of five parkinsonian midbrains (disease duration = 7–32 years) with corresponding means for five control midbrains. A8 = dopaminergic cell group A8; CGS = central grey substance; CP = cerebral peduncle; DBC = decussation of brachium conjunctivum; M = medial group; Mv = medioventral group; N = nigrosome; RN = red nucleus; SNpd = substantia nigra pars dorsalis; SNpl = substantia nigra pars lateralis; III = exiting fibres of the third cranial nerve.

Control

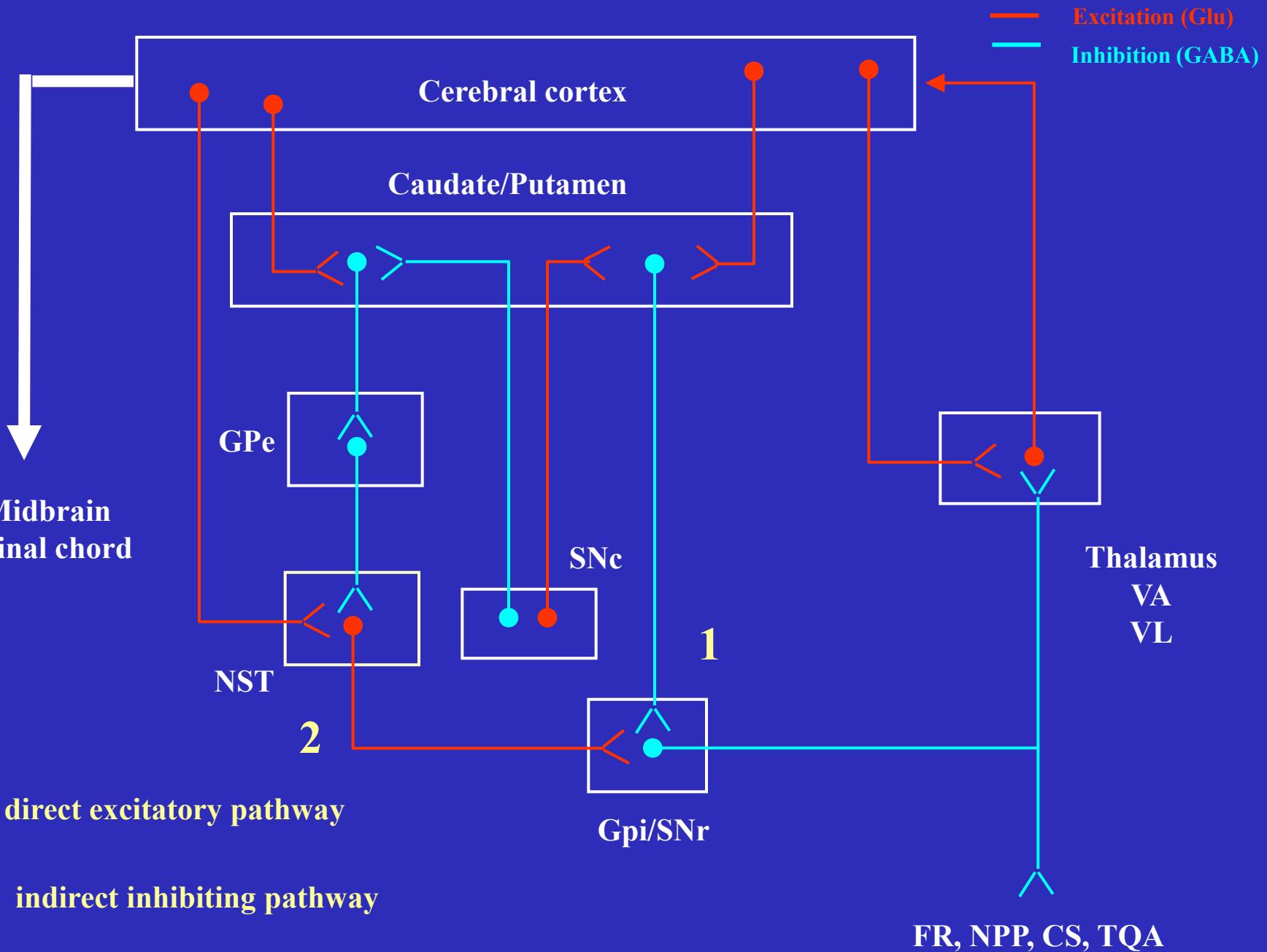


PD and PD models



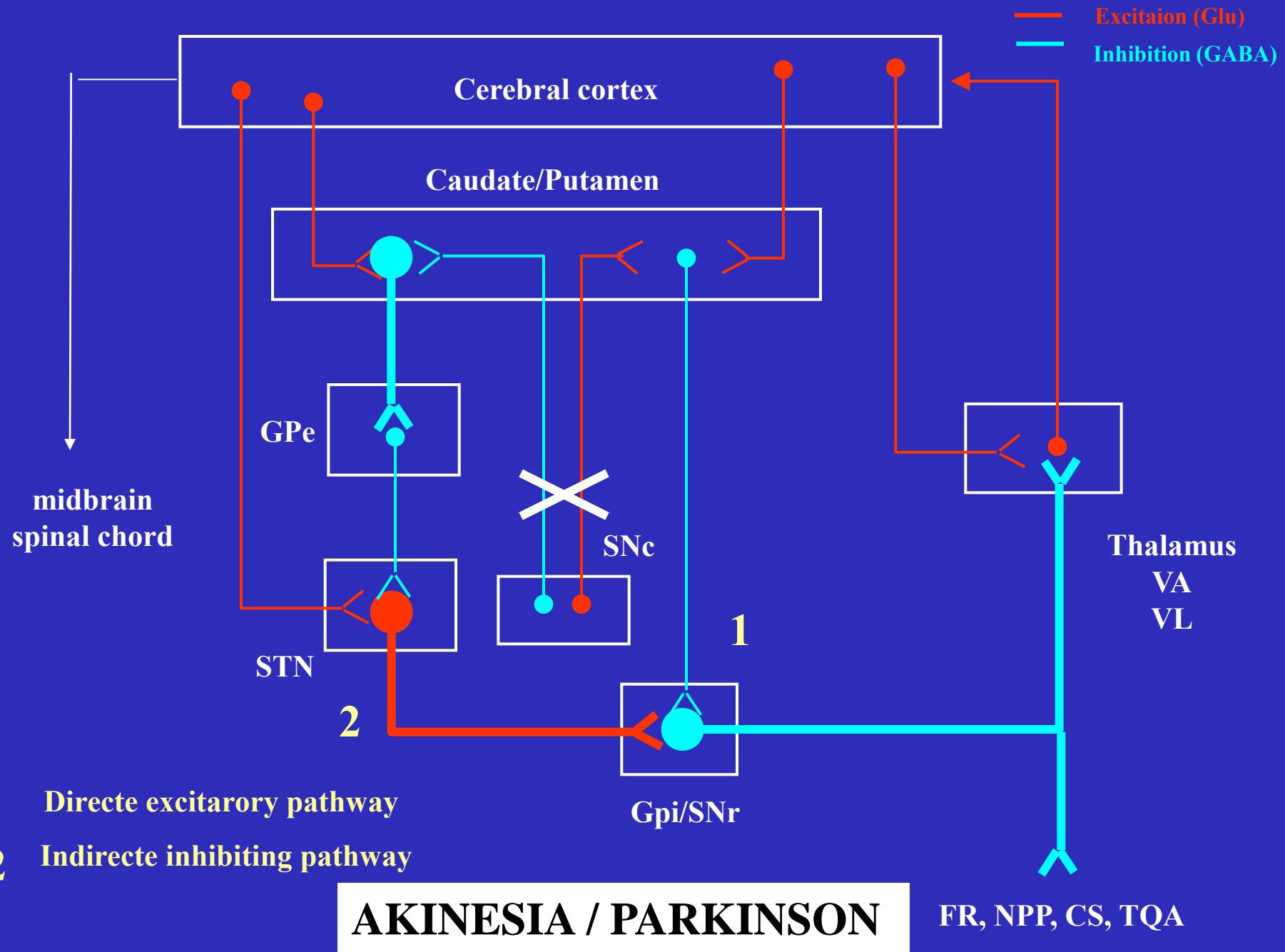
Dorsal Striatum

DA



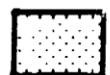
Parkinson's disease

- Resting tremor (4-6 Hz) : trembling in the hands, arms, legs, jaw and face
- Rigidity: stiffness of the limbs and trunk
- Bradykinesia: slowness of movements
- Akinesia: difficulty in initiating movement
- Postural instability: impaired balance
- and depression...





Af. tact



Af. mouvt.

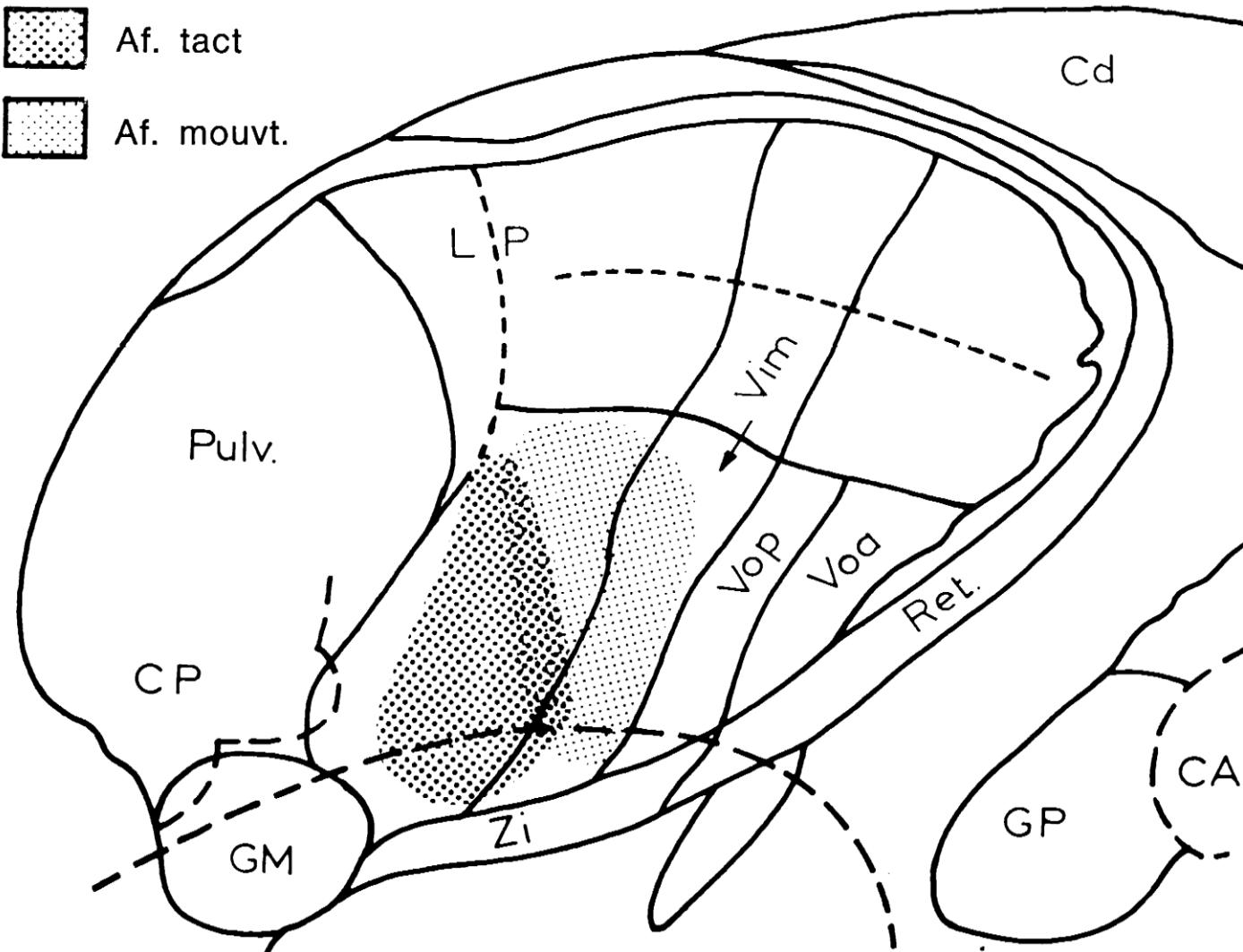
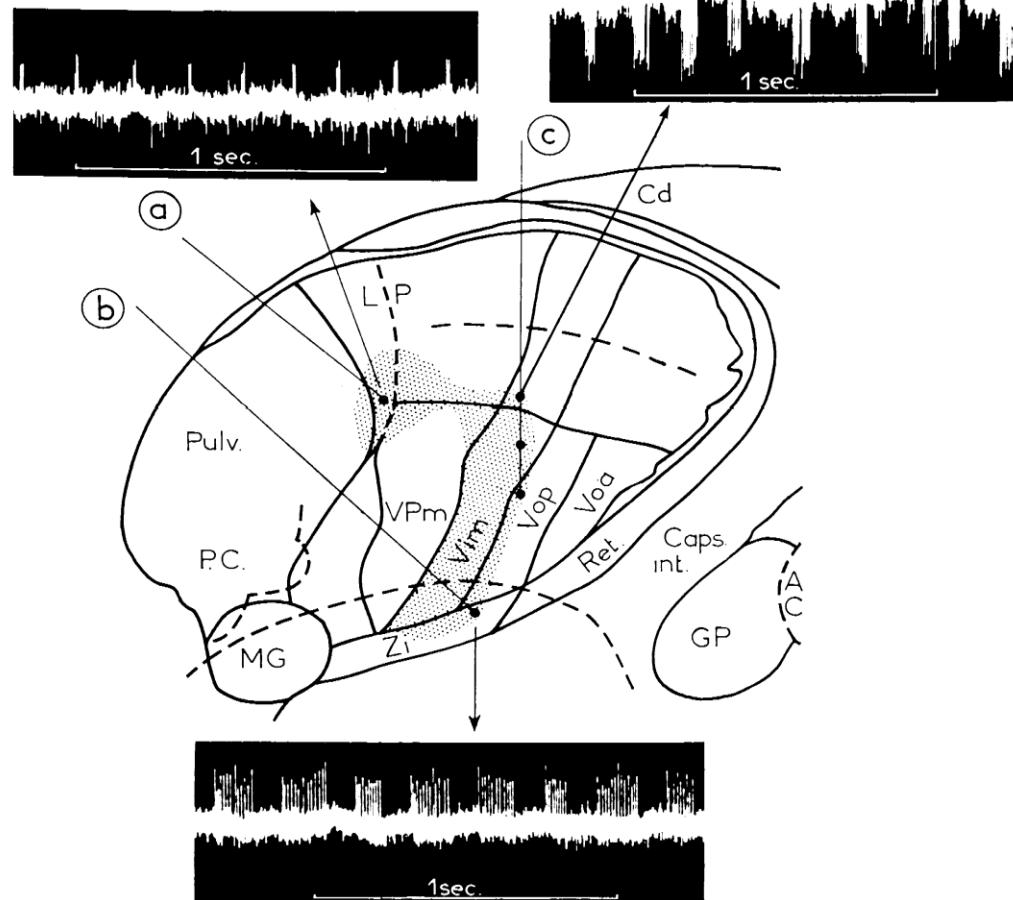


Figure 3. Coupe du thalamus humain dans un plan sagittal se trouvant à 15 mm de la ligne
fronto-nasale (Albe-Fessard, 1967).

D. Albe – Fessard, 1967

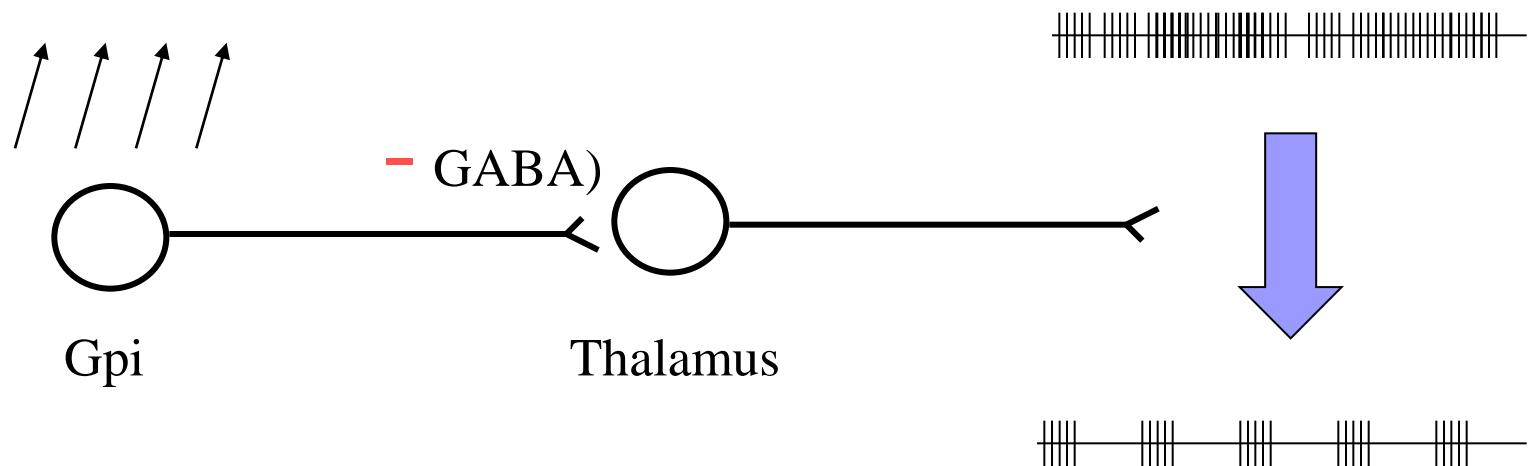
Figure 4. Autour d'une coupe analogue à la précédente ont été représentées les activités rythmiques enregistrées au cours de trois explorations (a, b et c) chez trois patients différents. En grisé, est représentée l'aire thalamique dans laquelle des activités à 5 par sec ont pu être trouvées chez un groupe important de patients parkinsoniens tremblants.
 (D'après Albe-Fessard, 1971).

Parkinson's disease tremor cells

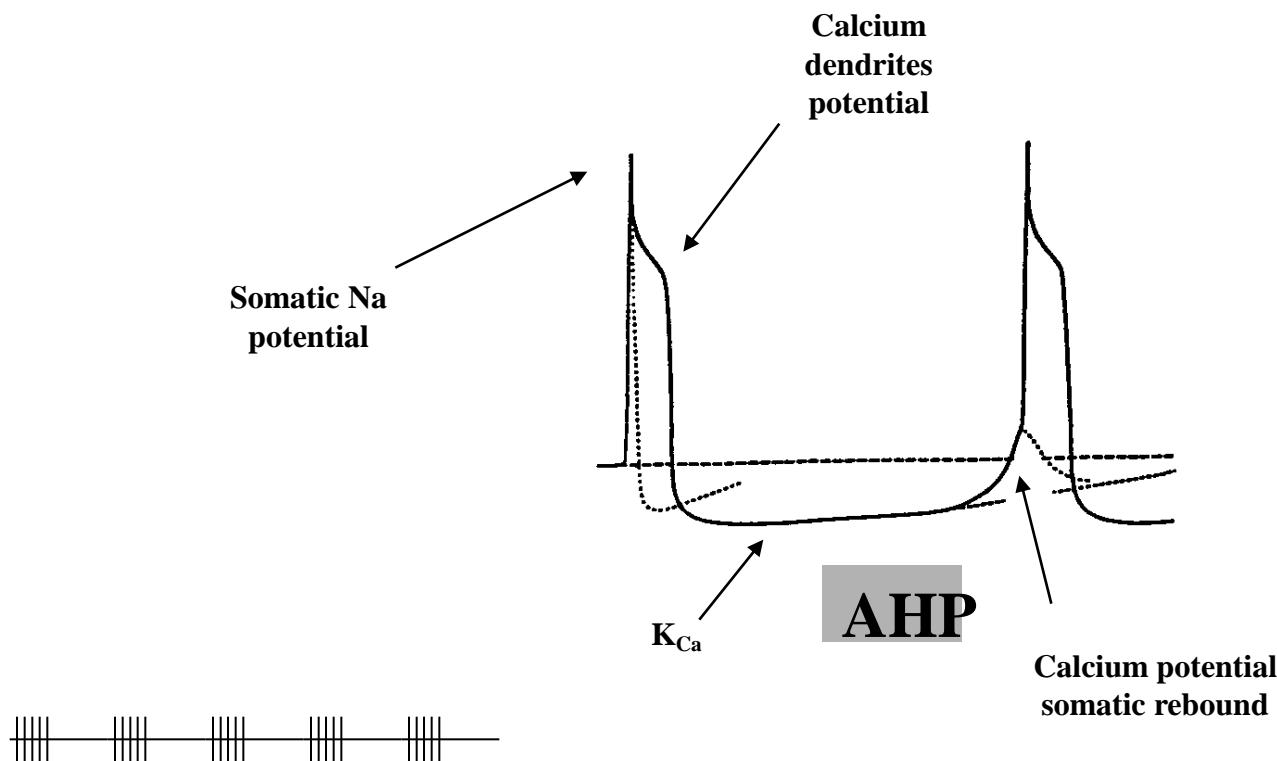


rythmic activity induced by hyperpolarisation of thalamic neurons

- In Parkinson's disease, Gpi is hyperactive
- Gpi neurotransmitter GABA creates post synaptic hyperpolarisation
- hyperpolarized thalamic neurones change from tonic to rythmic activity



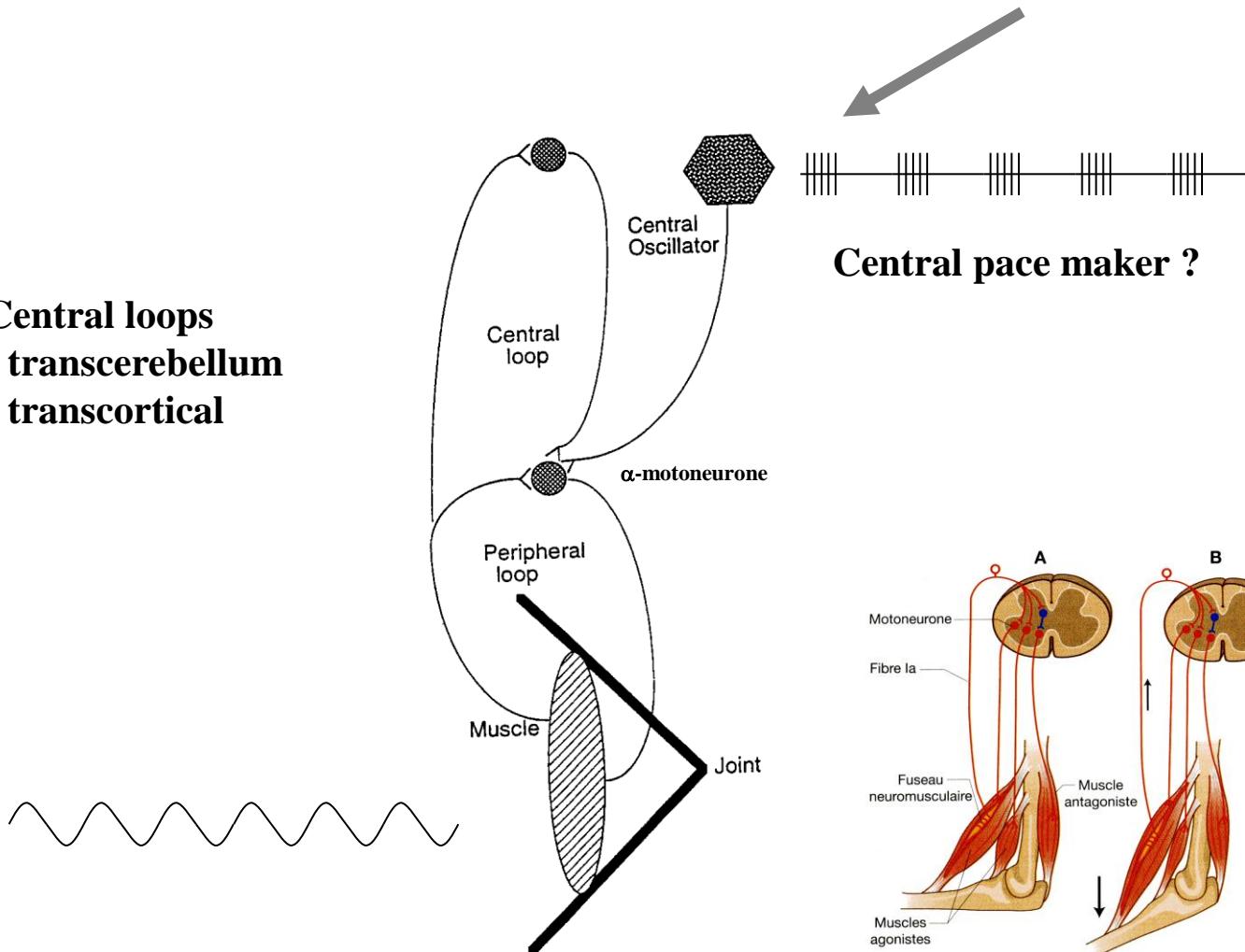
Neuronal pace-maker



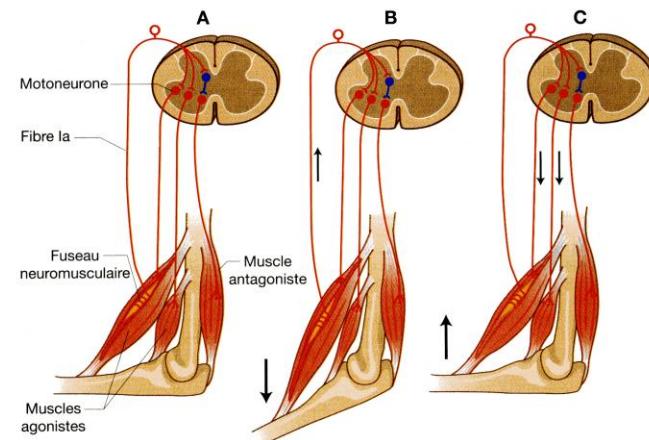
Phenomenon facilitated by hyperpolarisation

Tremor

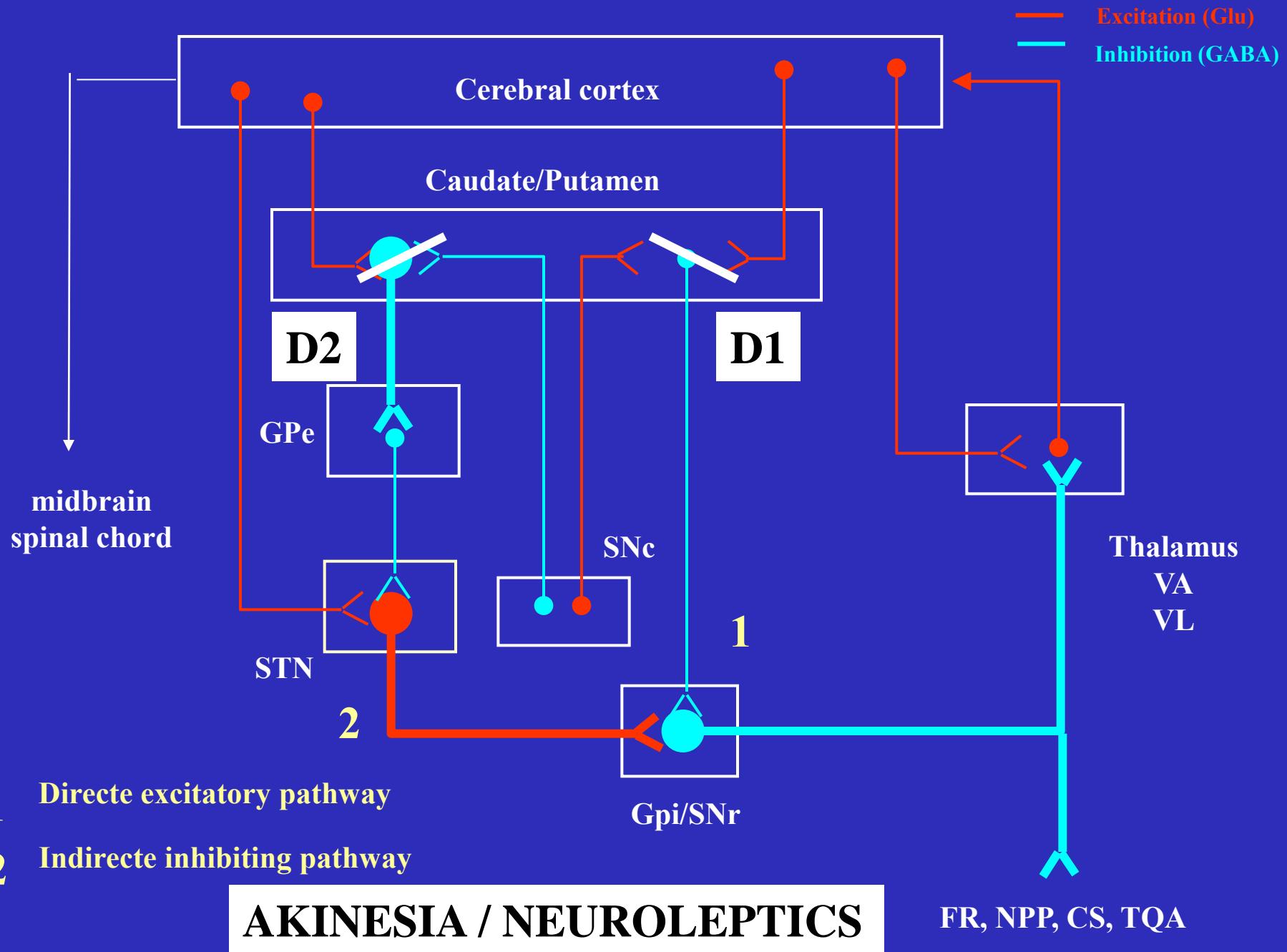
Central loops
- transcerebellum
- transcortical

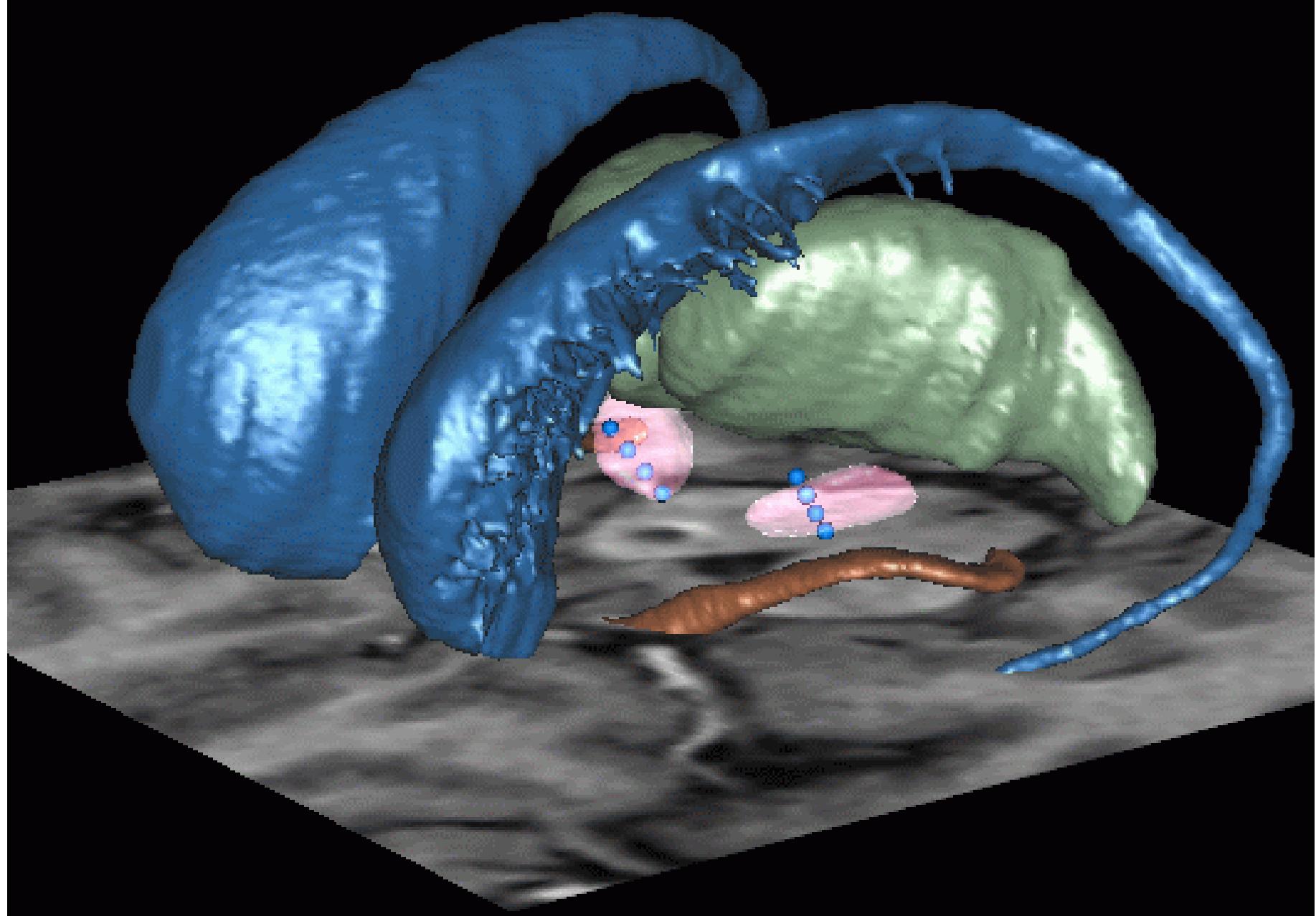


Central pace maker ?



Peripheral reflex loop





Parkinson's disease

Vidéo projection

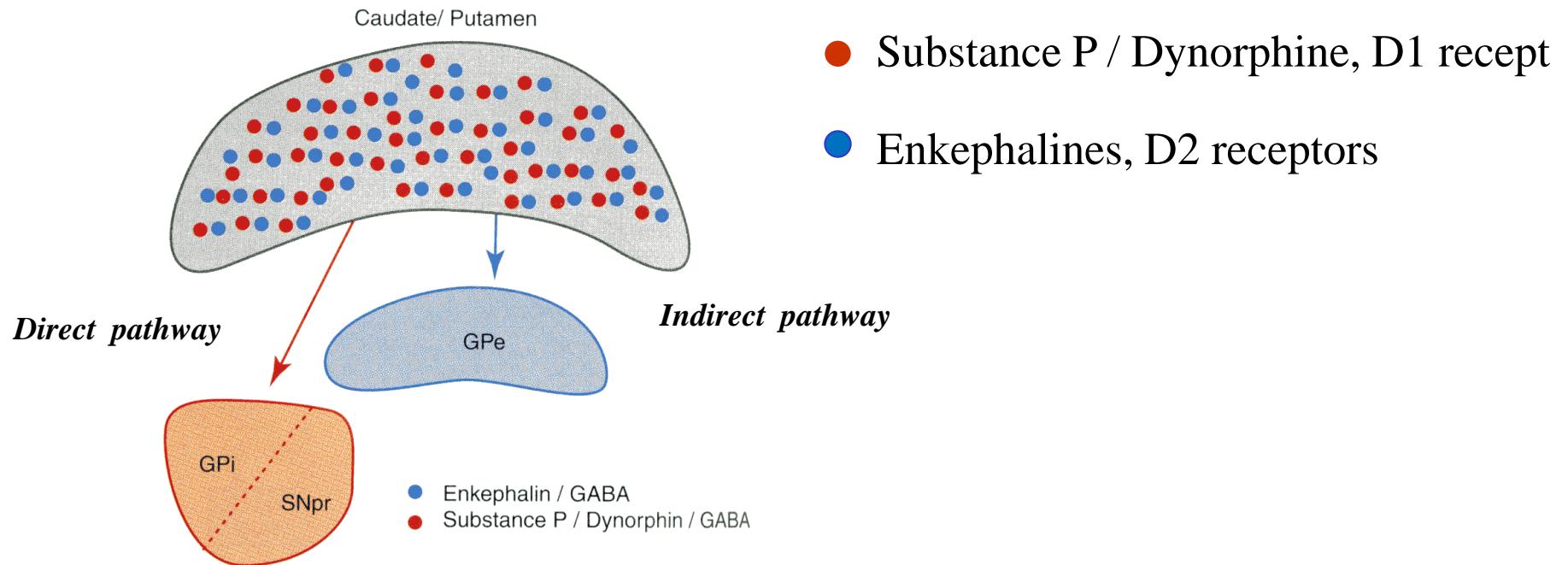
Hyperkinetic disorders

- Huntingtons' chorea
- Ballism
- L-dopa induced dyskinesia
- Neuroleptic dyskinesia
- Gilles de la Tourette syndrome
- Obsessive Compulsive Disorders

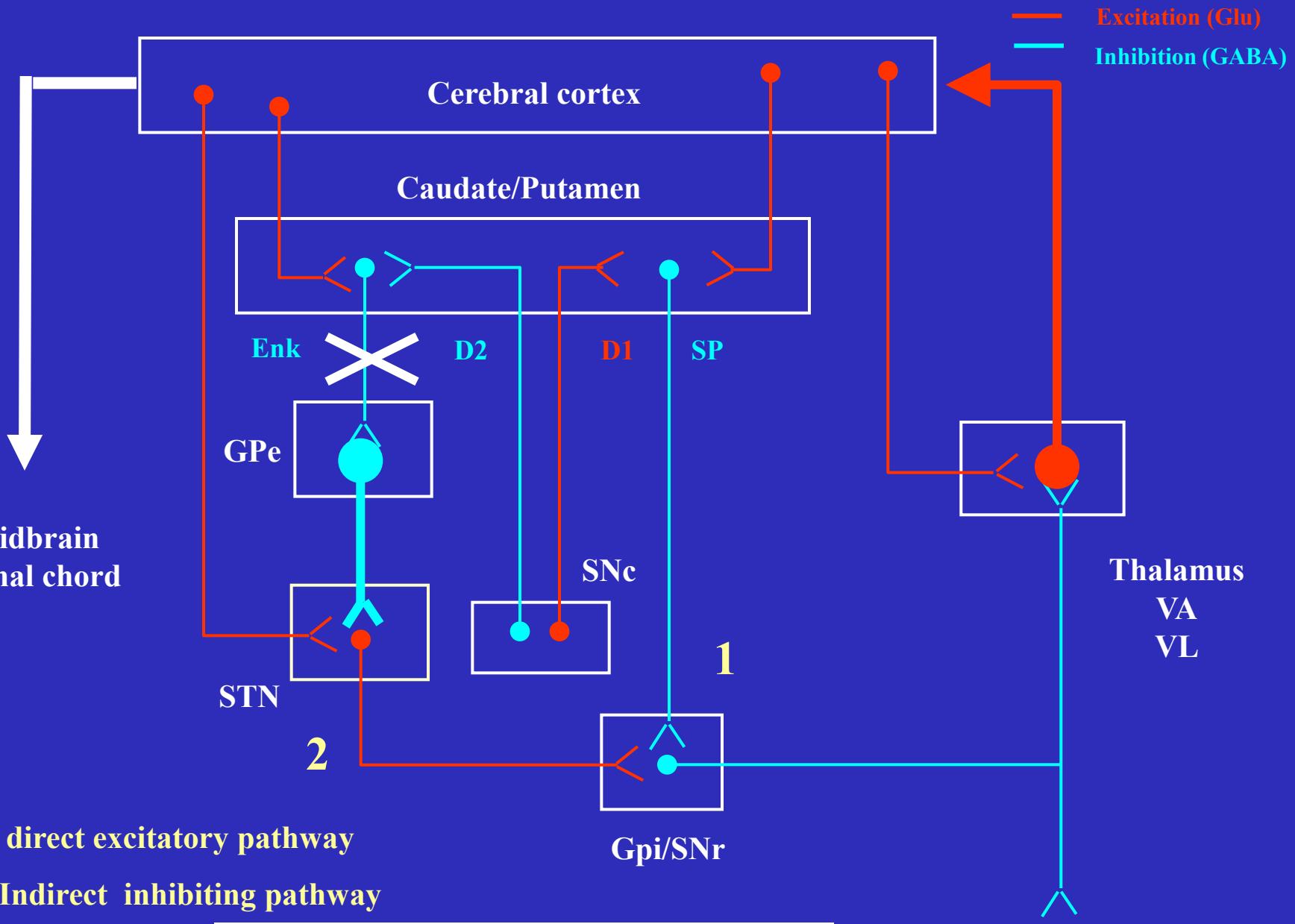
Chorea

- Huntington's chorea
 - abnormal huntingtin gene coding for protein : cell death (apoptosis)
 - Loss of enkephalinergic « medium spiny neurons »
 - Progressively neurodegenerative
 - Hereditary
 - Chorea, depression, cognitive troubles
- Other causes

Two sub-population of striatal « medium spiny neurons »



From Squire, 2003



HUNTINGTON's CHOREA

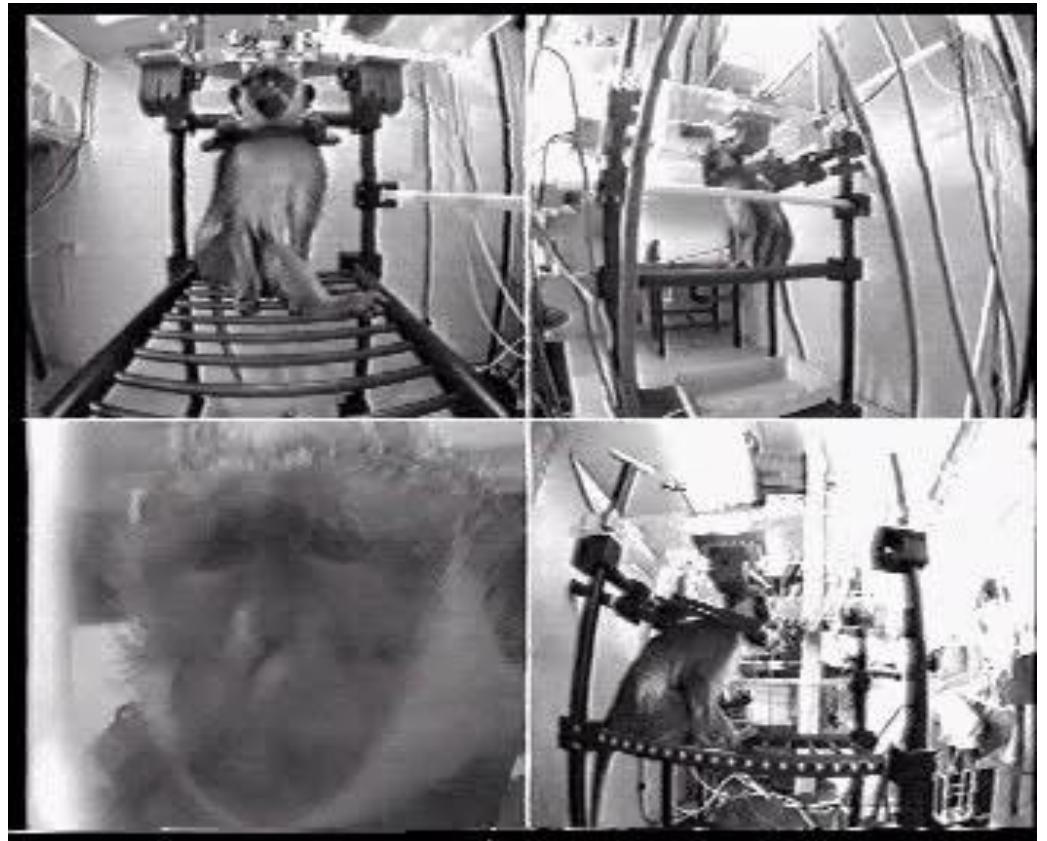
FR, NPP, CS, TQA

Ballism

(violent and large amplitude movements)

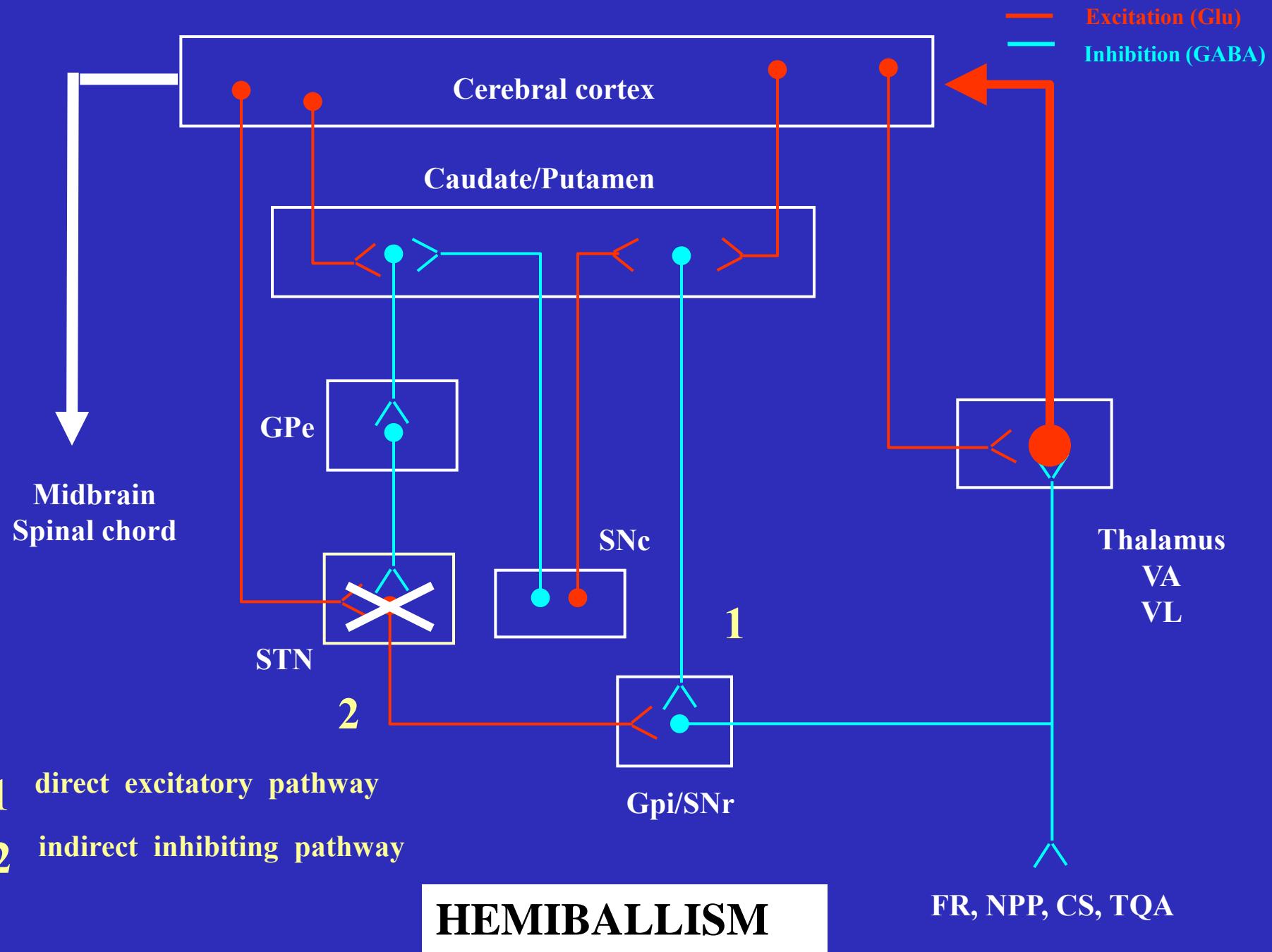


Focal lesion of right
STN
(cerebral toxoplasmosis)



*Bicuculline: GABA-A antagonist
Depolarisation bloc with high dosage*

bicuculline STN perfusion
(L Tremblay & D Grabli, U679, Paris, France)



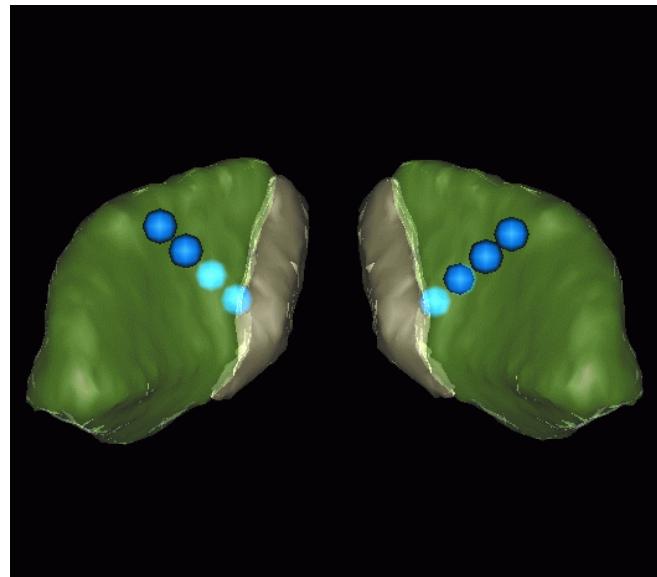
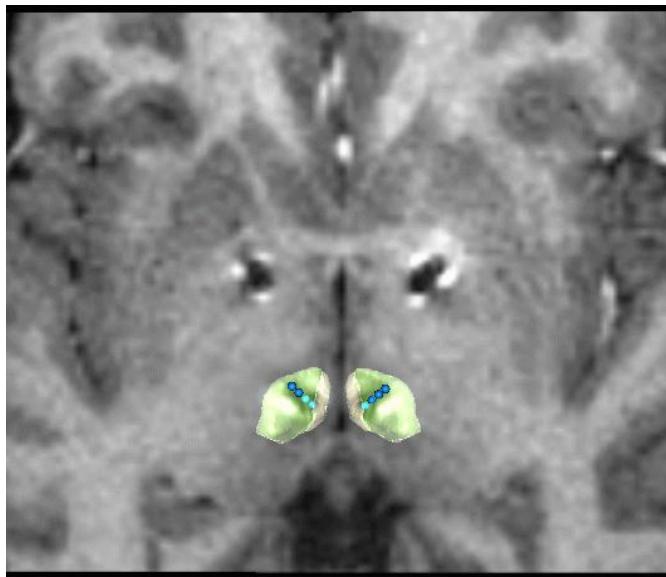
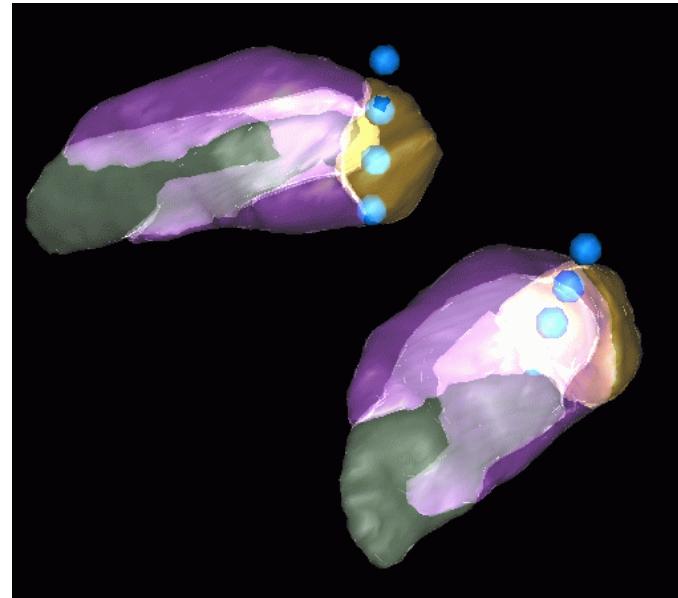
Gilles de la Tourette syndrome (Tourette's disease)

- Multiple physical motor tics
- Vocal tics, simple or complexe (coprolalia).
- Sometime associated with Obsessive Compulsive Disorders (OCD)

« Urge to move » and rebound after voluntary control

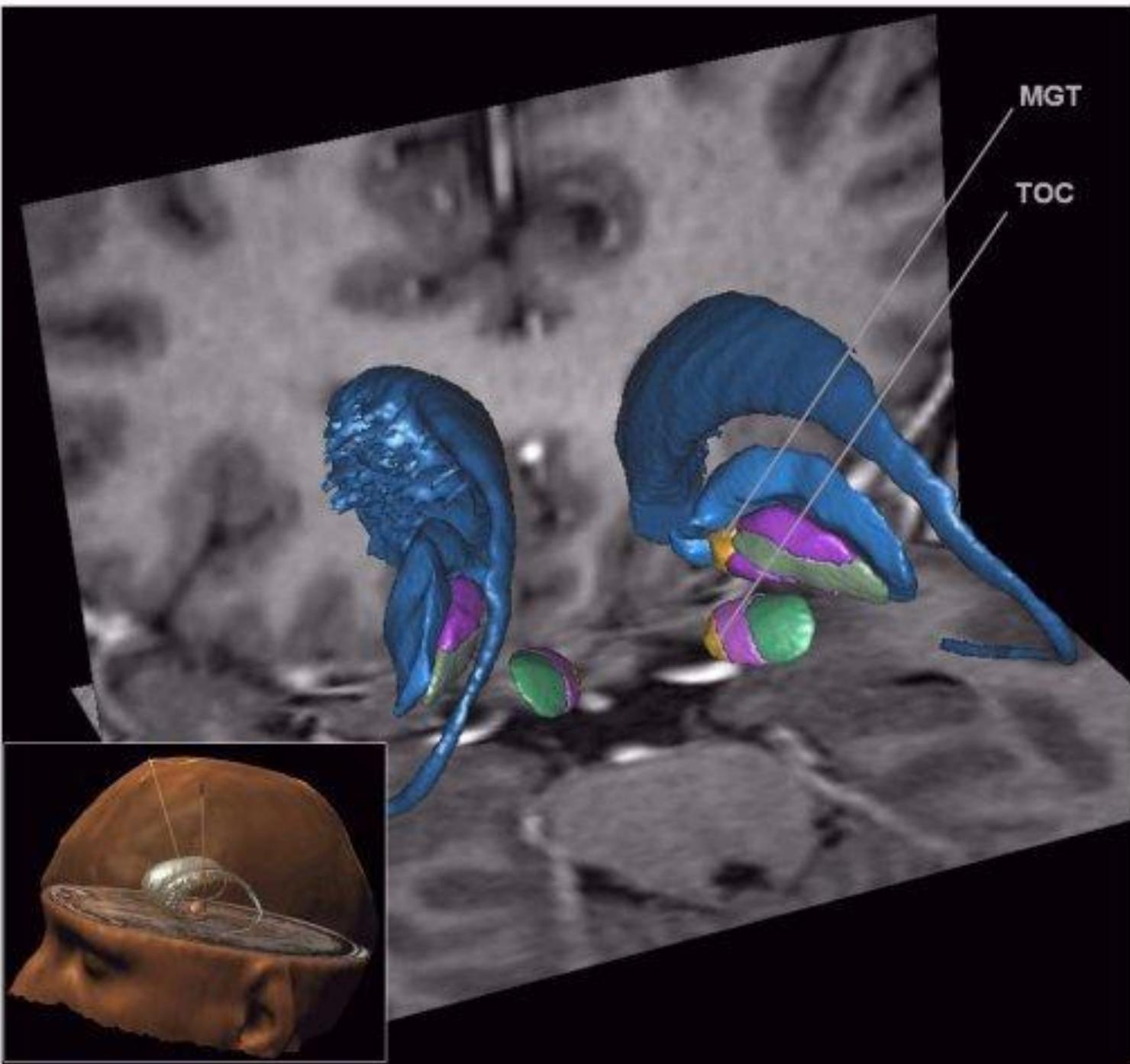
Case reports

Tourette's syndrome and DBS – Houeto et al. 2005 JNNP

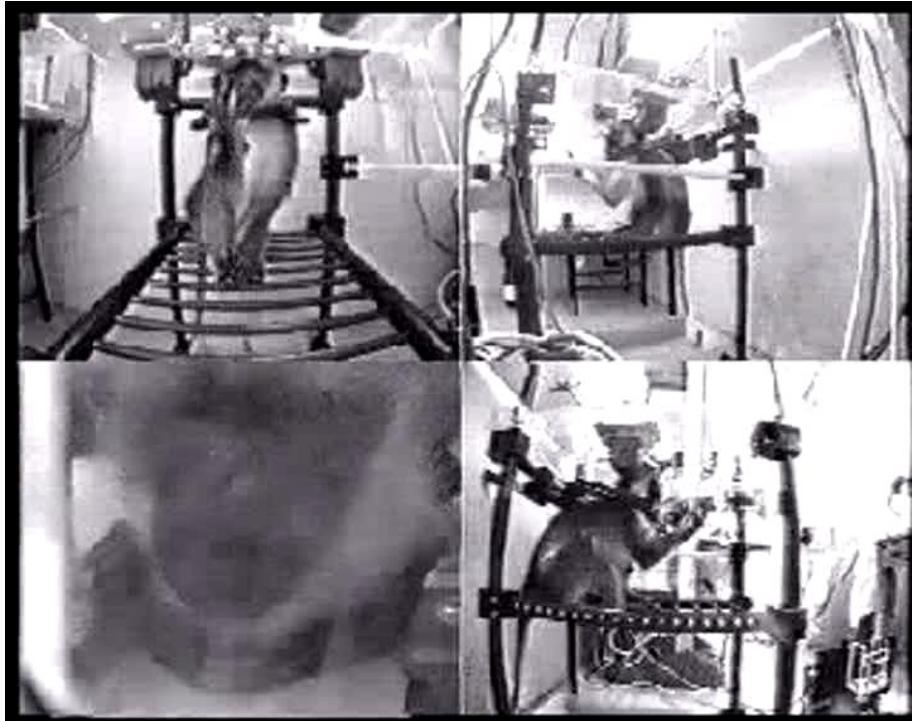


Gilles de la Tourette syndrome (Tourette's disease)

Vidéo projection

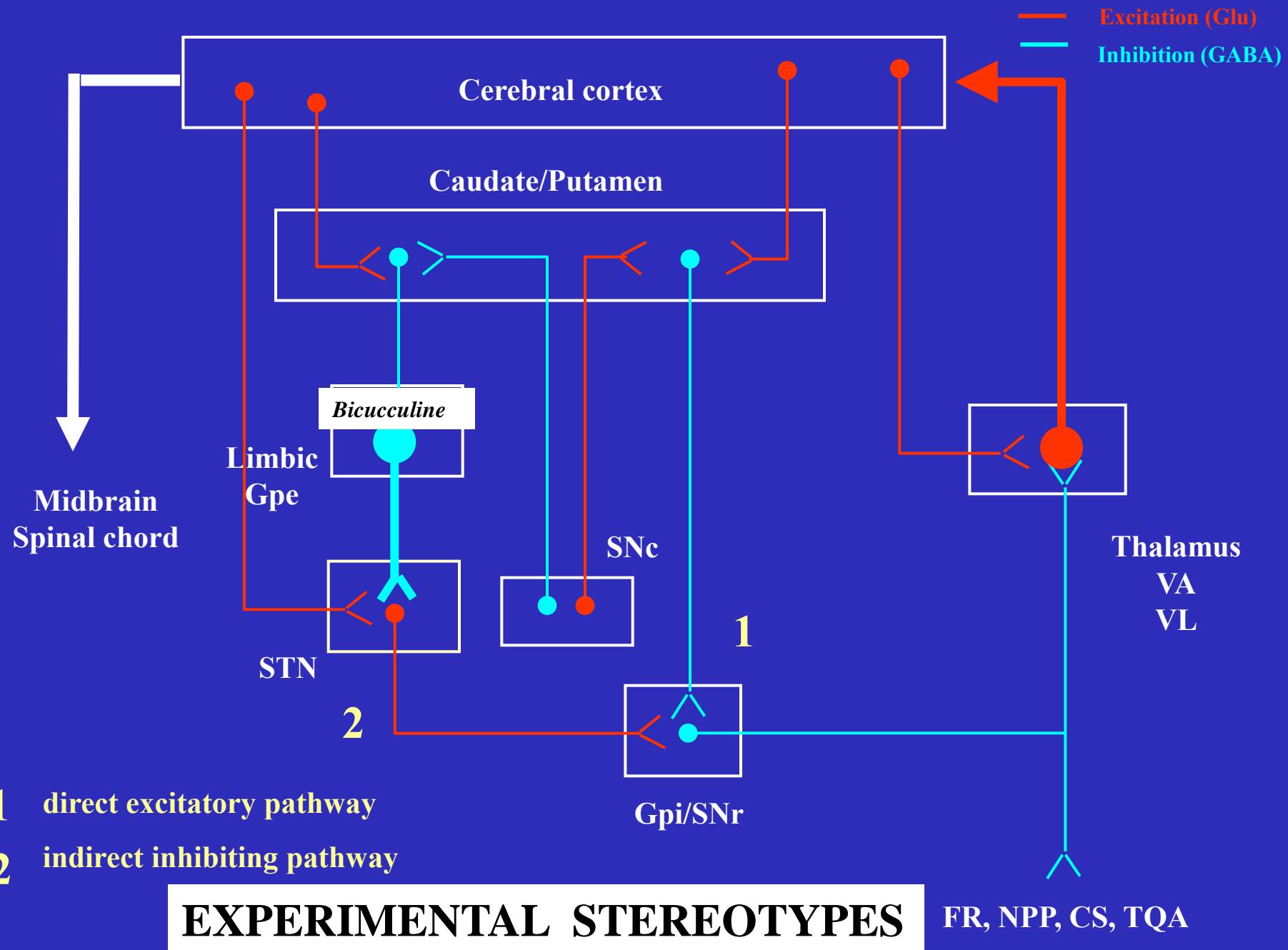


Bicucculine injected into « limbic » GPe



- stereotypical movements
- Licking
- « Touching »

*Bicucculine: GABA-A post synaptic receptor antagonist
Inducing GABA-A inhibition (moderate dosage)*



Micro Electrode Recording & stimulation during neurosurgery

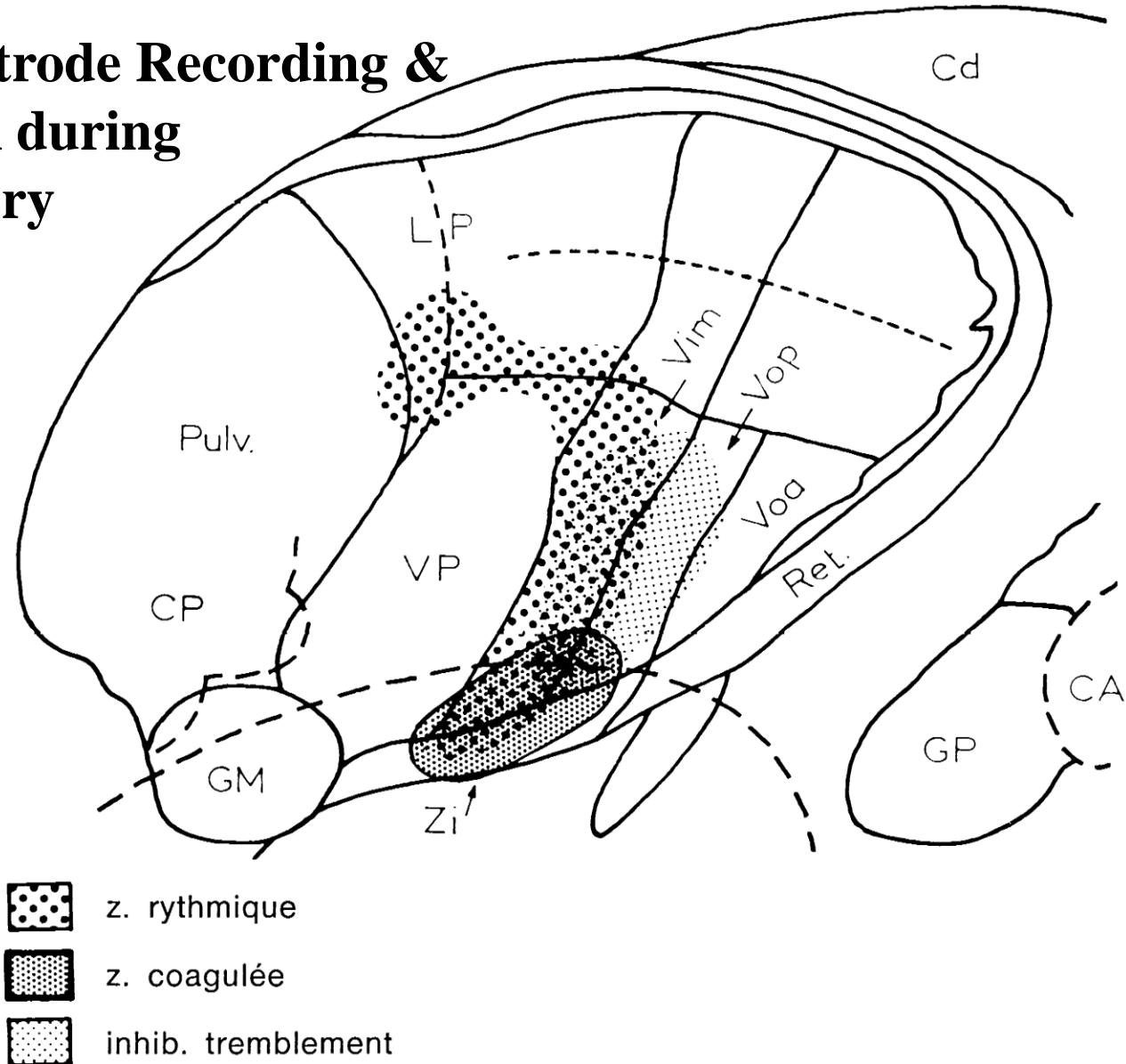


Figure 7. Sur une coupe sagittale du thalamus sont schématisées, en avant du noyau ventral postérieur, trois zones : la zone dans laquelle sont trouvées des activités rythmiques; celle dont la stimulation inhibe le tremblement; la zone où les lésions thérapeutiques sont le plus souvent réalisées.

1989, the year of DBS birth in Grenoble, France :

A.L. Benabid & P. Pollak

high frequency chronic stimulation of thalamus Vim for the treatment of Parkinson's disease tremor

TRAITEMENT DU TREMBLEMENT PARKINSONIEN PAR STIMULATION CHRONIQUE DU NOYAU VENTRAL INTERMÉDAIRE DU THALAMUS

A.L. BENABID*****, P. POLLAK*****, M. HOMMEL**, J.M. GAIO*****
J. DE ROUGEMONT*, J. PERRET**

* Service de Neurochirurgie, Hôpital A. Michallon, CHRU de Grenoble

** Clinique Neurologique, CHRU de Grenoble

*** Unité de Neurobiologie Préclinique, INSERM U 318, Faculté de Médecine de Grenoble.

RÉSUMÉ

La thalamotomie stéréotaxique du noyau Ventral Intermédiaire (Vim) peut améliorer le tremblement parkinsonien sévère résistant aux médicaments. Afin de diminuer le nombre d'échecs et les effets indésirables, une stimulation chronique à haute fréquence (130 Hz) du noyau Vim a été effectuée chez 4 patients dont deux avaient déjà subi une thalamotomie de l'autre côté. Le tremblement a été supprimé chez tous les patients, au prix de paresthésies légères, avec un recul allant de 2 à 14 mois. Les effets aussi bien bénéfiques que secondaires ont été immédiatement supprimés à chaque arrêt de la stimulation. Ces résultats préliminaires sont encourageants, mais il convient d'apprécier la persistance de l'efficacité au long cours sur un plus grand nombre de cas.

Treatment of parkinsonian tremor by chronic Vim-thalamic stimulation.

A.L. BENABID, P. POLLAK, M. HOMMEL, J.M. GAIO, J. DE ROUGEMONT, J. PERRET. *Rev. Neurol. (Paris)*, 1989, 145 : 4, 320-323.

SUMMARY

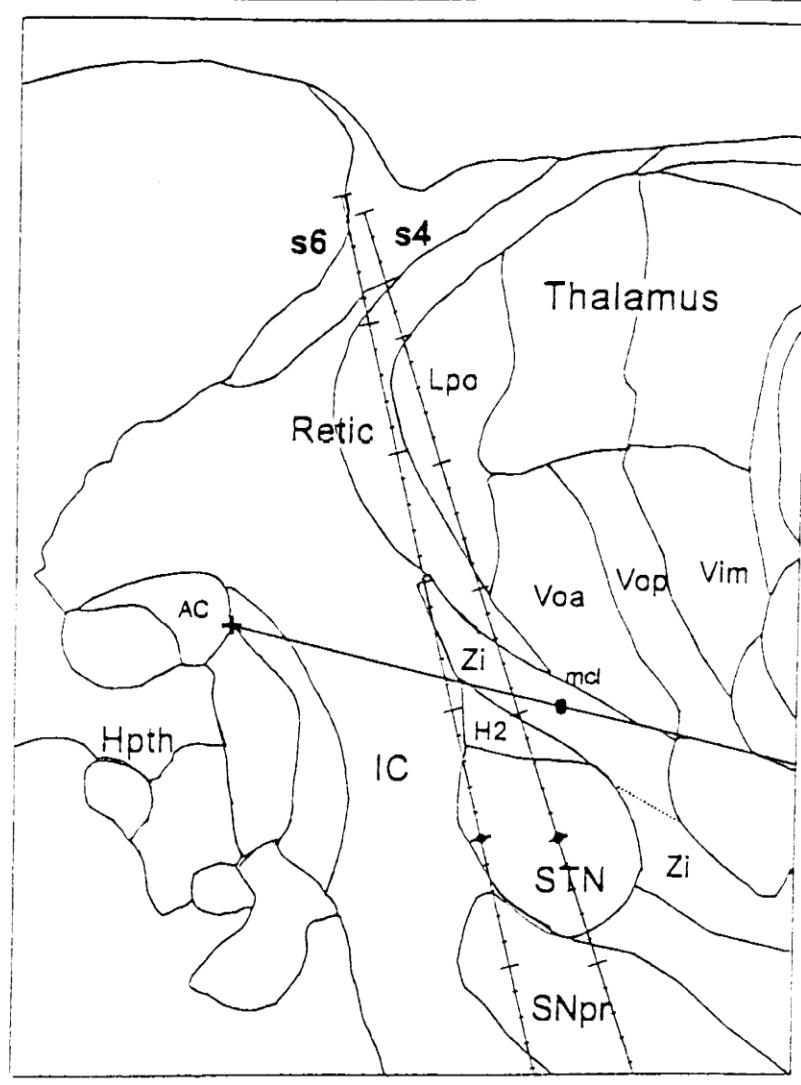


Fig 1. Sagittal section of Schaltenbrand and Wahren stereotactic atlas at 10.5 mm from the midline showing the location of the electrode trajectories targeting the subthalamic nucleus.

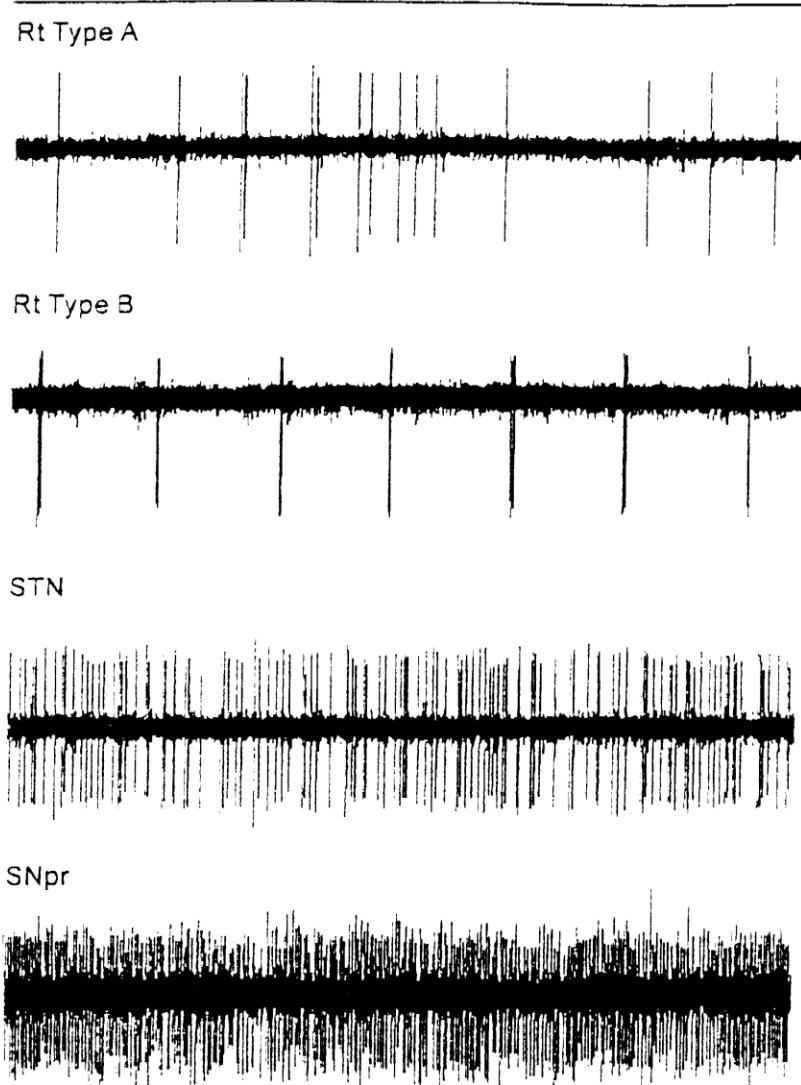
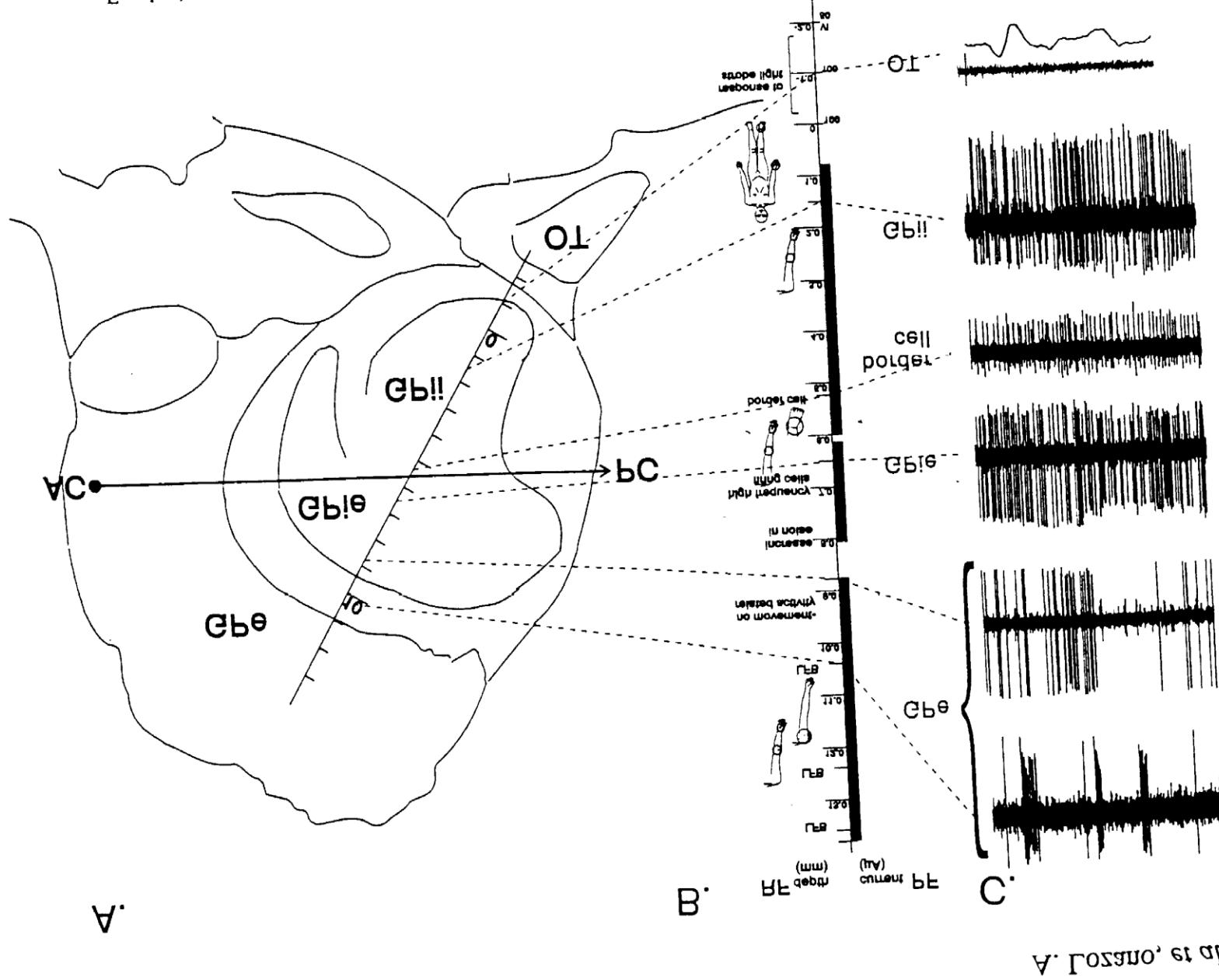
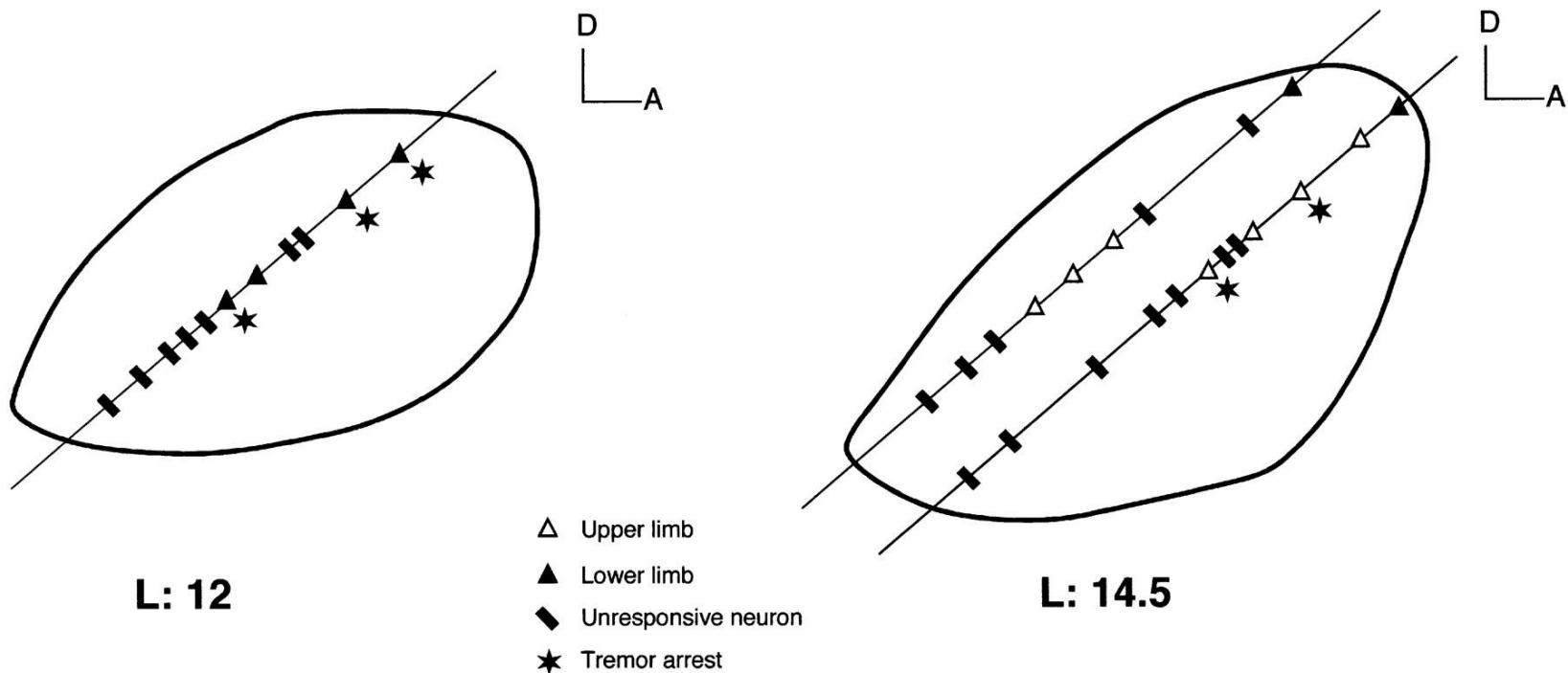


Fig 2. Spontaneous ongoing discharge of typical neurons recorded in trajectories targeting the subthalamic nucleus. (Top)



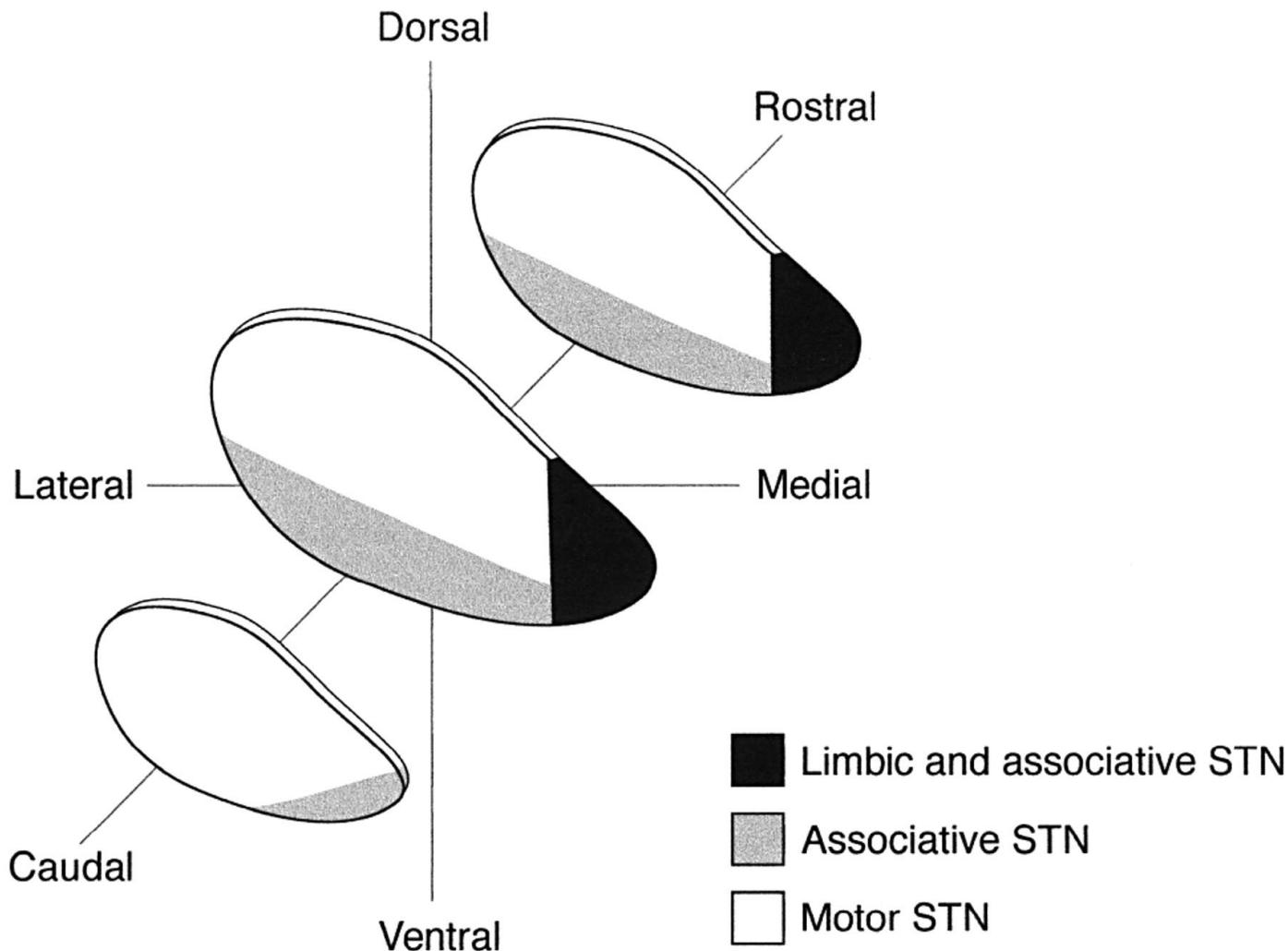
Lozano et al, J. Neurosurg. 84:194-202, 1996

Somatotopic arrangement of STN neurones responding to passive or active movements in a patient with Parkinson's disease.

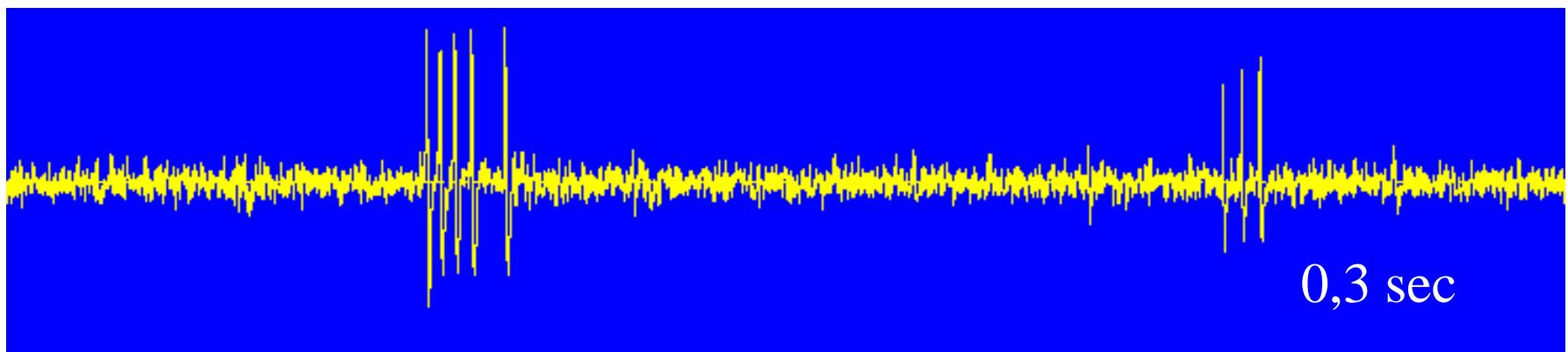
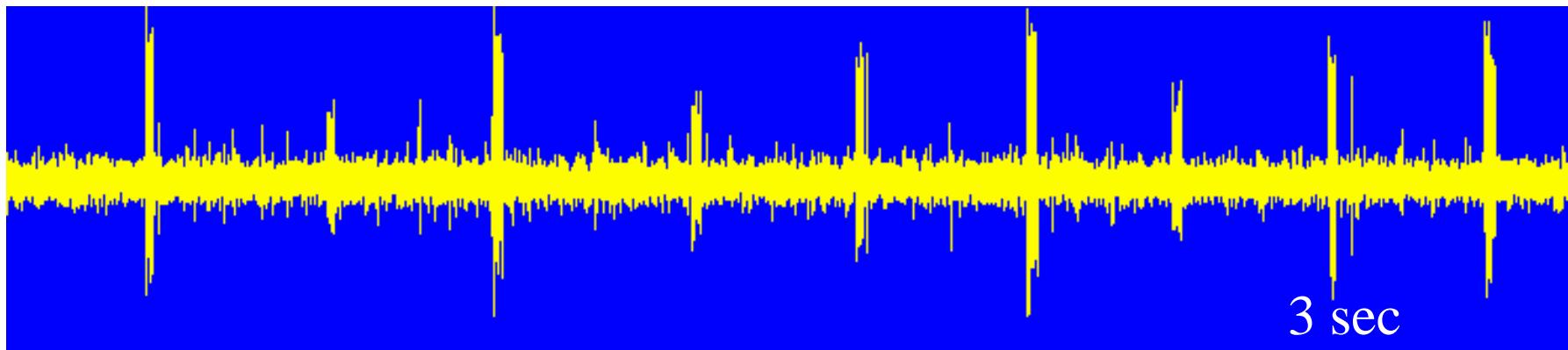
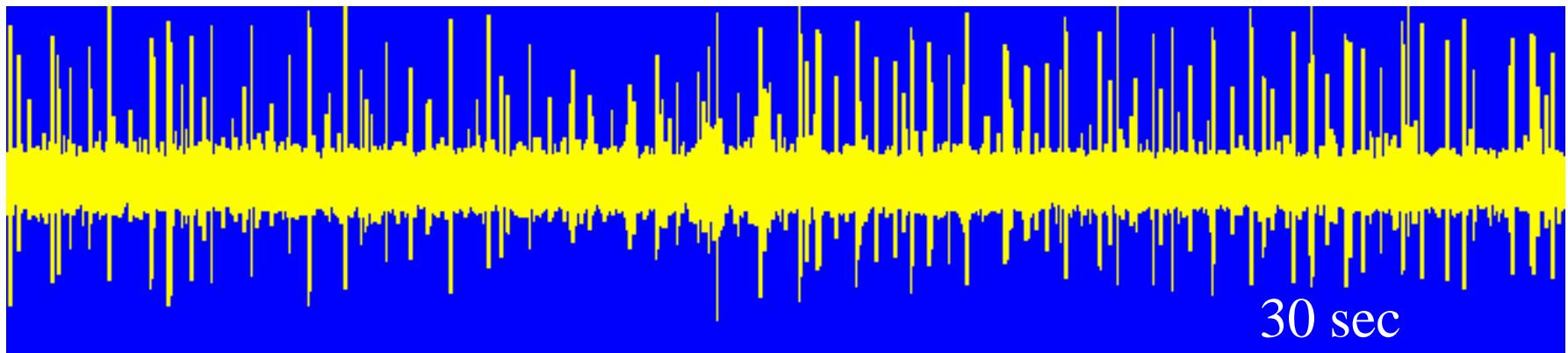


Rodriguez-Oroz M C et al. Brain 2001;124:1777-1790

Fig. 2 Schematic representation of the intrinsic organization of the subthalamic nucleus (STN) according to the tripartite functional subdivision of the basal ganglia.



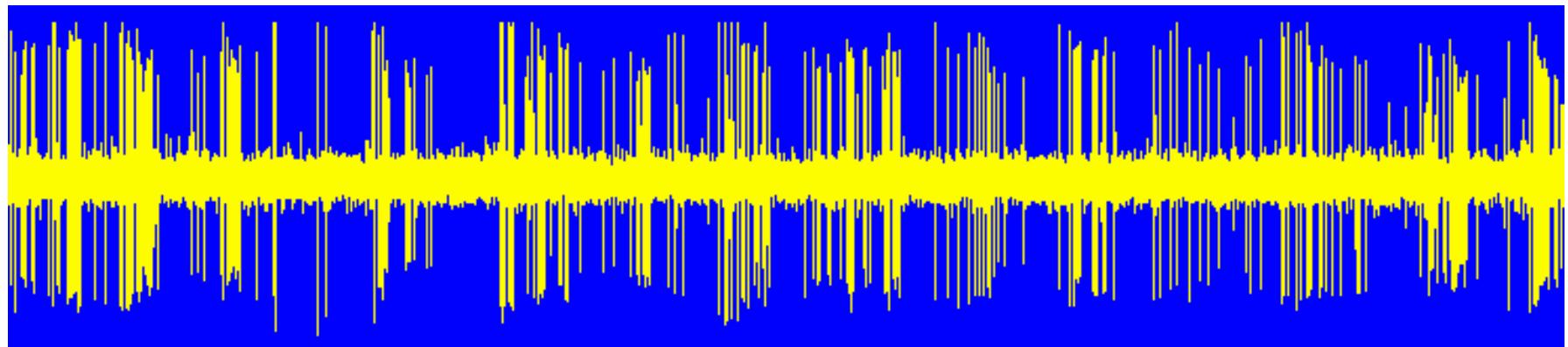
Hamani C et al. Brain 2004;127:4-20



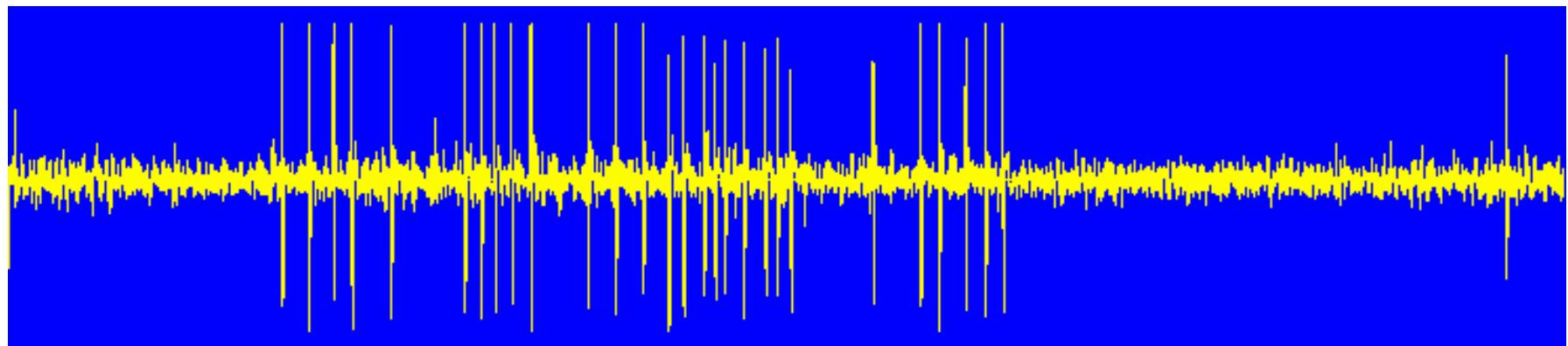
microelectrode réticular thalamic nucleus recording



Parkinson : Subthalamic Nucleus - STN



6 sec

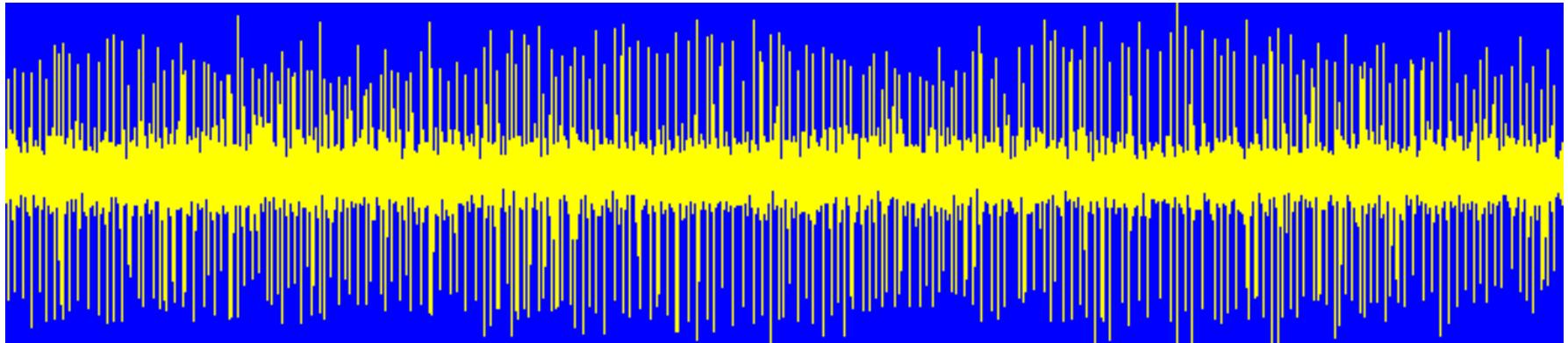


0,6 sec

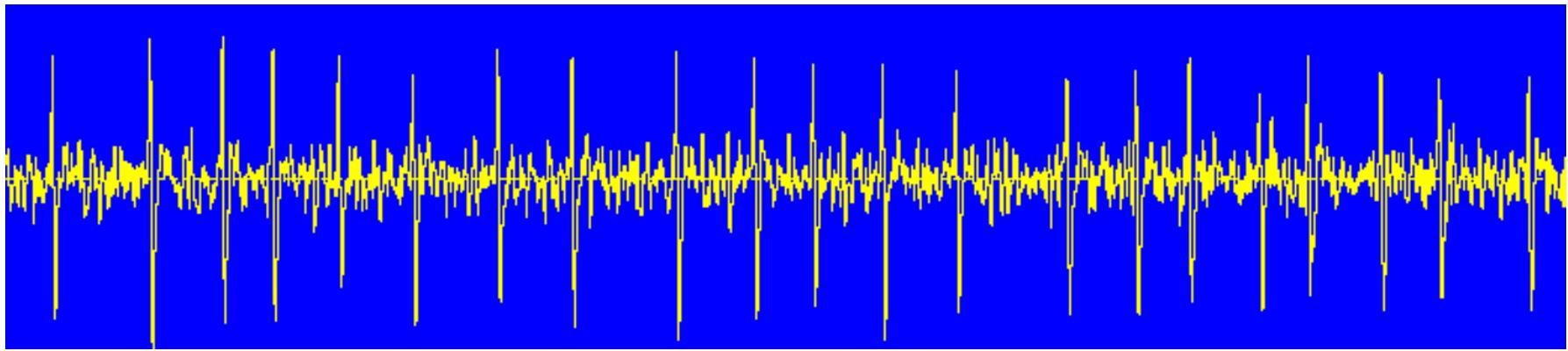
Microelectrode single cell recording



Parkinson : Substantia Nigra recording



2 sec



0,2 sec



DBS HFS of GPi improves Levo-DOPA Induced Dyskinesia and Dystonia

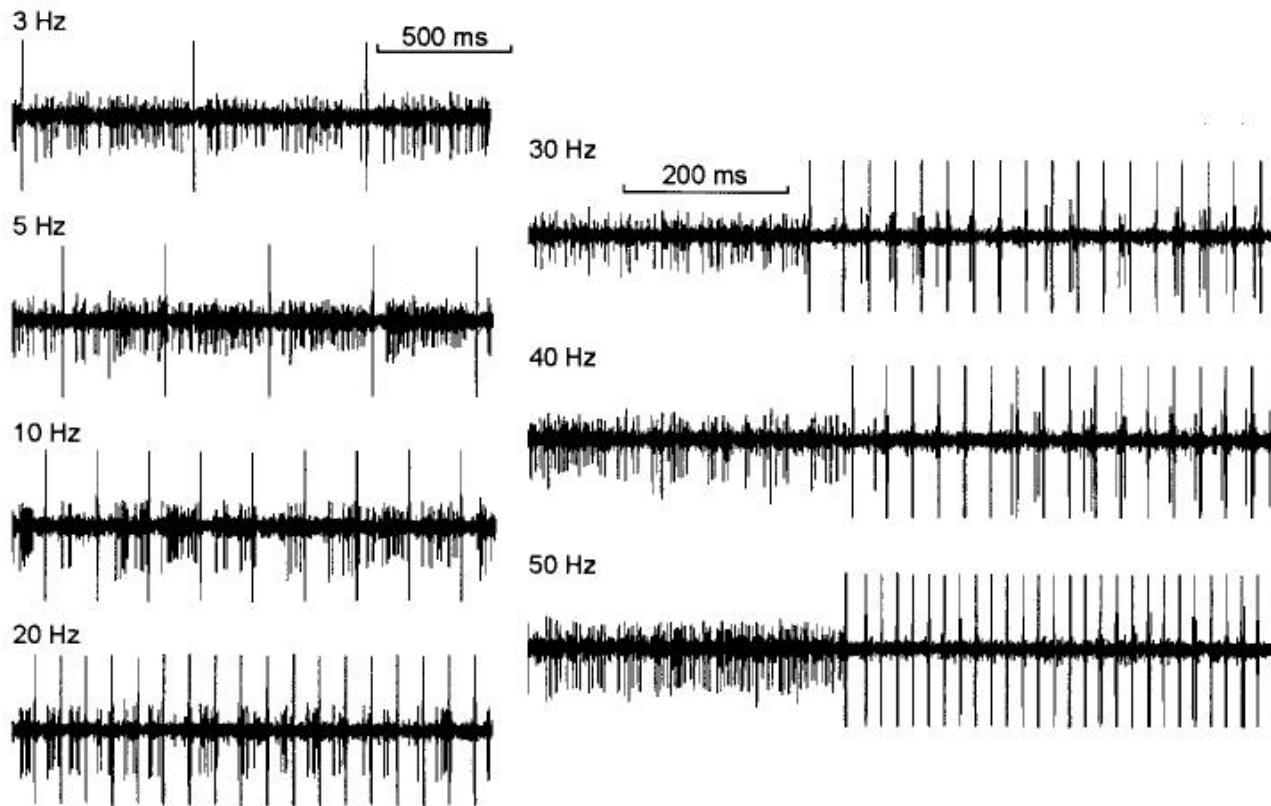
Possible mechanisms :

DBS may induce GABA release in GPi

(Dostrovksy et al, J. Neurophysiol 2000)

HFS may introduce more regular pattern in GPi output
cancelling abnormal movements

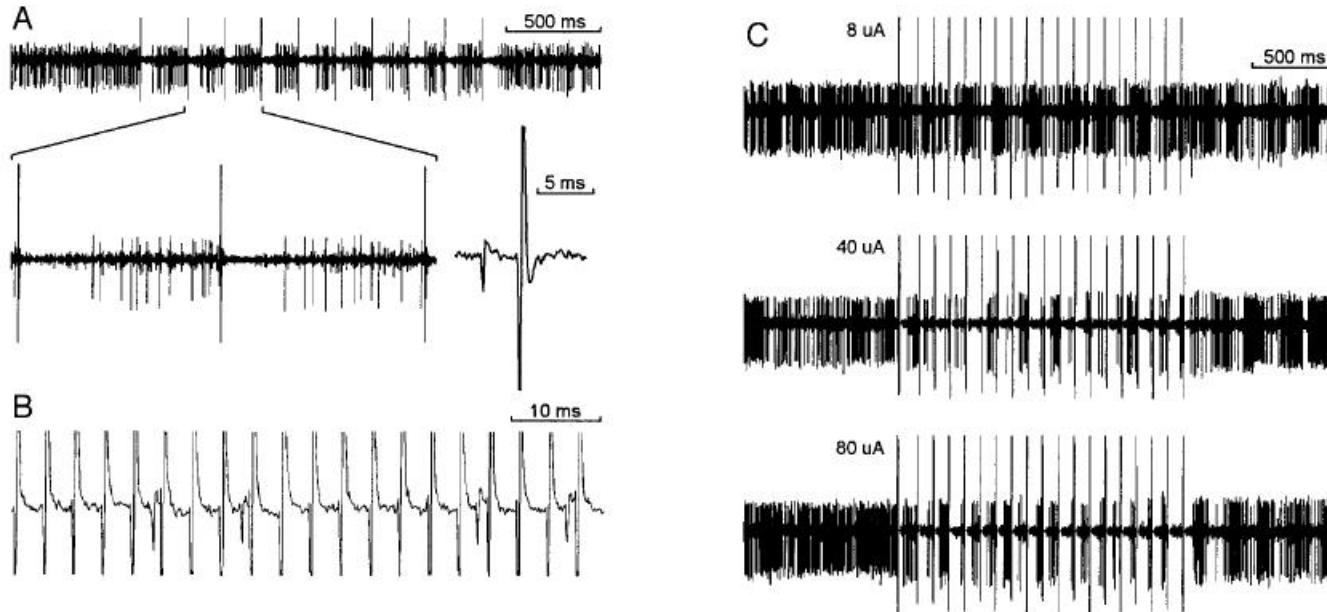
Effects of Pallidal HFS



Effect of increasing stimulation frequency

Dostrovsky JO, Levy R, Wu JP, Hutchison WD, Tasker RR, and Lozano AM. Microstimulation-induced inhibition of neuronal firing in human globus pallidus. *J Neurophysiol* 84: 570–574, 2000.

Effects of Pallidal HFS



Dostrovsky JO, Levy R, Wu JP, Hutchison WD, Tasker RR, and Lozano AM. Microstimulation-induced inhibition of neuronal firing in human globus pallidus. *J Neurophysiol* 84: 570–574, 2000.

Inhibition by release of GABA neurotransmitter ?

Effects of High-Frequency Stimulation on Subthalamic Neuronal Activity in Parkinsonian Patients

Marie-Laure Welter, MD; Jean-Luc Houeto, MD; Anne-Marie Bonnet, MD; Paul-Boulos Bejjani, MD; Valérie Mesnage, MD; Didier Dormont, MD; Soledad Navarro, MD; Philippe Comu, MD, PhD; Yves Agid, MD, PhD; Bernard Pidoux, MD, PhD

Background: High-frequency stimulation of the subthalamic nucleus (STN) is a neurosurgical alternative to medical treatment in levodopa-responsive forms of Parkinson disease. The mechanism of action of STN stimulation remains controversial, although an inhibition of overactive STN neurons has been postulated.

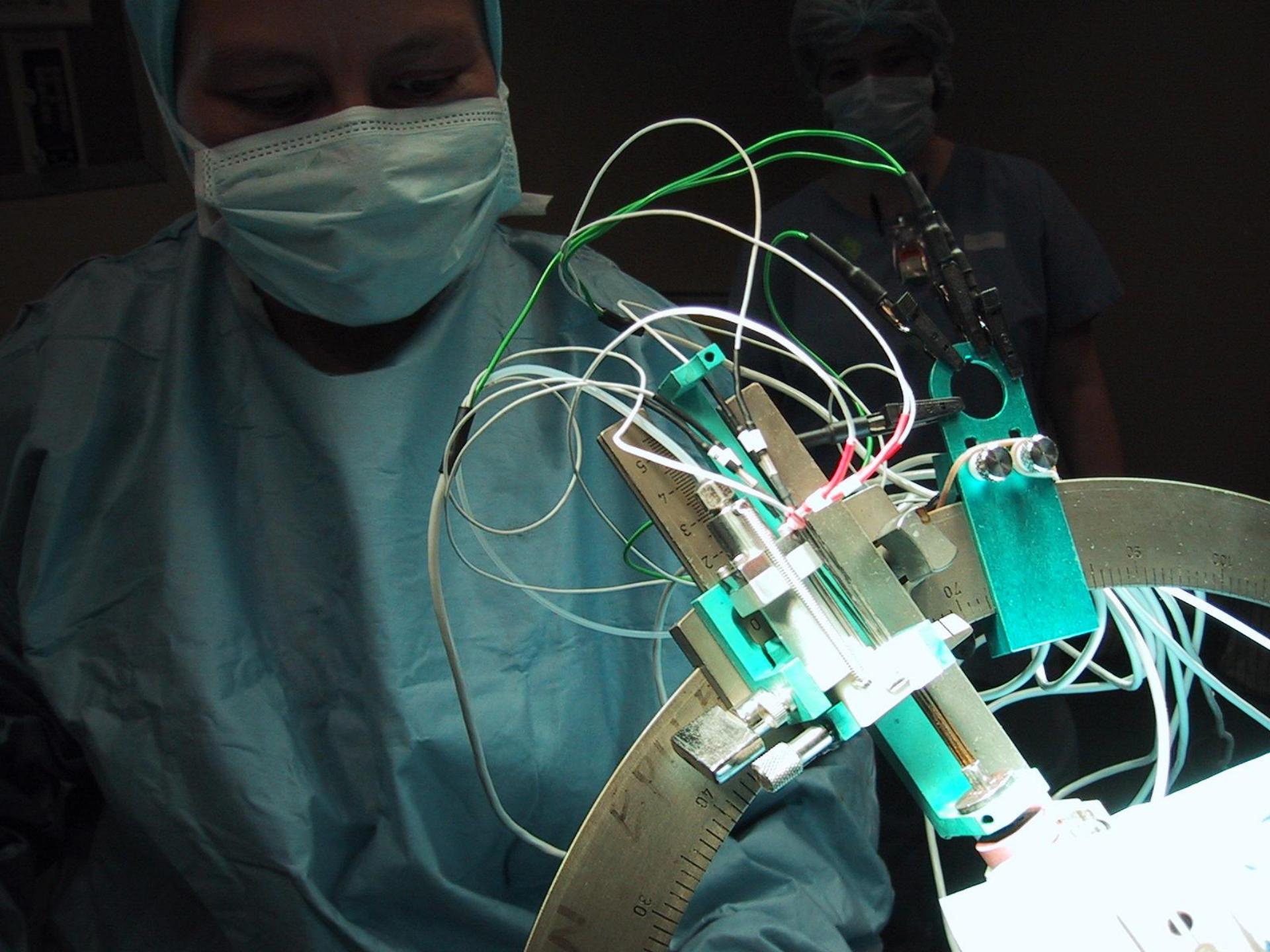
Objective: To determine the effects of high-frequency STN stimulation on the neuronal activity of STN neurons in Parkinson disease patients.

Patients: Single-unit recordings of the neuronal activity of the STN were obtained before, during, and after the application of intra-STN electrical stimulation in 15 Parkinson disease patients. Changes in firing frequency

and pattern were analyzed using various combinations of stimulus frequency (range, 14-140 Hz).

Results: Stimulation at a frequency greater than 40 Hz applied within the STN significantly decreased the firing frequency and increased the burst-like activity in the firing pattern of STN neurons. An aftereffect was observed in cells that had been totally inhibited during high-frequency stimulation.

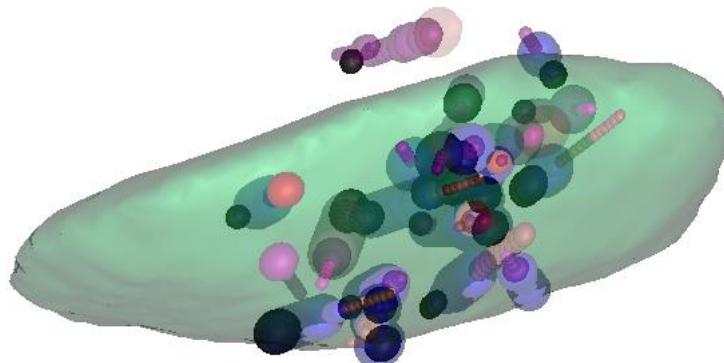
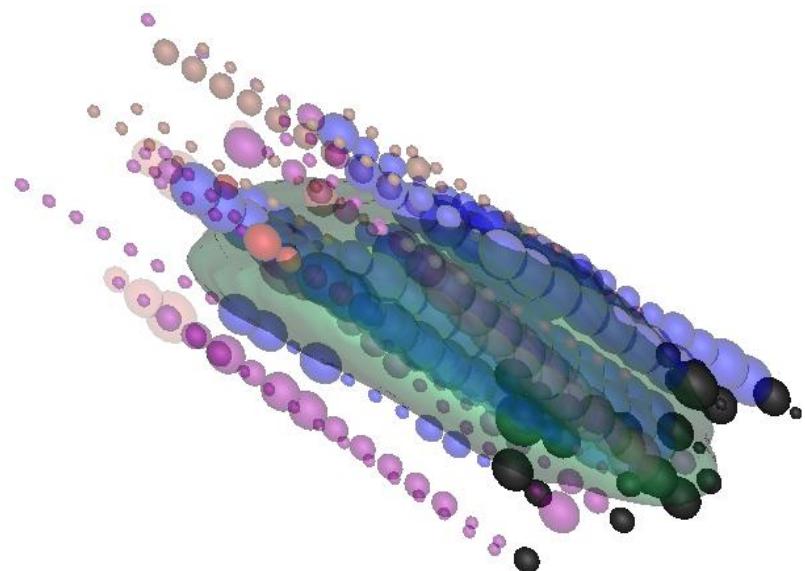
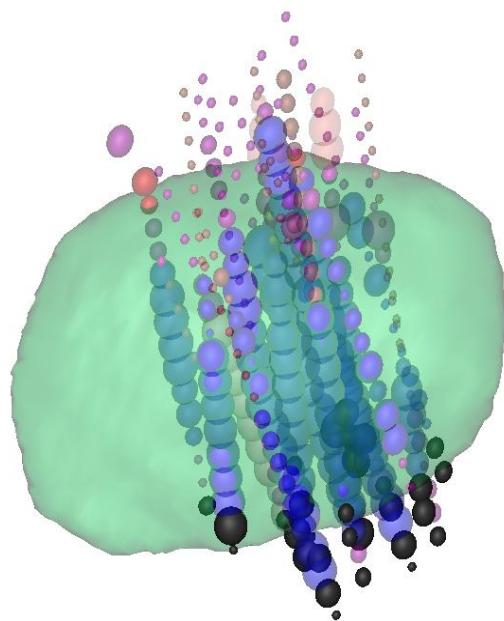
Conclusion: The beneficial effects of high-frequency stimulation result from a change in the firing pattern of cellular discharge and a blockade of the spontaneous overactivity of STN neurons.



$R \times 6^{\circ} 9$

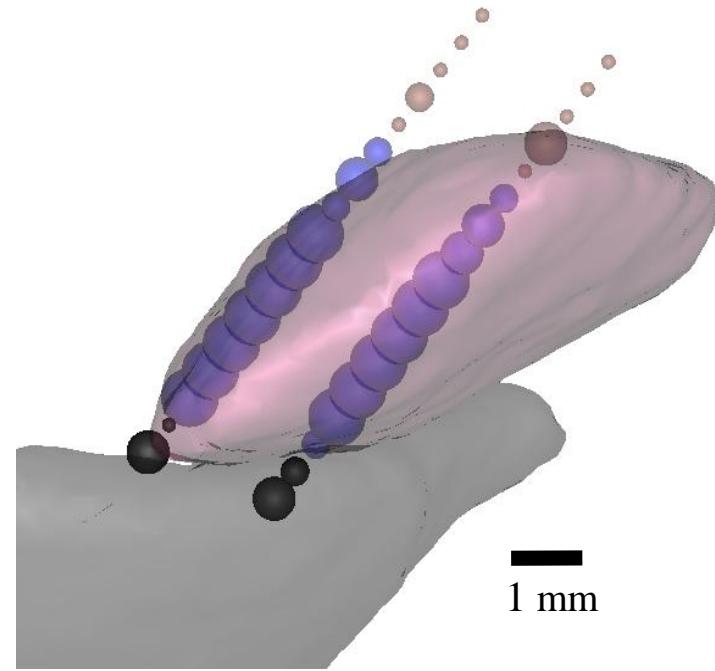
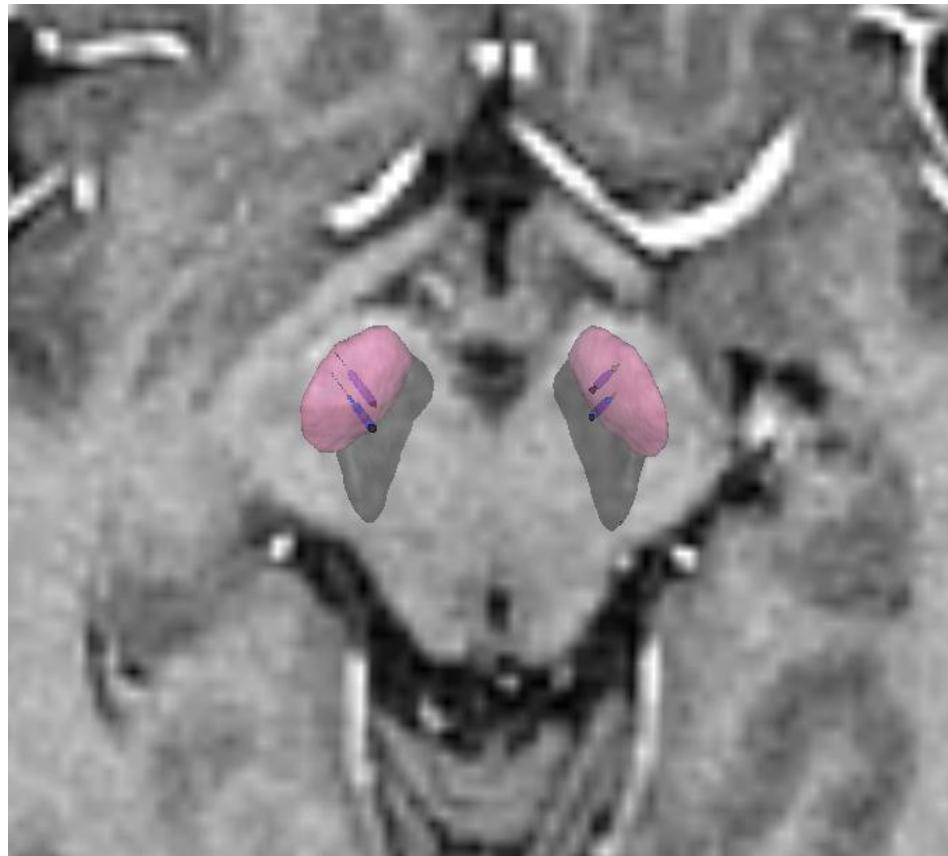
$2 = 121$
= feet \odot

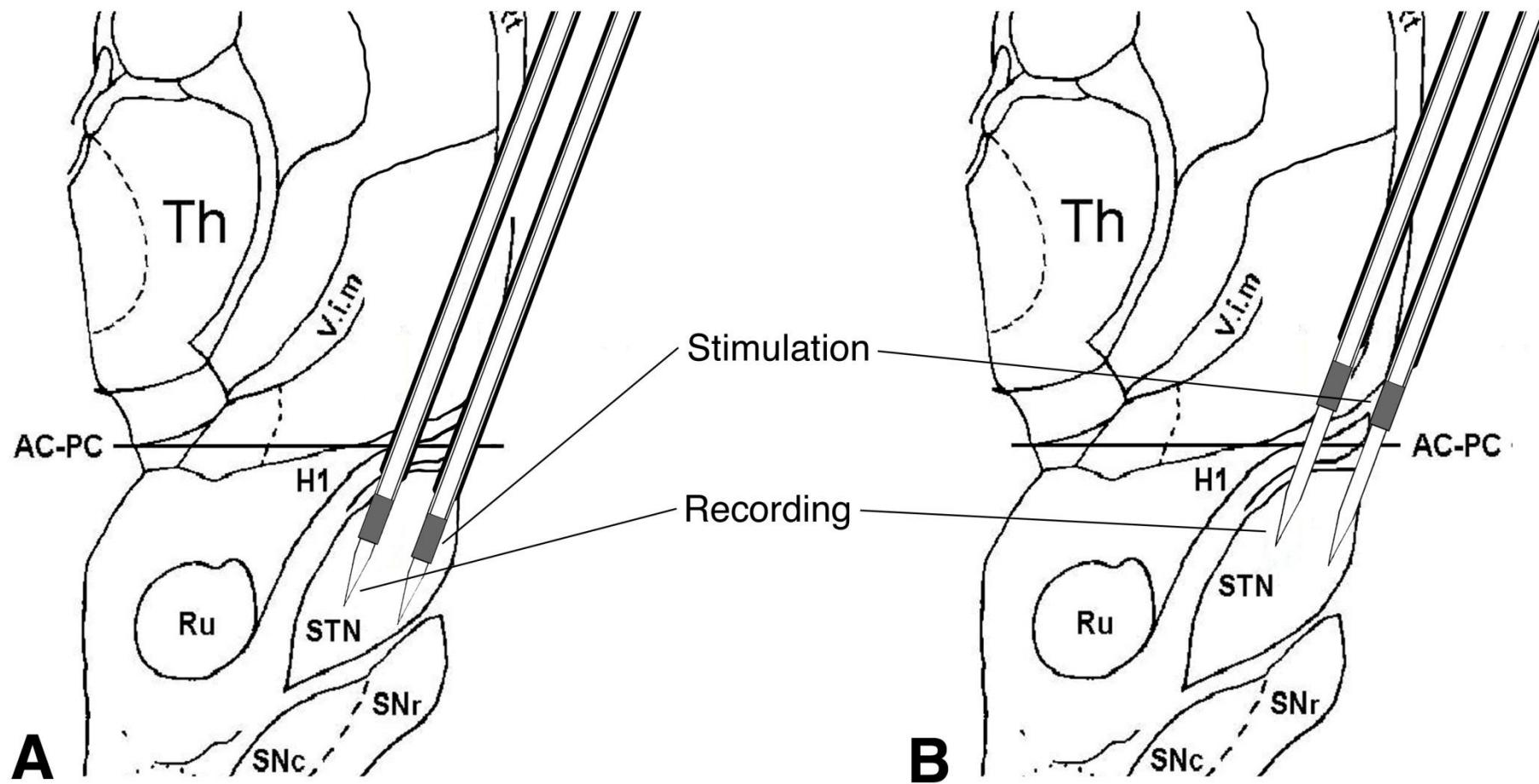
STN electrophysiology merged into 3D normalized Atlas

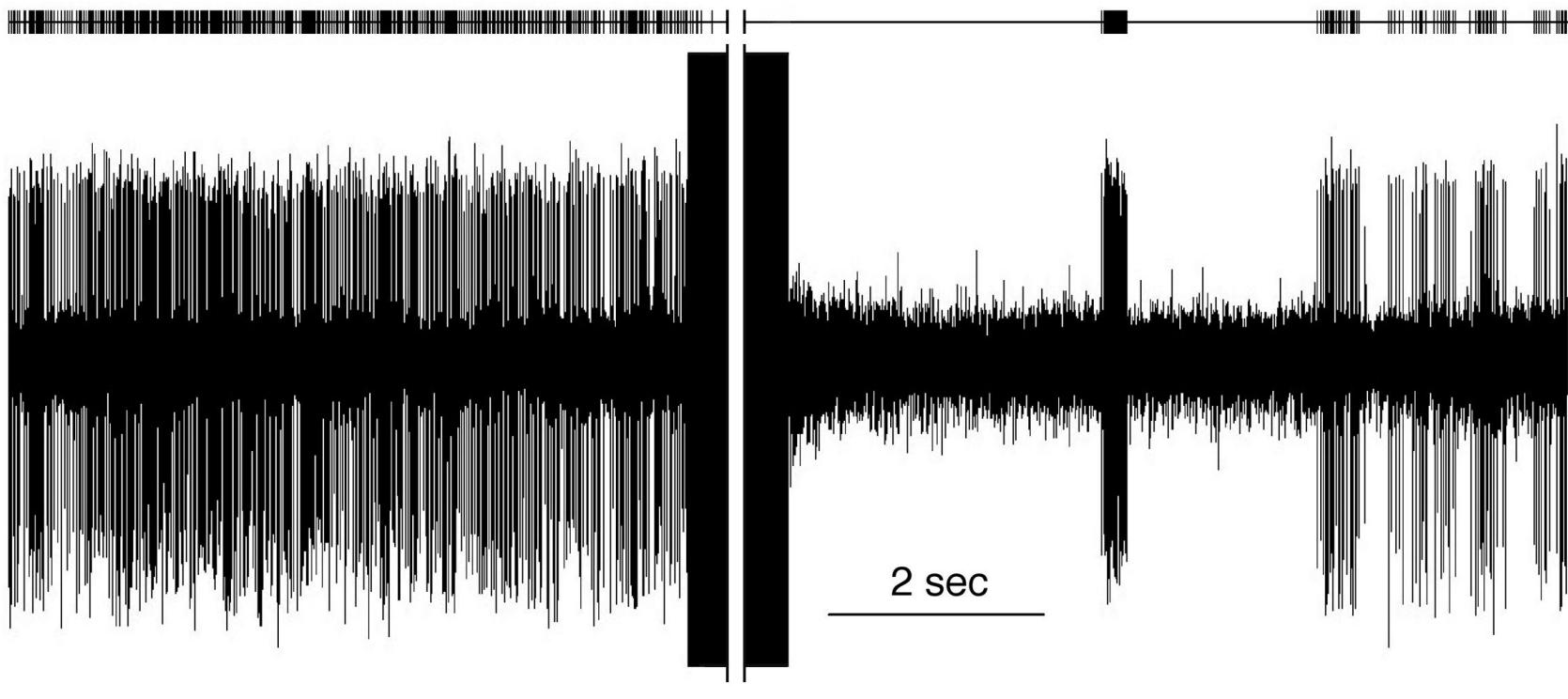


12 patients :
80 % of good match between
electrophysiology and atlas
Mean errors = 1 mm

3D Atlas fusion with per op MER electrophysiology







BEFORE

DURING

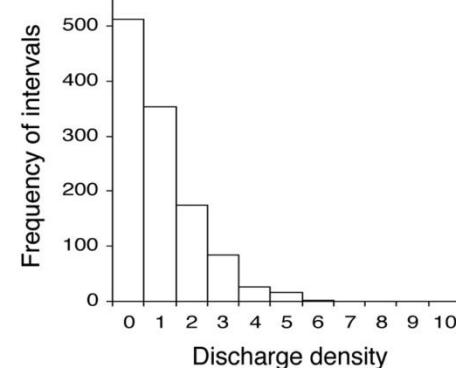
AFTER

Before high frequency stimulation

A



2 sec



During high frequency stimulation

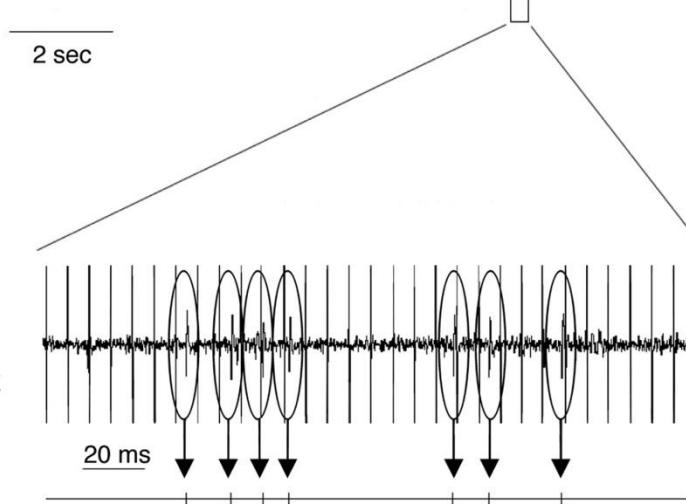
B



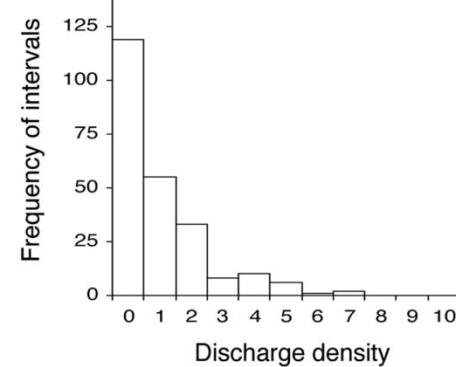
2 sec

artifact

C



20 ms



Mean Firing Rate of Subthalamic Nucleus (STN) Neurons Before, During, and After Different Conditions of Stimulation*

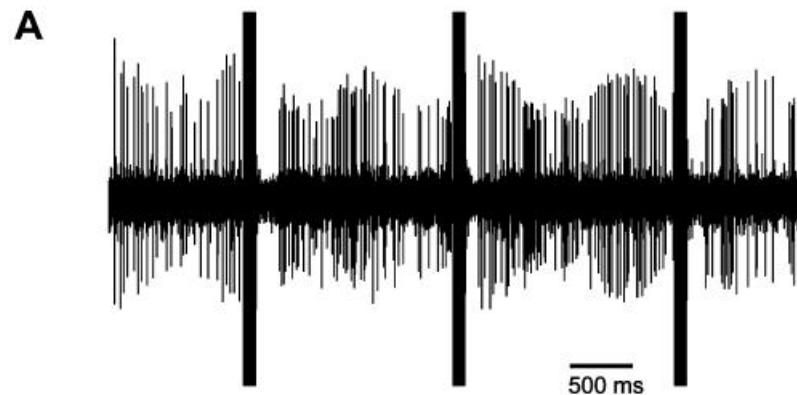
Frequency of Stimulation	Before Stimulation	During Stimulation	After Stimulation
140 Hz			
Intra-STN (n = 21)	38.1 ± 14.5	6.7 ± 7.1†	36.0 ± 15.9
Sham procedure (n = 8)	40.4 ± 11.8	35.6 ± 18.0	41.2 ± 14.4
80 Hz (n = 7)	40.9 ± 13.4	5.9 ± 5.5†	40.3 ± 9.9
40 Hz (n = 8)	41.9 ± 12.1	25.6 ± 8.4†	41.6 ± 13.1
14 Hz (n = 10)	29.2 ± 14.9	26.9 ± 14.6	30.1 ± 14.7

*Values are mean ± SD and expressed in Hertz.

† $P < .002$ compared with before stimulation.

STN HFS removes rigidity, akinesia and tremor

STN Inhibition by STN HFS trains



Filali M, Hutchison WD, Palter VN, Lozano AM, Dostrovsky JO

Stimulation-induced inhibition of neuronal firing in human subthalamic nucleus.
Exp Brain Res. 2004 Jun;156(3):274-81.

Effect of HFS of GPi on Thalamus activity

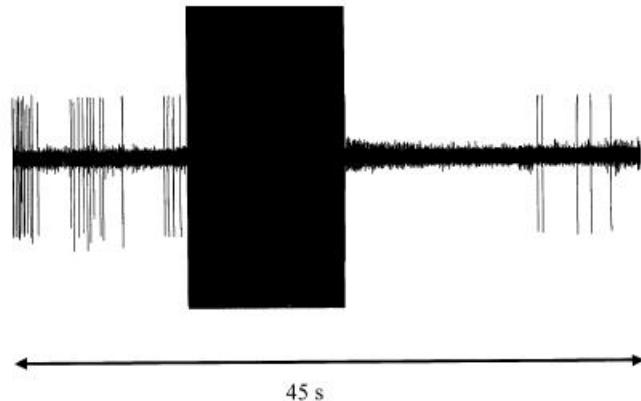


Fig. 2. Effect of ipsilateral GPI electrical stimulation on the spontaneous activity of a type A VOA neuron. Extracellular recording of a neuronal activity located 3 mm anterodorsal from the target in the VOA. Before GPI stimulation, mean firing rate was 17 Hz, DBS (130 Hz) of the GPI for 10 s reversibly inhibited this neuronal activity. Twenty seconds after the end of GPI stimulation, VOA neuronal activity recovered.

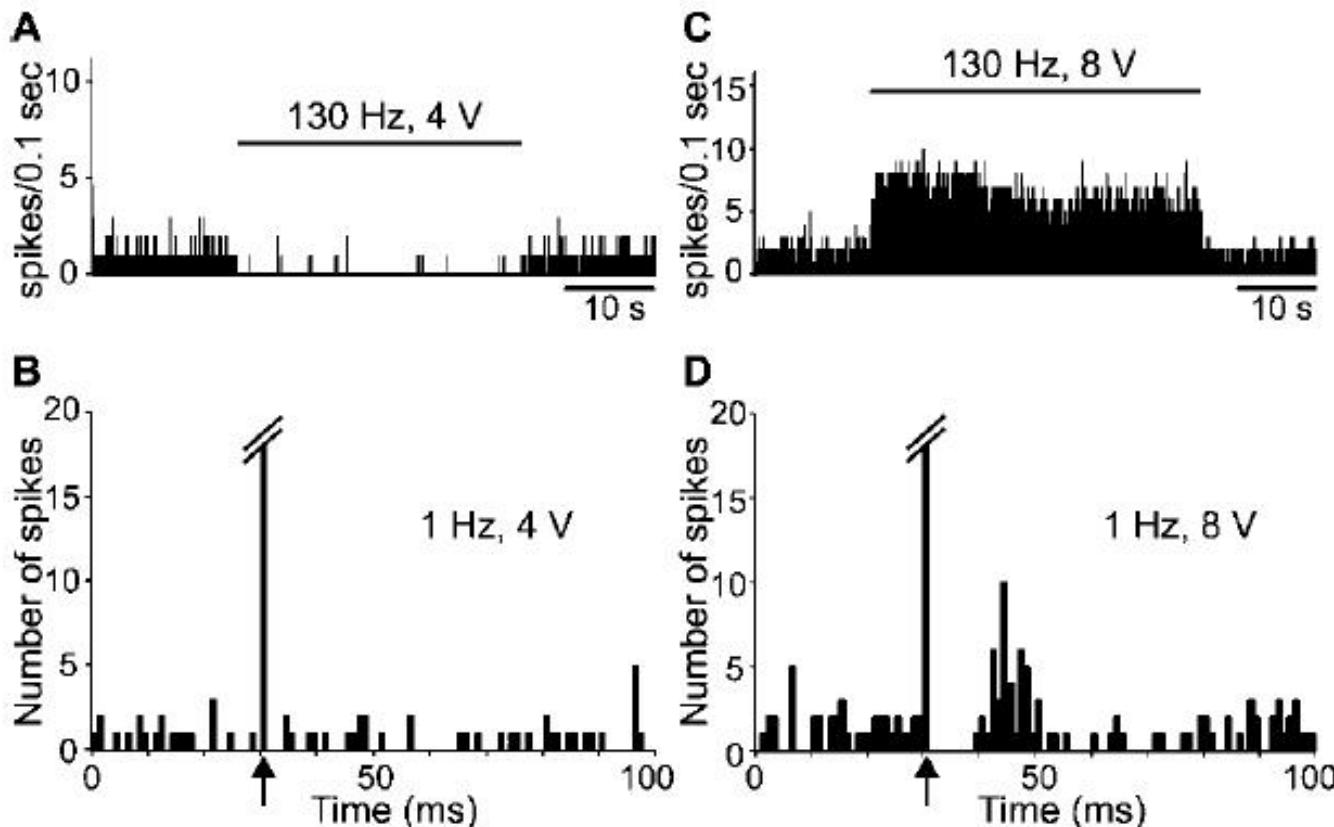
Pralong E, Debatisse D, Maeder M, Vingerhoets F, Ghika J, Villemure JG.
Effect of deep brain stimulation of GPI on neuronal activity of the thalamic nucleus ventralis oralis in a dystonic patient.
Neurophysiol Clin. 2003 Sep;33(4):169-73.

STN HF stimulation

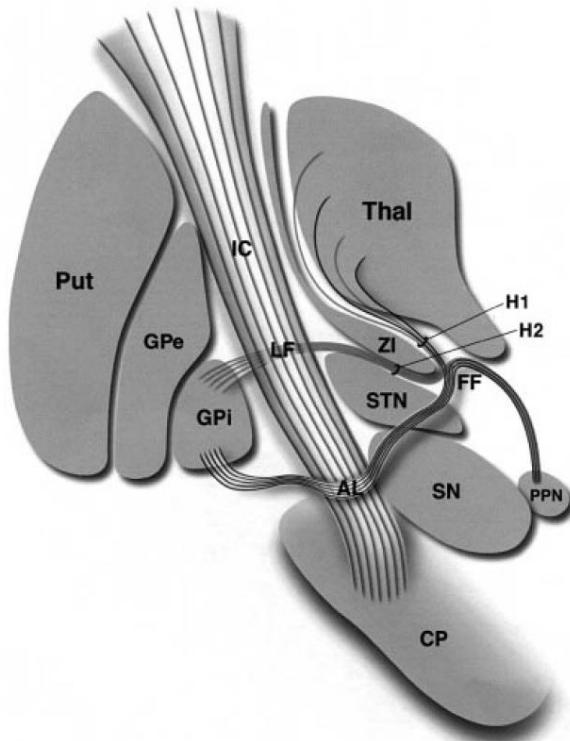
Inhibitory and excitatory effects on the same SNr cell

Maurice et al. • Effects of STN High-Frequency Stimulation on SNR Neurons

9932 • J. Neurosci., October 29, 2003 • 23(30):9929–9936



Basal Ganglia : a complex circuitry connecting many nuclei



Hamani C, Saint-Cyr JA, Fraser J, Kaplitt M, Lozano AM.

The subthalamic nucleus in the context of movement disorders.
Brain. 2004 Jan;127(Pt 1):4-20. Epub 2003 Nov 7. Review.

GPe, GPi, STN, Thalamus projections

*→ GABA

O→ GLU

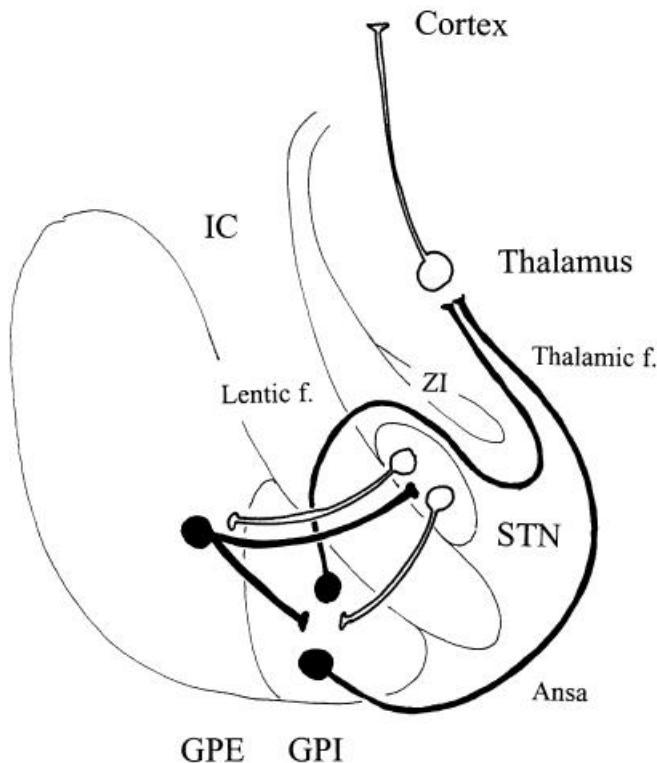
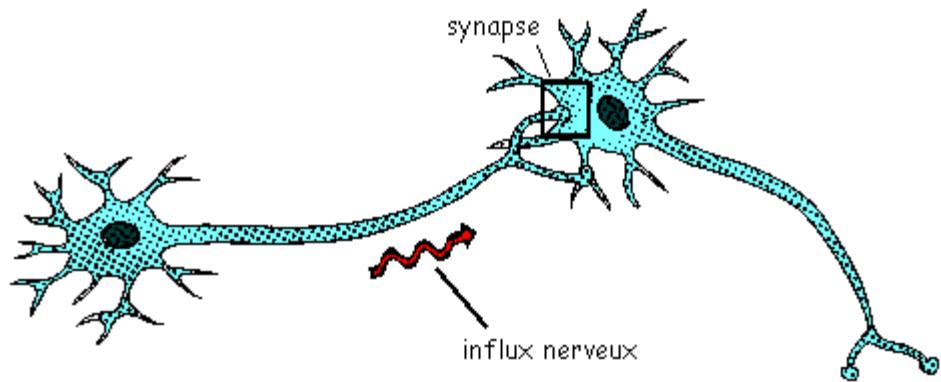
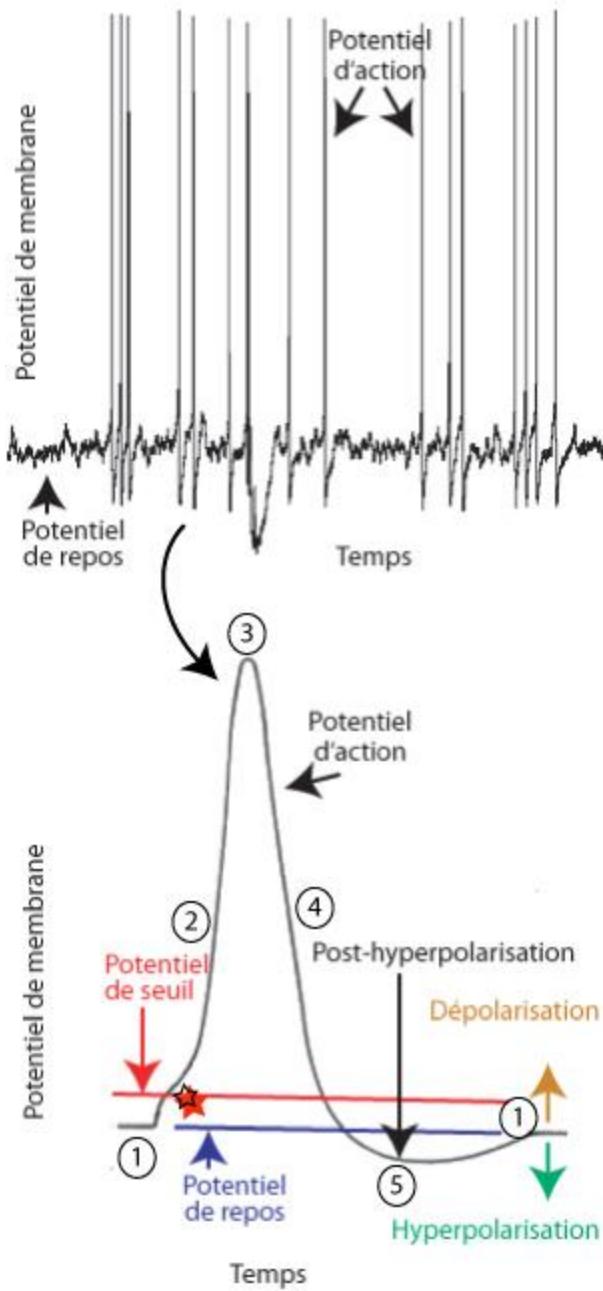


Fig. 11 Diagram of some of the pathways in the vicinity of the STN relevant to the effects of STN stimulation. Facilitatory neurons are white; inhibitory neurons are black. Ansa = lenticularis; f = fasciculus; other abbreviations are defined below the Summary.

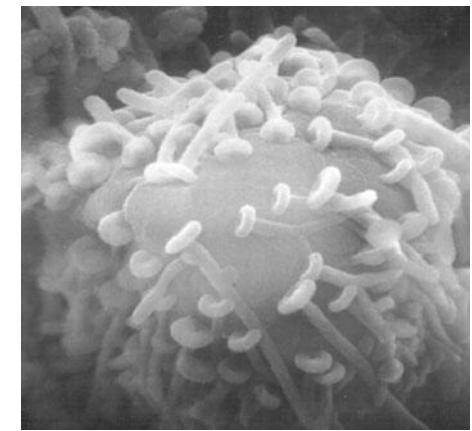
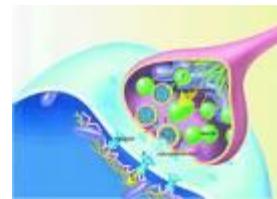
Ashby P, Kim YJ, Kumar R, Lang AE, Lozano AM.

Neurophysiological effects of stimulation through electrodes in the human subthalamic nucleus.
Brain. 1999 Oct;122 (Pt 10):1919-31.

Orthodromic →

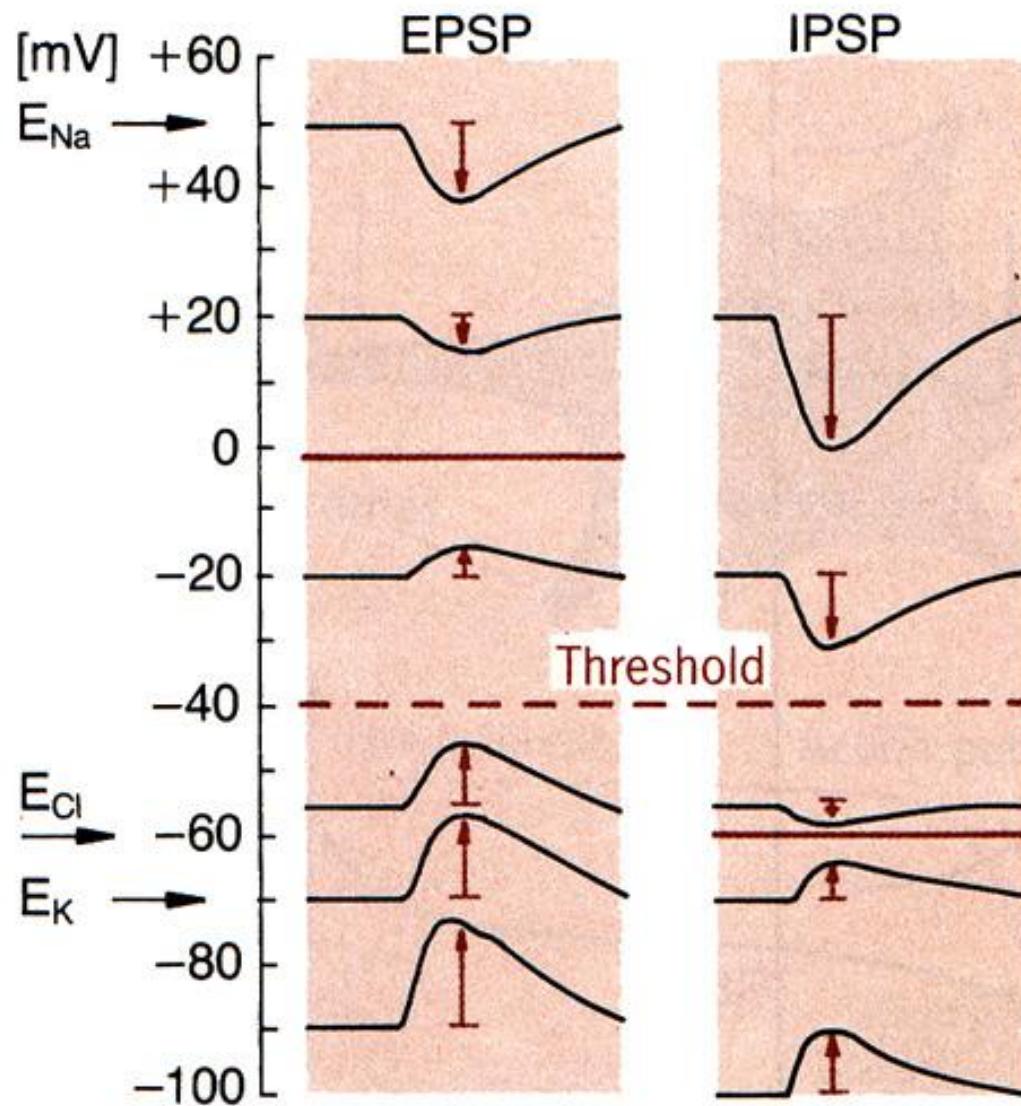


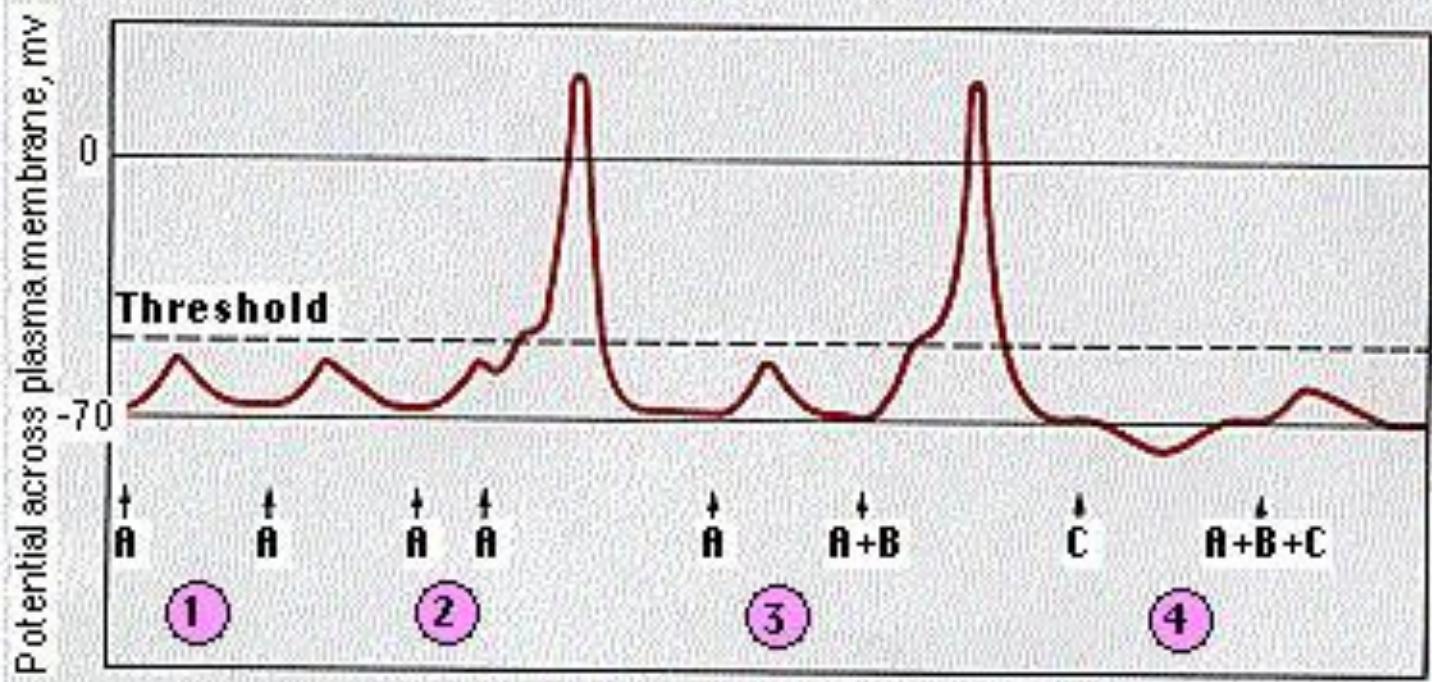
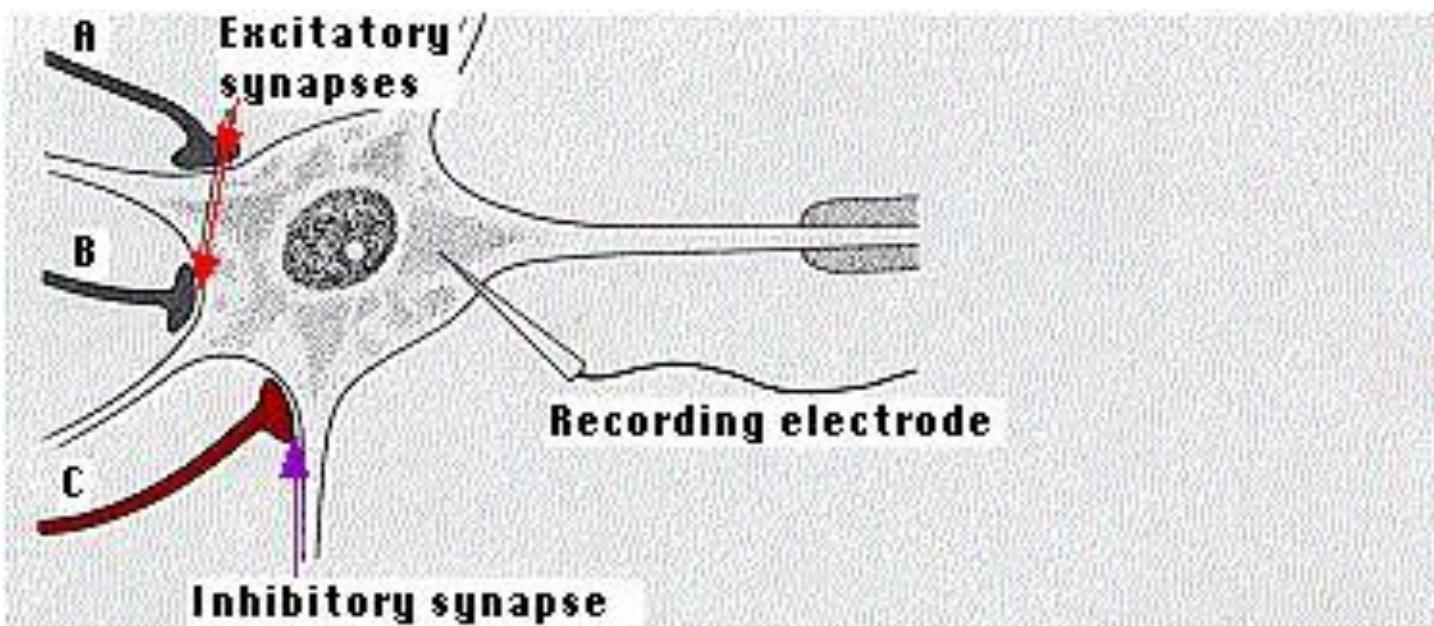
← Antidromic



GLU

GABA

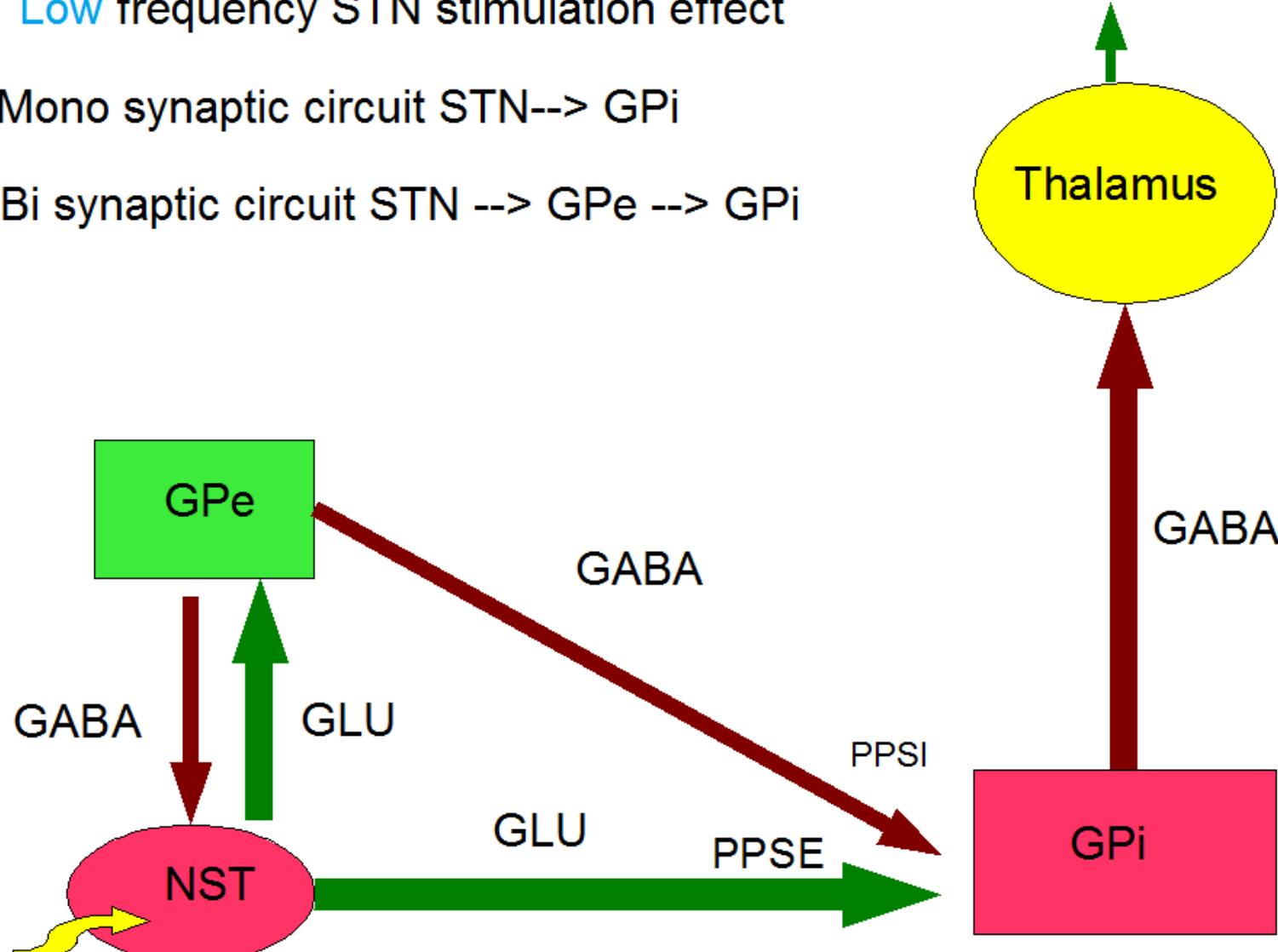




Low frequency STN stimulation effect

Mono synaptic circuit STN--> GPi

Bi synaptic circuit STN --> GPe --> GPi

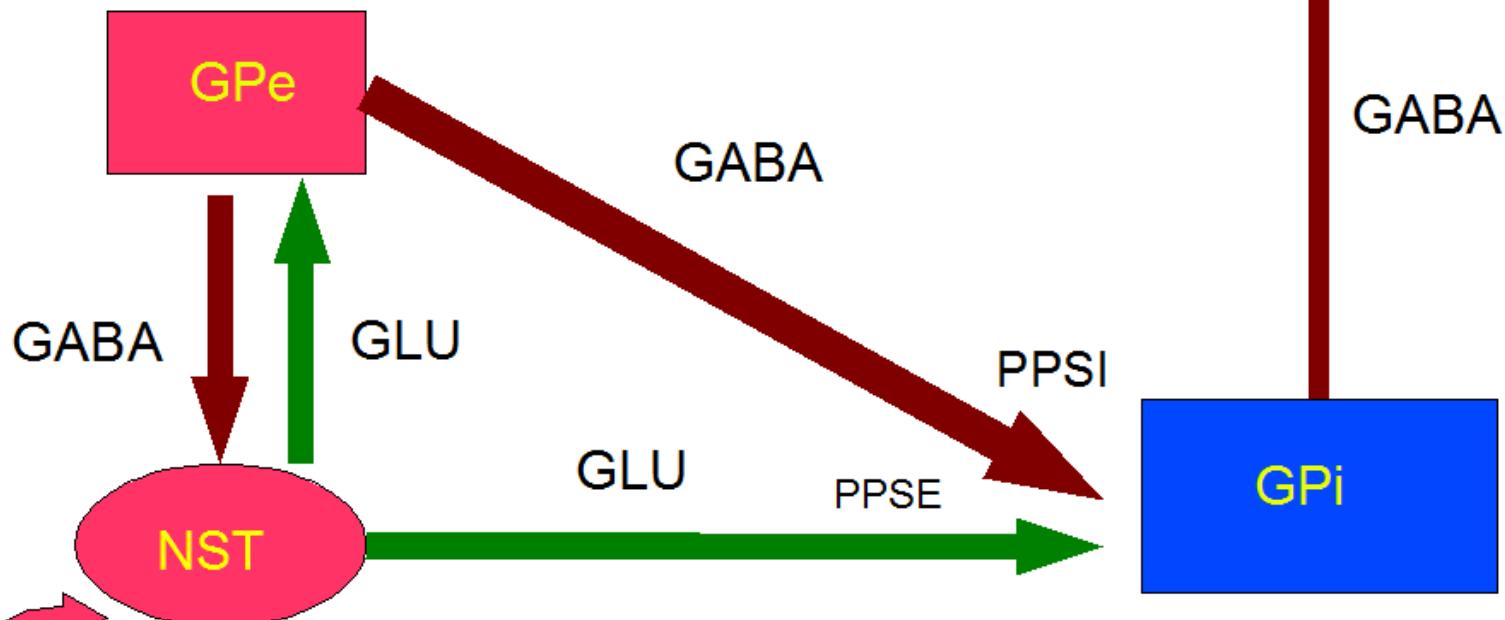
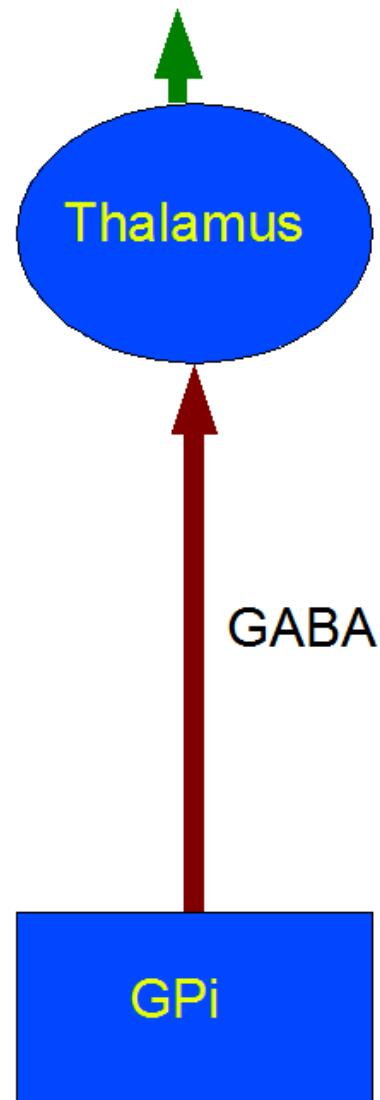


Adapted
from

Hitoshi KITA et al. The Journal of Neuroscience, September 21, 2005 • 25(38):8611– 8619

High frequency STN stimulation effect

During high frequency STN stimulation, Bi
synaptic circuit STN --> GPe --> GPi inhibits
GPi firing



Conclusion

- Fundamental research on basal ganglia contributes to improve our knowledge on the physiopathology of a number of neuro-psychiatric diseases.
- Models of basal ganglia help understanding normal and abnormal organization of neuronal networks.
- However, neurophysiological and clinical exploration of basal ganglia during neurosurgical procedures show much more complexe features as will be illustrated by **following vidéos**.



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